**This schedule applies to: Washington State University**

**Scope of records retention schedule**

This records retention schedule authorizes the destruction/transfer of the public records of Washington State University relating to the unique functions of the University. The schedule is to be used in conjunction with the *State Government General Records Retention Schedule (SGGRRS),* which authorizes the destruction/transfer of public records common to all state agencies.

**Disposition of public records**

Public records covered by records series within this records retention schedule (regardless of format) must be retained for the minimum retention period as specified in this schedule. Washington State Archives strongly recommends the disposition of public records at the end of their minimum retention period for the efficient and effective management of agency resources.

Public records designated as “Archival (Permanent Retention)” must not be destroyed. Records designated as “Archival (Appraisal Required)” must be appraised by the Washington State Archives before disposition. Public records must not be destroyed if they are subject to ongoing or reasonably anticipated litigation. Such public records must be managed in accordance with the agency’s policies and procedures for legal holds. Public records must not be destroyed if they are subject to an existing public records request in accordance with chapter 42.56 RCW. Such public records must be managed in accordance with the agency’s policies and procedures for public records requests.

**Revocation of previously issued records retention schedules**

All previously issued records retention schedules to Washington State University are revoked. Washington State University must ensure that the retention and disposition of public records is in accordance with current, approved records retention schedules.

**Authority**

This records retention schedule was approved by the State Records Committee in accordance with RCW 40.14.050 on February 5, 2025.

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| *Signature on File**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_***For the State Auditor:****Al Rose** | *Signature on File**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_***For the Attorney General:****Suzanne Becker** | *Signature on File**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_***For the Office of Financial Management:****Marie Davis** | *Signature on File**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_***The State Archivist:** **Heather Hirotaka** |

Revision History

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| Version | Date of Approval | Extent of Revision |
| 1.0 | June 29, 2016 | Consolidation of all existing disposition authorities (with some minor revisions). |
| 1.1 | June 14, 2017 | Minor revisions. |
| 1.2 | June 5, 2019 | Minor revisions. |
| 1.3 | August 5, 2020 | General Update. |
| 1.4 | June 2, 2021 | Minor revisions. |
| 1.5 | August 4, 2021 | General Update. |
| 1.6 | August 3, 2022 | Update Law Enforcement records series. |
| 1.7 | October 5, 2022 | General Update. |
| 1.8 | February 7, 2024 | Consolidation, minor revisions to retention/titles/descriptions, re-categorization, and revocation. |
| 1.9 | February 5, 2025 | Minor revisions. |

For assistance and advice in applying this records retention schedule,

please contact the Washington State University’s Records Officer

or Washington State Archives at:

recordsmanagement@sos.wa.gov

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1. WSU GENERAL SCHEDULE SERIES

| WSU 01: Administrative, Executive Level Records (Dean and Above) |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 00-03-59624Rev. 0 | ***Accreditation Records***Documents the accreditation process (arrangements, self-study and site visit) and includes detail and final accreditation report. | **Retain** for 10 years after next accreditation *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOPR |
| 85-09-36077 Rev. 1 | ***Ballots***Official ballots for Faculty Senate members, officers, and committee members. *Note: The Faculty Senate office (office #3310) holds primary copies of ballots for Senate officers and committee members. The applicable college dean's offices hold primary copies of ballots related to college-level representation to the Faculty Senate.* | **Retain** for 2 years after end of academic year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 04-06-60693Rev. 1 | ***Executive Ethics Board of Investigation Reports, University Copy***University copies of Executive Ethics Board (EEB) reports of allegations of ethics violations as a result of complaints filed with the EEB under chapter 42.52 *RCW*. | **Retain** for 3 years after date of report *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| WSU 02: Administrative, General Office Operations |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 16-06-68981Rev. 1 | ***Award Files***Provides record of application and related materials for national, regional, and statewide awards processed by the University. Includes, but is not limited to: awards processed by the Office of the Provost and WSU Pullman Chancellor (e.g., Faculty Excellence, Carnegie (CASE) Professor of the year, Burlington Northern, Bryn Mawr Awards); awards processed by WSU Extension. | **Retain** for 2 years after award *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |

| WSU 03: Accounting/Fiscal Records |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 79-11-23905Rev. 3 | ***Advance Payments Listings***List $100 to $500 advance payments from new students.  | **Retain** until replaced *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 85-01-34887Rev. 2 | ***Audit Reports***Reports on financial status of Compton Union Building (CUB) and the Associated Students of Washington State University. (Audits are conducted by WSU Auxiliary Services and external auditors.)Excludes records covered by:* *External Audits/Reviews of the Agency – Final Reports (DAN GS 04006)*;
* *External Audits/Reviews of the Agency – Interactions (DAN GS 04009)*.
 | **Retain** for 5 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 75-02-09214Rev. 2 | ***Consolidated Endowment Fund Quarterly Financial Reports***Report financial condition of consolidated endowment fund. | **Retain** for 6 years after end of fiscal year  *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 86-12-38992Rev. 4 | ***Contractual Delegation File***Copies of the letters of delegation of the Board of Regents' contractual authority to WSU employees. Used by auditors, vendors, lawyers, and the general public. | **Retain** for 6 years after superseded *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 91-04-47854Rev. 1 | ***Donation and Fundraising Development Files***Provides a record of donation and fundraising development activities. May include donor and/or potential donor names and contact information, records of donation activity, refunds of donations, and other information regarding donors and potential donors. | **Retain** for 6 years after end of fiscal year  *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOPR |
| 07-08-61556Rev. 1 | ***Emergency and Short-Term Loans to Students***Provides a record of emergency loans made to students. Includes promissory notes, copies of deposits, payment records. Includes records for McEachern Fund, Robinson Fund, and other short-term and emergency loan funds. | **Retain** for 6 years after final payment *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 99-03-59039Rev. 3 | ***Financial Aid System Files***Provides a record of all student financial aid information, including awards (e.g., Pell grants) and information used in determining and tracking financial aid grants, e.g., work study, housing, income. Includes the Financial Aid Forms Needs Analysis (FAFNAR) database.  | **Retain** for 6 years after end of academic year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63513Rev. 2 | ***Internal Control Risk Assessment Documentation***Provides a record of identification, review, and management of risks that potentially affect area assets and resources. This documentation includes checklists from departments and supporting spreadsheets, calculations, and/or documentation. These records provide the data for the checklists submitted to Business Services--General Accounting/Revenue. | **Retain** for 3 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 83-05-31923Rev. 0 | ***Investment Working Files***Provides a record of investment transactions. | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 74-10-07042Rev. 2 | ***Letter of Credit Files***Provides a record of authorization and support to draw funds (letters of credit). May include instructions for drawing funds, requests for funds submitted, worksheets summarizing transactions, and quarterly reports. | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63501Rev. 0 | ***Petty Cash Records Documentation***Records activity of revolving interest accounts used to make miscellaneous or emergency purchases. | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63509Rev. 1 | ***Request to Serve Food at Meetings and Training Sessions (WSU 1353)***Authorizes the use of University monies for the purchase of meals, coffee, and light refreshments at meetings and formal training sessions that are official University business. | **Retain** for 2 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63517Rev. 0 | ***Special Course Fee Request (WSU 1224)***Used to request establishment of special course fees to pay for goods and services not provided by state appropriated funds or general student fees.  | **Retain** for 2 years after fee termination *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| WSU 04: Information Service Records |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 02-01-60335Rev. 1 | ***Academic Media Service (AMS) Statistics***Provides a log of video conference and room usage. Note: Retention based on functional use of the information by the department. | **Retain** for 6 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 19-06-69367Rev. 0 | Policy ExceptionsProvides documentation of approvals for:* Information security policy exceptions (WSU Executive Policy #37), and
* University data policy exceptions (WSU Executive Policy #8).
 | **Retain** for the life of the exception *then***Destroy** | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63649Rev. 0 | ***Server Logs***Provides a record of server functions. May include, but is not limited to: system processes, access logs, process tracking, error messages, logs related to any special server functions or roles. | **Retain** for 1 month after date of daily record *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| WSU 05: Payroll and Personnel Records |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 11-12-63535Rev. 0 | ***Annual Review of Faculty***Evaluation of job performance. | **Retain** for 6 years after termination of employment *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63537Rev. 1 | ***Appointing Authority for Faculty, Administrative Professional, and Classified Employees***Letters of delegation to employees who are authorized to sign employment forms. | **Retain** for 6 years after superseded *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OPR |
| 07-12-61642Rev. 2 | ***Background Clearance Checks***Provides a record of background clearance checks for full-time and temporary employees, or adult participants in University activities/programs (e.g. advisors, chaperones, volunteers) not employed by a school district in the state of Washington. May include criminal history checks, disclosure statements, background inquiry results, and record of permission from adult participants for University officials to conduct background clearance checks. | **Retain** for 3 years after termination of employment *or*3 years after termination of participation in activity or program *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63538Rev. 0 | ***Course Evaluation Summaries***Completion of appraisals and comments from individual course/instructor evaluations. | **Retain** for 3 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63540Rev. 0 | ***Course/Instructor Evaluations***A record of individual student or participant evaluations of content and presentation of a course. | **Retain** for 1 year after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63550Rev. 0 | ***Employment Record Request (WSU 1238)***To request leave and benefits records from former state employers. | **Retain** for 6 years after termination of employment *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63557Rev. 0 | ***Graduate Faculty Appointment Files***Provides a record of department appointments of faculty members to graduate faculty assignments. Files may include ballots, vita, and Admittance to Graduate Faculty forms. | **Retain** for 1 year after graduate faculty assignment ends *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 24-02-69715Rev. 0 | ***HIPAA Annual Risk Assessment***Provides assurance that WSU is compliant with HIPAA’s administrative, physical, and technical safeguards and helps reveal areas where WSU protected health information could be at risk. (45 *CFR* § 164.316(b)(2)(i)) | **Retain** for 6 years from date of creation or last in effect, *whichever is later* *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63559Rev. 1 | ***HIPAA Security Agreement***Provides a record of client confidentiality and security agreement signed by health care personnel, e.g., clinic personnel. | **Retain** for 6 years after termination of employment or termination of service *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 06-10-61318Rev. 0 | ***Liability Insurance***Proof of student liability insurance policy or certificate from graduate students who serve as clinic personnel. | Retain for 10 years after last client treated*then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 02-09-60402Rev. 1 | ***Medical Insurance Policies***Copies of medical insurance policies for student/graduate student assistant and other. | **Retain** for 6 years after policy expiration *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63566Rev. 1 | ***Nonservice Pay Authorization (WSU 1314)***Authorizes pay to WSU Fellows, trainees, interns, or other nonservice positions.Includes ASWSU and Student Media pay authorization forms. | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63569Rev. 0 | ***Payroll Deduction Forms***Used to authorize deductions from payroll. | **Retain** for 6 years after last authorized deduction *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63578Rev. 4 | ***Personnel – Employment History Files***Records related to an employee's University employment history. Also includes records relating to a volunteer's service with the University. Includes, but not limited to: Applications, resumes, and appointment letters; position eligibility and position held; eligibility requirements for position ( i.e., certifications, transcripts, immunizations); disclosure of information to prospective employers in accordance with RCW 4.24.730; employee performance evaluations (i.e., completed and signed evaluations and expectations review and acceptance); appeals/hearings determinations, settlement agreements, Biographical Data Sheets, discontinuation notices—final, faculty questionnaires, presumption of resignation letters, resignation letters, resumes/vitae, retirement letters, and tenure/promotion letters.Excludes records covered by: * *Complaints and Grievances – Exonerated (DAN GS 03006)*;
* *Complaints and Grievances – Upheld (DAN GS 03003)*;
* *Retirement Verification (DAN GS 03032)*.
 | **Retain** for 40 years after termination of employment *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OFM |
| 11-12-63594 Rev. 1 | ***Request for Name/Sex Designation Change***To request a name and/or sex designation change in University records. (Includes Request for Change of Name (WSU 1200) and Request for Change of Legal Sex Designation (WSU 1503)). | **Retain** for 1 year after data entry *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63543 Rev. 1 | ***Temporary Employee Departmental Files***Documentation related to a temporary employee's departmental employment history. Files include but are not limited to: Conditions for Temporary Employment, Temporary Employment Application (for individuals who are hired), Temporary Employee Orientation Checklist, Parent School Authorization. | **Retain** for 1 year after termination of employment *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63603Rev. 0 | ***Tenure/Promotion Evaluation Documents (denied candidates)***Includes evaluations, ballots, and resumes used to determine award of faculty tenure and/or promotion. Includes actual tenure and/or promotion consideration as well as third-year and/or promotion review. | **Retain** for 35 years after termination of employment *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63606Rev. 0 | ***Tenure/Promotion Evaluation Documents (successful candidates***)Includes evaluations, ballots, and resumes used to determine award of faculty tenure and/or promotion. Includes actual tenure and/or promotion consideration as well as third-year and/or promotion review. | **Retain** for 6 years after award *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63609 Rev. 0 | ***Tenure/Promotion Support Documents (denied candidates)***May include manuscripts, artwork, slides, or other items used to determine award of faculty tenure and/or promotion. Includes actual tenure and/or promotion consideration as well as third-year and/or promotion review.*May be returned to the dean and then to the candidate at the discretion of Provost's Office.* | **Retain** for 6 years after denial *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63610 Rev. 0 | ***Tenure/Promotion Support Documents (successful candidates)***May include manuscripts, artwork, slides, or other items used to determine award of faculty tenure and/or promotion. Includes actual tenure and/or promotion consideration as well as third-year and/or promotion review.*Returned to the dean and then to the candidate after award.* | **Retain** until award *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63560Rev. 2 | ***Time and Absence Reports***Used to record attendance, overtime, and/or leave activity for overtime-eligible and overtime ineligible faculty, administrative professionals, and classified employees. Refer to applicable Workday processes. | **Retain** for 6 years after termination of employment (pay-affecting actions) *and*5 years after termination of employment and audit (report records file for employee's entire employment career) *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 01-12-60301 Rev. 2 | ***Visiting Scholars File***Provides a record of visiting scholars who attend or assist with University programs or training seminars. May include trainee files, Nonservice Pay Authorization copies, invoice vouchers, visa information, histories, correspondence, and final reports. | **Retain** for 6 years after termination of contract or termination of service *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

|  WSU 06: Property Management Records |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 11-12-63485Rev. 1 | ***Federal Excess Property File***Provides a record of the acquisition, utilization, and disposition of property acquired by WSU from the federal excess property program. Request documentation for federal excess property, using the temporary (for use during the course of a grant contract) or permanent transfer of federal property to WSU, includes Federal Excess Property Request (WSU 1303) and SF-122, Transfer Order — Excess Personal Property.  | **Retain** for 6 years after item disposed of (permanent transfer) *or*3 years after termination of contract (temporary transfer) *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63492Rev. 1 | ***Inventory Control Reports (Inventory and Surplus)***Provides a record of changes in the department's equipment inventory. Includes Inventory Control Reports (WSU 1033) and Surplus Disposal Requests (SDRs). | **Retain** for 6 years after date of document *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 90-03-45979Rev. 2 | ***Property and Equipment Management File and Preventive Maintenance***Record of departmental equipment by inventory numbers, surplus stores sales and related documents. Facilities Services storeroom inventory and stock for resale, utility maps, building as builts and building replacement parts. | **Retain** for 2 years after end of fiscal year  *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| WSU 07: Public Affairs and Public Disclosure Records |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 98-06-58499Rev. 3 | ***Assumption of Risk and Release of Liability Forms – No Accident Claim (Under 18 Years)*** Provides a record of agreement signed by an individual (affiliated with WSU or visiting) under 18 years of age (with parent/guardian consent) to participate in University programs and activities at their own risk. This record series covers retention of this form when no accident claim has been made. | **Retain** for 3 years + 60 days after 18th birthday *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 98-06-58498Rev. 3 | ***Assumption of Risk and Release of Liability Forms – No Accident Claim (18 Years or Over)***Provides a record of agreement by an individual (affiliated with WSU or visiting) 18 years or older to participate in University programs and activities at their own risk. This record series covers retention of this form when no accident claim has been made. | **Retain** for 3 years + 60 days after termination of activity *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 98-06-58500Rev. 3 | ***Assumption of Risk and Release of Liability Forms—With Accident Claim***Provides a record of agreement signed by an individual (affiliated with WSU or visiting) to participate in University programs and activities at their own risk. This record series covers retention of this form when an accident claim has been made. | **Retain** for 3 years + 60 days after claim resolved *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 09-07-62063Rev. 1 | ***Minor Participant Guidelines and Emergency Care Agreements***Provides as record of acknowledgment of guidelines for participation and emergency medical care agreements for minor participants (under 18 years of age) in University academic, athletic, or recreation activities or events. Includes agreements signed by participants and parent/guardians acknowledging that they have read and understood the guidelines for participation, and permissions from parent/guardians for University officials to seek emergency medical care for the participants. | **Retain** for6 years after 18th birthday *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 09-07-62062Rev. 1 | ***Participant Guidelines and Emergency Care Agreements (18 Years or Over)*** Provides as record of acknowledgment of guidelines for participation and emergency medical care agreements for participants (18 years of age or over), including advisors and chaperones, in University academic, athletic, or recreation activities or events. May include agreements signed by participants acknowledging that they have read and understood the participation guidelines, and permissions for University officials to seek emergency medical care for participants. | **Retain** 6 years after termination of participation in activity *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63650Rev. 0 | ***Photograph/Recording Release Files***Provides a record of authorization by adult models for the University to use photographic images or audio or video recordings for University purposes, including but not limited to, advertising and promotion. | **Retain** for 6 years after disposal of image or recording *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOPR |
| 11-12-63651Rev. 0 | ***Photograph/Recording Release Files for Minors***Provides a record of authorization by minor models (under age 18) or parents/guardians for the University to use photographic images or audio or video recordings for University purposes, including but not limited to, advertising and promotion. | **Retain** for 6 years after disposal of image or recording *or*6 years after minor reaches age 18, *whichever occurs last* *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOPR |
| 21-06-69638Rev. 0 | ***Title IX Records***All relevant records related to Title IX Sexual Harassment, which includes:* Materials used to train Title IX coordinators, investigators, decisionmakers, and informal resolution facilitators; and
* Records related to any Title IX Sexual Harassment investigation and determination, which includes: live hearing audio or audiovisual recording or transcript; disciplinary sanctions imposed on a respondent; remedies provided to the complainant designed to restore or preserve equal access to the recipient’s education program or activity; appeals and results therefrom; and informal resolutions and results therefrom.

*Note: Retention based on requirements in accordance with 34 CFR 106-45(b)(10).* | **Retain** for 7 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 22-10-69663Rev. 0 | ***TRIO Program Pre-College Student Records***Student records for pre-college participants in federally-supported TRIO programs are not covered under an existing record series with a longer retention period. Records may include, but are not limited to: * Student records
* Program records
* Supporting documents

Retention is in accordance with 2 CFR 200.334. | **Retain** for 3 years after submission of final expenditure report of the 5-year grant cycle *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| WSU 08: Purchasing Records |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 11-12-63404Rev. 0 | ***Banquet Permit Authorization***Requests University approval to apply for a Banquet Permit. | **Retain** for 2 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 03-07-60531Rev. 1 | ***Credit Card Administration Files (Card Issued)***Provides program administrative documentation related to credit card issuance, account maintenance, and compliance. University credit cards include purchasing cards, fuel credit cards, and Central Travel Accounts. At a minimum, each file includes application, cardholder agreement, and photocopy of card. Depending on the age and usage of the card, file may also include roles and responsibilities forms, account maintenance requests, documentation of exceptions granted, documentation of policy violations, and correspondence with the cardholder, card reconciler, and/or approving official. | **Retain** for 6 years after termination of agreement *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 03-07-60532Rev. 1 | ***Credit Card Application (Denied or Not Issued)***Provides a record of a request for issuance of a University credit card (used to purchase items from outside vendors) when the card was denied or not issued. University credit cards include purchasing cards, fuel credit cards, and Central Travel Accounts. | **Retain** until denial *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63412Rev. 1 | ***Discretionary (FN057) Account Exception Justifications***Provides a record of approved exceptions to University guidelines for discretionary (FN057) accounts. | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63416Rev. 0 | ***Interdepartmental Requisition and Invoice (WSU 1017)***Provides a record of approval for interdepartmental purchasing transactions. | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63410Rev. 1 | ***Purchasing Requisition***Request from departments to WSU Purchasing for purchases outside the institution. | **Retain** for 2 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63428Rev. 0 | ***Telecommunications Service Request***Provides a record of online request to move, change, disconnect, or add new telecommunications services, including telephone, voicemail, and Ethernet. | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| WSU 09: Research and Sponsored Projects Records |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 20-08-69552Rev. 0 | ***Export Control Records***Provides a record of the documentation required to be retained on the exports of commodities, software, or technology from the United States. It also includes a record of any known re-exports, trans-shipment, or diversions of items exported from the United States as per Export Administration Regulation 762.6. Documentation includes export control documents, memoranda, invitations to bid, financial records, etc.  | **Retain** for 5 years after termination of funding period *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 10-02-62201Rev. 0 | ***Laboratory Notebooks (or Equivalent Records) – Patent Issued***Provides a record of laboratory notebooks or equivalent records, which support patents in which the University has an ownership interest. | **Retain** for 23 years after patent issued *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63435Rev. 0 | ***Research Data***Provides a record of compiled research data gathered during the course of a research project, regardless of whether or not funded by a grant or contract. May include data collection and evaluation, instruments, statistics, questionnaires and similar materials.NOTE: This records series does not cover records of research that results in protectable intellectual property to which the University may have an ownership interest. (See DAN #10-02-62202, DAN #10-02-62201, and DAN #10-02-62200.) | **Retain** for 3 years after project completion *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 10-02-62202Rev. 0 | ***Research Data-Potential Protectable Intellectual Property (Patent Application Denied)***Provides a record of compiled research data gathered during the course of a research project that may result in protectable intellectual property to which the University may have an ownership interest, regardless of whether or not the project is funded by a grant or contract, and for which the patent application was denied. May include data collection and evaluation, instruments, statistics, questionnaires, and similar materials.NOTE: If a patent application is reviewed again prior to the end of the retention period and a patent is then issued, retention of the research data moves to "Research Data—Protectable Intellectual Property (Patent Issued)" [DAN #10-02-62200] and retention of the laboratory notebooks (or equivalent records) is moved to "Laboratory Notebooks—Patent Issued" [DAN #10-02-62201]. | **Retain** for 6 years after patent application denied *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 10-02-62200Rev. 0 | ***Research Data-Protectable Intellectual Property (Patent Issued)***Provides a records of compiled research data gathered during the course of a research project that results in protectable intellectual property to which the University may have an ownership interest, regardless of whether or not the project is funded by a grant or contract. May include data collection and evaluation, instruments, statistics, questionnaires, and similar materials.NOTE: If a patent is issued, retention of the laboratory notebooks (or equivalent records) is moved to the records series titled "Laboratory Notebooks—Patent Issued" [DAN #10-02-62201]. | **Retain** for 6 years after patent issued *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63439 Rev. 0 | ***Unfunded Proposal File***Provides a record of research proposals sent to sponsors and currently unfunded. | **Retain** for 2 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| WSU 10: Safety Records |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 11-12-63617Rev. 1 | ***Accident/Illness Prevention Program***Records related to prevention program intended to reduce work hazards, promote well-being of personnel, and lower industrial accidents. Includes, but is not limited to: Distancing and Disinfection Plans. | **Retain** until superseded *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 00-07-59786Rev. 1 | ***Air Operating Permit Reports and Associated Data***Provides a record of monthly, semi-annual, and annual reports and associated data for air emission sources, as required by the Washington Department of Ecology (DOE). (*WAC* 173-401 and *RCW* 70.94)Length of retention for this records series is in accordance with *WAC* 173-401-615(2)(c). | **Retain** for 5 years after date of report or date of measurement *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 99-08-59215Rev. 2 | ***Animal Medical and Laboratory Records Files***Records related to animal testing and treatment at WSU, including, but not limited to: Record of requested testing, treatment, and Washington Animal Disease Diagnostic Laboratory (WADDL) reports of sick, injured, or deceased animals. Includes Veterinary Medical Service Request, treatment sheets, clinical pathology reports, laboratory test results, WADDL laboratory worksheets, necropsy (post-mortem) information, bacteriology examinations, and fecal tests conducted by WADDL. NOTE: Does not include WADDL's daily log book. Also includes all documents contained in the Vet Teaching Hospital (VTH) patient record for VTH patients from both outside and inside WSU, and pertinent components of animal records used for VTH research. | **Retain** for 7 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 00-08-59866Rev. 0 | ***Biological Safety Cabinet Evaluation and Monitoring***Provides a record of evaluation, certification, and monitoring of biological safety cabinets. (32 *CFR* 627.7(g)) | **Retain** for 3 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 00-08-59867Rev. 0 | ***Bloodborne Pathogen Program Records***Provides a record of bloodborne pathogen safety training. (29*CFR*1910.1030(h)(2)(ii)) | **Retain** for 3 years after date of training *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63620Rev. 1 | ***Chemical Collection Request***Provides a record of recycled or disposed of hazardous chemical wastes.*Note: Length of retention is in accordance with WAC 173-303-210.* | **Retain** for 5 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 10-12-62314 Rev. 0 | ***Chemical Exposure Records***Provides a record of the chemical identity and exposure levels, employee names, locations, and tasks. (*WAC* 296-802) | **Retain** for 70 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63619Rev. 1 | ***Chemical Spill Management Records***Documents chemical spill management activity. Includes information about type and amounts of chemicals spilled, any applicable reporting to authorities, any illnesses or injuries as a result of the spill, respondent training, access to medical surveillance, and preventative measures taken. Records are maintained by the area performing the spill control.NOTE: In case of employee exposure or potential exposure, the applicable records are transferred and retained under the records series Chemical Exposure Records (DAN 10-12-62314). | **Retain** for 30 years after activity *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OPR |
| 10-12-62325Rev. 4 | ***Compost and Solid Waste Handling Facility Records***Permits issued by the Whitman County Department of Health to maintain compost and solid waste handling facilities and records of inspections of the University’s compost and solid waste handling facilities. Requirement to retain records and length of retention is in accordance with WAC 173-350-220(6)(d). | **Retain** for 5 years after permit termination date *or* 5 years after end of calendar year of inspection *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 99-08-59225Rev. 1 | ***Controlled Substance Card***Provides a record of type, size of container, amounts and dates distributed. One card is maintained for each bottle of controlled substance held at the registered location. Card information is periodically copied into the Controlled Substance Logbook [DAN #99-08-59224]. | **Retain** for 2 years after bottle emptied *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 99-08-59224Rev. 1 | ***Controlled Substance Logbook***Provides a record of addition and distribution of controlled substances. Includes what controlled substance is used for, who it was signed out to, running inventory, and a copy of the file card information kept on each bottle of drug on hand. | **Retain** for 2 years after end of fiscal year in which book completed *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 99-08-59219Rev. 1 | ***Controlled Substance Purchase and Tracking Records***Provides a record of the purchase and tracking of controlled substances used in animal care and research. Includes records of: controlled substance purchases for reporting the purchases to the Drug Enforcement Administration (DEA); annual inventory of controlled substances on hand in all Office of the Campus Veterinarian facilities; sales and distribution of controlled substances from the Office of the Campus Veterinarian or external vendors to WSU animal care facilities (including type, strength, and volume of controlled substance, who delivered and who received). | **Retain** for 2 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63544Rev. 1 | ***Departure Notice***Notification of the departure of a hazardous and/or reactive chemicals/materials user.Includes, but is not limited to: * Area Evaluation/Release (WSU1449)
* Departure Notice (WSU 1448)
 | **Retain** for 2 years after termination of employment *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 00-07-59788Rev. 4 | ***Drinking Water Chlorination Reports***Provides a record of chlorination levels in public water systems. Requirement to retain records and length of retention is in accordance with DOH rules, *WAC* 246-290-480(1)(g). | **Retain** for 3 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 10-12-62319Rev. 0 | ***Drinking Water System Plan***Provides a record of strategic and comprehensive plans for the administration, reorganization, and/or operation of WSU-controlled systems. (Length of retention in accordance with *WAC* 246-290-100(10). Applicable system criteria specified in *WAC* 246-290-100(2).) | **Retain** for 6 years after superseded or system no longer meets WAC criteria *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)****ESSENTIAL****(for Disaster Recovery)**OFM |
| 00-07-59789Rev. 3 | ***Drinking Water Systems Surveys and Special Purpose Investigation Reports***Provides a record of inventory of WSU public water systems issued by the DOH. Includes comprehensive system evaluations, source meter readings, and sanitary surveys. Requirement to retain records and length of retention is per DOH rules, *WAC* 246-290-480(1)(a), and *WAC* 246-290-485. | **Retain** for 10 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63633Rev. 2 | ***Driver Required Documentation***Provides a record of driver and manager/supervisor acknowledgment of risks, responsibilities, and recommended safe driving practices for operating passenger vehicles. Includes DES Authorized Driver Acknowledgement form. In addition, the following documentation is required for drivers of full-size vans:* DES Safe Van Driving Practices Acknowledgement Statement;
* DES Valid License to Drive and Driving Experience Statement.
 | **Retain** for 3 years after termination of WSU affiliation *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63634Rev. 2 | ***Driver Required Documentation--Drivers Who Drive Minors***Provides a record of driver and manager/supervisor acknowledgment of risks, responsibilities, and recommended safe driving practices for operating passenger vehicles for drivers who drive minors (individuals under 18 years of age). Includes DES Authorized Driver Acknowledgement form. In addition, the following documentation is required for drivers of full-size vans who drive minors:* DES Safe Van Driving Practices Acknowledgement Statement;
* DES Valid License to Drive and Driving Experience Statement.
 | **Retain** for 21 years after termination of WSU affiliation *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 99-08-59222Rev. 1 | ***Drug Enforcement Administration (DEA) Report***Provides a record of the retention and disposition of drugs used in animal care and testing. | **Retain** for 2 years after report submitted *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63622Rev. 0 | ***Fall Protection Work Plan***Documents actions taken to prevent falls. Required of persons when working ten or more feet above the ground, water surface or the level below. | **Retain** for 1 year after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 00-08-59834Rev. 1 | ***First Aid/Cardiopulmonary Resuscitation (CPR)/Automated External Defibrillator (AED) Training Records***Provides a record of first aid, and CPR/AED training including class rosters and training exam results. This series includes a tracking database. | **Retain** for 5 years after date of training *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 00-07-59783Rev. 1 | ***Food Service Establishment Inspection Reports***Provides a record of EH&S or local area health department inspections of WSU food service facilities for cleanliness and safety. (*WAC* 246-215) (Also see Food Service Establishment Permits, DAN #00-07-59784.) | **Retain** for 6 years after date of inspection *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 00-07-59784Rev. 1 | ***Food Service Establishment Permits***Authorizes WSU to operate food service establishments. Permits are issued by the Whitman County or local area health department and are valid for one year. (WAC 246-215) (Also see Food Service Establishment Inspection Reports, DAN #00-07-59783.) | **Retain** for 3 years after termination of permit *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 10-12-62316Rev. 1 | ***Food Worker Authorizations***Electronic database, which provides University copy of authorizations from the Whitman County Health Department to University food service employees to handle food. The Whitman County Health Department issues a food worker card to each authorized employee in Whitman County. (*WAC* 246-217, *WAC* 170-295-3170) | **Retain** for 5 years after date of issue *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-02-62418 Rev. 0 | ***Greenhouse Gas Emission Data and Reports for EPA***Provides a record of greenhouse gas emission data and reports gathered by the University and submitted to the EPA. Requirement to retain records is in accordance with EPA regulations under 40 *CFR* Part 98 Subpart A. Length of retention is in accordance with EPA regulations, 40 *CFR* 98.3(g). | **Retain** for 3 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63624Rev. 0 | ***Hazard Communication Program***Provides a record of department written hazard communication programs and lists of hazardous chemicals present in the workplace. Program is updated as needed to reflect current chemical use. | **Retain** until superseded *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OFM |
| 11-12-63625Rev. 0 | ***Hazard Notification (WSU 1247)***Reports of safety hazards or unsafe practices. | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63627Rev. 0 | ***Hazardous Materials Shipping Papers***Provides a record of the shipment of dangerous goods, which are not waste materials. Includes date of acceptance by the initial carrier or date on the shipment waybill, airbill, or bill of lading, shipping name, identification number, quantity transported, and date of shipment. May include Dangerous Goods Declarations.Records retention is in accordance with 49*CFR*172.201(e). | **Retain** for 2 years after date of shipment *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63628Rev. 0 | ***Incident Report (WSU 1131)*** Used to report injury, illness, or workplace incident involving WSU personnel or third parties (e.g., student, off-duty employee, visitor, volunteer). | **Retain** for 6 years after claim resolved *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OPR |
| 11-12-63631Rev. 0 | ***Industrial Accident Witness Statement***Used by a witness to provide a written account of an accident involving WSU personnel. | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 00-08-59871 Rev. 1 | ***Laboratory and Clinic Safety Program Records***Provides a record of laboratory and clinic safety programs. Includes, but is not limited to, safety audits; logs regarding mechanical, chemical, and biological monitoring of equipment (e.g., autoclaves, refrigerators); training; and reports. (32*CFR*627.7(g)) | **Retain** for 3 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 10-12-62323Rev. 0 | ***Medical Surveillance Files***Provides a record of the physical condition of certain University employees over time; provides health benchmarks and data regarding possible exposures. | **Retain** for 70 years after end of calendar year *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OPR |
| 00-07-59787Rev. 1 | ***Notice of Construction Permits for New Air Emission Sources***Provides a record of permits required by Washington DOE in order to obtain an Air Operating Permit for construction and operation of new air emission sources. Requirement to retain records in this series is in accordance with *WAC* 173-400-110. Cutoff is in accordance with valid period of the permit, as per the Air Quality Notice of Construction (NOC) Permit section of the DOE and Washington State Governor’s Office of Regulatory Assistance *Environmental Permit Handbook*. | **Retain** for 5 years after life of facility *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 16-06-68983Rev. 0 | ***Nuclear Reactor Facility Records***Provides records of the operation, maintenance, and monitoring of WSU's nuclear reactor facility. Primary documentation includes records of radiation surveys, radiation exposures, and radioactive releases, and nuclear facility drawings. (Secondary copy documentation includes reactor operations logs, maintenance logs, abnormal occurrence logs, radioactive material transfers, and irradiation records.)NOTE: This records series does not include reactor fuel records (see DAN #89-02-43760). | **Retain** for 10 years after facility ceases in operation *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |
| 00-07-59785Rev. 3 | ***On-Site Sewage System Permits***Provides a record of permits issued by local health jurisdictions for on-site disposal of sewage. Records retention requirement in accordance with *WAC* 246-272A-0200 and *WAC* 246-272B-02700. | **Retain** for 3 years after life of system *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 16-06-68984Rev. 1 | ***Radiation Safety Records***Provides various records regarding the University departmental use of radioactive materials and machines. Includes, but is not limited to, records of use applications, authorizations, assignments, and protection; radioactivity exposure hazard acknowledgments, history, and monitoring; incidents and accidents; air, water, and solid waste documentation; equipment registrations and maintenance; and shipment and delivery records. Length of retention is in accordance with WAC 246-221-230(7). | **Retain** for 30 years after termination of WSU radioactive materials license *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-02-62360Rev. 0 | ***Registered Pesticide Application Records***Provides a record of the application of registered pesticides for registered uses by all licensed University applicators and all University personnel applying pesticides to more than one acre of agricultural land in a calendar year. Includes Washington State Department of Agriculture (WSDA) recordkeeping forms or departmentally-designed recordkeeping forms and WSDA approval letters.Length of retention is in accordance with *WAC* 16-228-1320(3). | **Retain** for 7 years after date of application *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 10-12-62324Rev. 1 | ***Respirator Program Records***Provides a record of authorization for respirator use based on any employee medical conditions requiring prior physician approval, workplace/hazard exposure information, respirator selection, respirator fit-testing, and employee training for respirator use. Includes Respirator Authorization/Respirator Fit-Testing and Training Record and copies of written responses by physician/licensed health care practitioners to Labor & Industries medical questionnaires. (WAC 296-842, WAC 296-802) | **Retain** for 70 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63636Rev. 1 | ***Safety and Fire Inspection Checklist***Used to conduct self-inspection of department/work area. Note: Any problems found are reported to the applicable supervisors and Environmental Health and Safety (EH&S) using Self-Inspection Worksheet or Hazard Notification forms. | **Retain** until superseded *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63640Rev. 0 | ***Safety Orientation Checklist (WSU 1249)***Documents review of safety procedures. | **Retain** for 2 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63641Rev. 0 | ***Self-Inspection Worksheet***Documents findings and corrective actions resulting from self-inspection of the work area. | **Retain** for 6 years after end of fiscal year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOPR |
| 00-07-59793Rev. 1 | ***State Waste Water Discharge Permit Reports***Provides a record of Washington State Department of Ecology (Ecology)-required reports for disposal of waste water. (*WAC* 173-216) Length of retention is in accordance with WAC 173-220-210(2)(c)). | **Retain** for 3 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 00-07-59776Rev. 1 | ***State and Higher Education Hazardous Waste Contracts***Provides a record of contracts for hazardous waste disposal and/or treatment. This series includes contracts between WSU and environmental disposal companies for hazardous waste disposal; between the state of Washington and ETSC, Inc. (not an acronym), a hazardous waste disposal facility, for reactive waste treatment for state higher education facilities; and between the state of Washington and hazardous waste disposal companies or vendors for hazardous waste treatment for state facilities. (Retention period length requested per liability as discussed in 40*CFR*35.6015, 40*CFR*35.6705, 42*USC*103.9603 and 42*USC*103.9607.) | **Retain** for 50 years after termination of contract *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 17-06-69108Rev. 1 | ***Sterilization Equipment Logs***Provides a record of sterilization equipment (e.g., autoclaves) monitoring to ensure no bacterial growth from the equipment. Log information may include, but is not limited to:* Chemical and biological indicator test results;
* Sterilizer maintenance and wrapping;
* Load numbering of packs.

Length of retention is in accordance with *WAC* 246-145-030. | **Retain** for 3 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 00-07-59794Rev. 2 | ***Stormwater Permit Files***Department of Ecology monitoring data and permits to discharge stormwater associated with construction site and municipal activities. Includes Construction Stormwater Permits and Eastern Washington Phase II Municipal Stormwater Permits.Requirement to retain records is in accordance with DOE rules, *WAC* Chapter 173-226, and length of records retention as specified in *WAC* 173-226-090(2)(c). | **Retain** for 5 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63643Rev. 0 | ***Supervisor's Accident Investigation Report (WSU 1246)***Records accident investigation by supervisor. | **Retain** for 5 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 00-07-59795Rev. 3 | ***Swimming Pool Records***Includes the following records required under DOH rules in accordance with *WAC* 246-260:* Permits allowing the University to operate swimming pools (*WAC* 246-260-101(3)(a))
* Water quality data and samples; swimming pool sanitation inspections; and safety and water quality surveys performed by EH&S.

Length of retention is in accordance with *WAC* 246-260-121(3)(a). | **Retain** for 3 years after end of calendar year *or*termination of permit *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| WSU 11: Security Records |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 11-12-63615Rev. 0 | ***Key Assignment Record (WSU 1210)***Provides a record of all keys assigned to an individual. | **Retain** for 1 year after termination of employment *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63616Rev. 0 | ***Key Roster***Provides a record of all key holders assigned a specific key. | **Retain** for 1 year after key removed from service *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| WSU 12: Student Records |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 98-10-58845Rev. 2 | ***Academic Catalog and Class Schedule***Provides a record of classes offered by the University for the specified time period. Includes a record of the schedules of classes at each WSU campus, listed by term. Published online, class information grouped by subject, and includes locations, times, instructor names, number of credits per class, and enrollment limit. | **Retain** for 50 years after end of academic year or summer session *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOPR |
| 07-12-61638Rev. 3 | ***Academic Counseling Files***Files containing client intake sheets, notes from advising center counselors, assessment results, student reinstatement requests, records of advisor assignment, certification of major, enrollment status, and supporting documentation for reinstatement requests, as applicable. | **Retain** for 4 years after end of academic year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 98-06-58518Rev. 3 | ***Activity/Club/Trip Records***Provides a record of activity, club, and/or trip participant names, permanent addresses, phone numbers, and emergency contact information for classes, trips, sport clubs, intramural sports, and athletic summer camps. May include rosters, process and proceedings, and coach and/or chaperone assignments. | **Retain** for 2 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 02-09-60409 Rev. 0 | ***Admission Application Materials – Not Enrolled***Provides a record of admission application and supporting documentation for students who do not enroll at WSU. Paper originals may be destroyed after scanned and verified plus 1 year. | **Retain** for 3 years after end of semester *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63441Rev. 1 | ***Class Examinations, Papers, Assignments, Agreements, Correspondence***Provides a record of student examination, papers, projects, and other assignments completed by students in fulfillment of course or degree requirements. Includes, but is not limited to, agreements or contracts between students and professors for design and implementation of special projects, independent study, and/or research; hardcopy materials not retrieved by the students after they are graded; electronically-stored student materials retained by the instructor or department; class materials, online discussions and e-mail, generated by or maintained in the electronic learning management system. | **Retain** for 1 year after end of term *then***Destroy** . | NON-ARCHIVALNON-ESSENTIALOFM |
| 98-05-58469Rev. 1 | ***College and University Residence Questionnaire (Undergraduate Students)***Provides a record of students' requests and processing for state of Washington residency for purposes of obtaining in-state tuition. | **Retain** 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 98-10-58848Rev. 2 | ***Commencement Program and Diploma Books***Provides a record of graduating students for each graduation ceremony. Includes lists of all candidates, student names and addresses, and degrees received for each particular graduation date. | **Retain** for 50 years after end of fiscal year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOPR |
| 92-10-51358Rev. 1 | ***Complaint File***Provides a record of all student-related complaints initiated by students, parents, faculty, public, etc., and directed to the campus office of student affairs or subsidiary. Includes the content of the complaint, referrals, and any resolution. | **Retain** for 3 years after complaint resolved *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 91-04-47849Rev. 1 | ***Continuing Education***Records of each offering and program including budget, class roster, summary, and handouts. Including, but not limited to, record of certification of completion of continuing education credits by pharmacists as required by the American Council of Pharmacy Education. | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63442Rev. 0 | ***Course History Files***Provides a record of the content of approved academic courses. May include course descriptions, sample examinations, textbook lists, bibliographies, syllabi, class presentation material, etc. | **Retain** until course discontinued *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |
| 11-12-63443Rev. 0 | ***Curriculum Development File***Committee minutes, annual reports, faculty development reports, major and minor course changes, and related information. | **Retain** for 5 years after end of fiscal year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |
| 98-10-58849Rev. 0 | ***Daily Eligibility Reports***Provides a record of daily eligibility status for each current student-athlete. Used to inform various offices and individuals of the participation status of each student athlete. | **Retain** for 1 year after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 95-09-55849Rev. 3 | ***Disabled Student Folders***A record of confidential test results which document disabilities requiring accommodation. Includes: letters/test results from physicians and other health providers, accommodation forms, recommendation memos for students by campus disability support services or campus student affairs, counseling notes, file face sheet of personal information. | **Retain** for 10 years after the last date the student was in contact with Disability Service office  *or*4 years after student’s graduation date from WSU *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 09-09-62112Rev. 1 | ***Election Records-Associated Students of Washington State University***Provides a record of election processes and results of voting by WSU students for student government officers. | **Retain** for 5 years after end of academic year *then***Destroy** . | NON-ARCHIVALNON-ESSENTIALOFM |
| 02-07-60395Rev. 3 | ***Electronic Prospective Student Data*** Database provides a record of prospective student data for recruitment purposes only.Secondary copies of this series include records of interaction with community colleges for purposes of recruitment; departmental lists of prospective student information showing fields of interest, scholarships, and awards; and background information obtained from non-WSU sources for import into the prospective student database. | **Retain** for administrative purpose served *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 00-07-59805Rev. 0 | ***Emergency Notification***Provides a record of notification to professors by memorandum when students will be missing class due to an emergency situation. | **Retain** for 1 year after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 00-08-59837Rev. 3 | ***Employee Tuition Waiver Files***Provides a record of authorization for WSU employees, state employees, and senior citizens to take WSU classes during fall, spring, and summer semesters without paying tuition. Includes, but is not limited to: Tuition Fee Waiver Request (WSU 1082) and Summer Educational Benefit Request (WSU 1365) forms. | **Retain** for 4 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 92-10-51357Rev. 2 | ***Enrollment and Status Changes***Provides a record of changes to enrollment and status information. Primary copies include documentation of University enrollment or withdrawal, documentation of payment of petition fees, and support for cancellations of enrollment without refund. Reference copies include records of student requests for class registration, course drop or add, and exceptions to the academic calendar based on extenuating circumstances, student petitions for course requirement waivers or substitutions; student permissions to provide student information to third parties; and permissions to audit courses.*Note: This series does not include VA and GI Bill enrollment and enrollment certification (retained by the campus registrar/admissions offices under Veterans’ Records [DAN #96-02-56464]).* | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 92-09-51051Rev. 1 | ***Fee Waiver File***Provides a record of allocated tuition fee waivers for undergraduates. Includes applications, approved allocations, statistical reports, and copies of Temporary Employment Applications. | **Retain** for 2 years after end of fiscal year *then***Destroy** . | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63444Rev. 1 | ***Grade Files***Provides a record of student's class performance for the purposes of evaluation and calculation of transcript grades. Includes, but is not limited to, grade books, instructor's grade data, electronic and paper records of midterm and final grades, changes submitted after grade books are completed, e.g., incomplete grades, supplemental grade changes, college petitions to change already-posted grades, course challenge forms, special exam forms, associated memoranda, instructor's grade data generated by or maintained in an electronic learning management system. Reference copy information includes authorizations from students to release grades to specified individuals. | **Retain** for 5 years after end of fiscal year *then***Destroy** . | NON-ARCHIVALNON-ESSENTIALOFM |
| 16-06-68982Rev. 2 | ***Health Client Files (Age 18 and Over)***Provides a record of University health care practitioner consultation and treatment of clients 18 years or older. University health care practitioners include, but are not limited to, physicians, mental health counselors, Psychology Clinic therapists, certified athletic trainers, personal trainers, speech/language pathologists, and audiologists. Records may include, but are not limited to, informed consent forms; HIPAA notice of privacy acknowledgement records; appointment and/or training schedules; test results; interview, consultation, and/or examination notes and assessments; treatment plans and reports; records received from other health care practitioners. (Retained in accordance with *RCW* 70.41.190, *RCW* 70.02.030, and *WAC* 246-320-166.) | **Retain** for 10 years after last activity *then***Destroy** . | NON-ARCHIVALNON-ESSENTIALOPR |
| 20-08-69553Rev. 0 | ***Health Client Files (Under Age 18)***Provides a record of University health care practitioner consultation and treatment of clients under age 18. University health care practitioners include, but are not limited to, physicians, mental health counselors, Psychology Clinic therapists, certified athletic trainers, personal trainers, speech/language pathologists, and audiologists. Records may include, but are not limited to, informed consent forms; notice of privacy acknowledgement records; appointment and/or training schedules; test results; interview, consultation, and/or examination notes and assessments; treatment plans and reports; records received from other health care practitioners. (Retained in accordance with *RCW* 70.41.190, *RCW* 70.02.030, and *WAC* 246-320-166.) | **Retain** for 10 years after last activity *or*3 years after patient attains age 18, *whichever is longer* *then***Destroy** . | NON-ARCHIVALNON-ESSENTIALOPR |
| 75-08-13202Rev. 2 | ***Internship Program File***Intern and sponsor data relating to selection and work of student interns. | **Retain** for 3 years after termination of project *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 85-01-34896Rev. 3 | ***Program Advisor File – Associated Students of WSU***Documents the activities of student organizations. | **Retain** for 5 years after end of academic year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 98-05-58484Rev. 3 | ***Registered Student Organization Files***Files containing approved constitutions, past officer cards, and yearly Organization Report forms. | **Retain** for 6 years after end of academic year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63452Rev. 0 | ***Scholarships Awarded by Departments – Recipient Records***Provides a record of recipients of departmentally-administered scholarship funds. May include applications, departmental scholarship authorization forms, rating forms, award notifications, etc. | **Retain** for 6 years after award *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63451Rev. 1 | ***Scholarship Program Administration Files***Provides a record of a scholarship program administered by a University department. May include establishment of fund, correspondence, list of recipients, etc. | **Retain** for 6 years after termination of program *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOPR |
| 79-11-23869Rev. 2 | ***Scholarship Transaction Files***Provides a record of transactions concerning WSU and non-WSU originating scholarship programs. May include acknowledgements of receipt of donations for specific scholarships, applications, applicant scores, selection process, recipient of each award, and authorization to transfer funds to recipient's student account. | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 98-10-58846Rev. 3 | ***Student Admissions and Registration Records – Enrolled***Provides a record of the student's admissions information and supporting documentation. Includes: transfer credits, University application, citizenship information, transcripts from other institutions, test scores, subpoenas for student records, Affidavit/Declaration/ Certification forms, Education Abroad transcript update memoranda and foreign credentials, updated transfer credit reports, changes in reported ethnic origin, correspondence, narrative responses, and promise notes.*Note:**Paper originals may be destroyed after scanned and verified plus 1 yr.* | **Retain** for 50 years after last activity  *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 02-09-60404Rev. 1 | ***Student and Graduate Student Assistant (GSA) Medical Insurance Enrollment Records***Record of who is enrolled in student and graduate student assistant medical plans. | **Retain** for 3 years after end of plan year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 98-10-58862Rev. 2 | ***Student Athlete Eligibility Files***Provides a record of academic, eligibility, and activity information for student-athletes. Includes academic and eligibility information, documentation of student-athletes' addition or removal from participation rosters, playing and practice schedules, and other documentation as required by the Pacific 12 Conference (PAC 12), the National Collegiate Athletics Association (NCAA), sport sponsorships, and other applicable rules and regulations. | **Retain** for 10 years after end of fiscal year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOPR |
| 11-12-63454Rev. 0 | ***Student Data Warehouse***Provides a record of demographic information about students, courses enrolled, and transcript data. Also includes enrollment statistics for each course offering. | **Retain** for 45 years after end of academic year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 92-10-51356Rev. 3 | ***Student Disciplinary Records***Provides a record of student discipline cases. Includes, but is not limited to: filed complaints and grievances, police reports, hearing questions and notes, sanctions invoked, data on others involved, final resolutions. Excludes:* Situations when the sanction invoked is expulsion of the student, in which case the final decision letter is transferred to the series *Student Disciplinary Records – Expulsion – Final Decision Letter (DAN 17-06-69109)*;
* Records covered by *Title IX Records* *(DAN 21-06-69638)*.
 | **Retain** for 7 years after case resolved *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 17-06-69109Rev. 0 | ***Student Disciplinary Records – Expulsion – Final Decision Letter***Provides a record of student discipline cases in which expulsion is the sanction invoked. Includes, but is not limited to, police reports, hearing questions and notes, and data on others involved. | **Retain** for 25 years after case resolved *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 99-03-59042Rev. 2 | ***Student Financial Aid Records***Documents financial aid data for individual students. Includes income and work study information, computations, letters, assignments, evaluations, and records of allocation of tuition fee waivers. Retention length is in accordance with *RCW* 40.14.060. | **Retain** for 6 academic years  *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63455Rev. 0 | ***Student Folders***Provides a reference record of each student's progress towards a degree. May include photographs, previous transcripts, application, final performance summary, resume, and exit questionnaire. | **Retain** for 1 year after student graduates, transfers, quits *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63448Rev. 2 | ***Student Information System (SIS) – Enrollment Data***Automated system (database) used to provide transaction-based enrollment data including date and time stamp information on electronic adds, drops, course withdrawals, and related information. | **Retain** for 10 years after end of academic year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63449Rev. 2 | ***Student Information System (SIS) – Student Data***Automated system (database) used to provide demographic and summary academic information on each student, including visa type, residency status, major, class standing, grade point averages, credit totals, and academic standing. | **Retain** for 60 years after individual graduates, transfers, quits *then***Destroy** . | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63458Rev. 2 | ***Student Transcripts***A student's grade record towards a degree. | **Retain** permanently. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OPR |
| 99-12-59548Rev. 1 | ***Summer Session Information***Provides a record of summer activity fees for allocations for summer events, a listing of course assignments, course schedule and summer session salary calculations, and related correspondence. | **Retain** for 4 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 83-11-33255 Rev. 1 | ***Theses and Dissertations***Provides a record of projects prepared for completion of requirements for graduate degrees. | **Retain** for 6 years after graduation or last date of attendance *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |
| 05-10-61052 Rev. 0 | ***Transcript Requests***Provides a record of request by students for copies of transcripts to be sent to themselves and/or third parties. Includes transcript given to federal investigators with consent forms. | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 09-06-62033 Rev. 0 | ***Undergraduate Change of Major and Certification of Second Major and Minor Forms***Provides a record of certification of second major or minor, change of major, and completion of minor or second minor forms. | **Retain** for 4 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 96-02-56474Rev. 1 | ***Veterans' Records***Provides a record of documents affecting a veteran's status, e.g., letters and forms from Veterans Affairs, work-study documentation. | **Retain** for 5 years after last activity *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 95-11-56151Rev. 2 | ***Writing Assessment Files***Provides a record of student writing skills. Used for assessment and class placement of undergraduates into first year and upper division writing courses. Includes writing examinations and portfolios, related billing and academic data, and summary databases. Provides research data for writing studies when authorized by the student. | **Retain** for 5 years after timed writing date or last activity *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

2. DEPARTMENTAL SERIES

| 0001: Office of the Provost |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 24-02-69716Rev. 0 | ***Accreditation Complaints***Complaints related to the accreditation process which are received by WSU that relates solely to:* Eligibility requirements;
* Standards for accreditation;
* Policies or procedures.

*Note: Retention is in accordance with Northwest Commission on Colleges and Universities - Record of Student Complaints and 34 CFR §602.16(a)(1)(ix).* | **Retain** for 7 years after date of complaint *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| 0006: College of Nursing – Finance and Administrative Services |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 91-04-47846Rev. 1 | ***Nursing Student Folders***Provides a reference record of each nursing student's progress towards a degree. For undergraduates includes: photograph, departmental and transfer transcripts, application, immunization forms, WSP forms, post-admission questionnaire, evaluations, final performance summary, resume, and exit questionnaire. For graduates includes: application packet, program of study, GREs, thesis abstract, departmental transcripts, evaluations. NOTE: After folder contents are microfilmed and verified, the microfilm copy is retained under Purged Nursing Student Folders [DAN 16-06-68989]. | **Retain** for 5 years after student graduates, transfers, quits *then***Microfilm** and verify *then***Destroy, as noted**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 16-06-68989Rev. 0 | ***Purged Nursing Student Folders***Microfilm copy reference record of each student's progress towards a degree. For undergraduate includes: photograph, final transcript with degree posted, application, post-admission questionnaire, final performance summary, resume, and exit questionnaire. For graduates includes: final transcript with degree posted, application packet, approved program of study, transfer work, GREs, thesis abstract, evaluations. | **Retain** for 30 years after student graduates, transfers, quits *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 0008: Elson S. Floyd College of Medicine |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 84-12-34784Rev. 3 | ***Willed Body Program – Donor Files*** Used to monitor status of active body donations and inactive donors whose bodies have been cremated. These files are housed in the Elson S. Floyd College of Medicine, WSU Spokane. Cadavers are usually kept up to four years. Some cadavers are leased to other learning institutions. File may include:* Donation consent forms.
* Donor personal information and health history.
* Information about disposition of remains after cremation.
* Documentation of agreement with other institutions if cadavers are loaned.
* Documentation for monitoring status of prosected parts from selected cadavers.
 | **Retain** for 6 years after cremation *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| 0012: Carson College of Business |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 83-01-31118Rev. 1 | ***Masters Papers File***Projects completed by students in partial fulfillment of master's requirement for non-thesis degrees. Includes business plans, portfolio or other documents related to the "final program requirement," but does not include papers for course work outside of the graduation requirement. | **Retain** for 5 years after departure of student *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 0014: Murrow College of Communication |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 02-01-60318Rev. 2 | ***Annual Radio Employment Report***Provides a report of employment per radio station, as per FCC regulations. (47*CFR*73.2080) | **Retain** for 5 years after end of calendar year *or*until station license is renewed *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 02-01-60320Rev. 2 | ***Annual TV Employment Report***Provides a report of employment per television station, as per FCC regulations. (47*CFR*73.2080) | **Retain** for 7 years after end of calendar year *or*until station license is renewed *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 02-01-60322Rev. 0 | ***Daily Operation Report***Documents daily radio operations.*Note: Retention length due to functional use of the information by the department.* | **Retain** for 6 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 02-01-60324 Rev. 0 | ***Emergency Alert System Records***Documents Emergency Alert System (EAS) operations and readiness, as per FCC regulations. (47*CFR*73.1250 and .1840) | **Retain** for 2 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 75-12-16236 Rev. 0 | ***Equipment Receipts***Record of loaned items. | **Retain** for 1 year after end of academic year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 02-01-60326Rev. 2 | ***FCC Authorization File***Provides a record of the radio and television stations' licenses to operate, issued by the FCC, and related documentation, as per FCC regulations. CFR states that the FCC authorizations (licenses) must be kept until stations cease operations.*Note: NWPB radio station license lasts 8 yrs., television station license lasts 8 yrs. (47 CFR 73.3526)* | **Retain** until stations cease operations *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 02-01-60327 Rev. 1 | ***FCC Investigations or Complaints***Provides a record of materials on any report to the licensee concerning FCC investigations or complaints, as per FCC regulations. (47*CFR*73.3526) | **Retain** for 1 year after investigation complete and written notification sent by FCC to station director *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 02-01-60328Rev. 1 | ***Political Files***Provides a record of all requests for broadcast time made by or on behalf of candidates for public office. Also, records disposition of such requests, including scheduling and rates charged, as per FCC regulations. (47 *CFR* 73.1943, *RCW* 42.17.110) | **Retain** for 3 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 02-01-60329Rev. 0 | ***Public Broadcasting Manual***Manual of FCC rules and regulations covering the operation of public broadcasting radio and television stations. (47*CFR*73.3526) | **Retain** until superseded *or*until stations cease operations *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 02-01-60330Rev. 2 | ***Radio Issues/Program List***Documents the radio station’s treatment of community issues, as per FCC regulations. Includes promotional and program data, as well as tape recordings of programs for broadcast. (47*CFR*73.3526) | **Retain** for 5 years after end of calendar year *or*until station license renewed *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |
| 02-01-60331Rev. 0 | ***Radio Local Public Notice Announcements***Provides proof of pre- and post-filing notices for public notice announcements of the station’s intent to file for operating license. Announcements to be aired over local and cable television, as per FCC regulations. (47*CFR*73.3526 and .3580) | **Retain** for 5 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 84-10-34650Rev. 2 | ***TV and Radio Program and Engineering Logs***Documents operating parameters of television and radio stations, as per FCC regulations. (47*CFR*73.1840) | **Retain** for 2 years after end of calendar year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |
| 84-10-34651Rev. 3 | ***TV Issues/Program List***Documents TV station’s treatment of community issues, as per FCC regs. (47*CFR*73.3526) Includes promotional and program data, and tape recordings of programs for broadcast. | **Retain** for 7 years after end of calendar year *or*Until station license renewed *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |
| 02-01-60333Rev. 0 | ***TV Local Public Notice Announcements***Provides proof of pre- and post-filing notices for public notice announcements of the station's intent to file for operating license. Announcements to be aired over local and cable television, as per FCC regulations. (47*CFR*73.3526 and .3580) | **Retain** for 7 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 02-01-60334Rev. 1 | ***TV Must-Carry Requests*** Provides a record of requests by noncommercial television station for local cable TV providers to "must carry" the station as part of their offered services, as per FCC regulations. Request period is three years (47*CFR*73.3525 and .3527; 47*CFR*76.64 and .1608) | **Retain** until request period completed *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 0016: Graduate School |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 06-07-61218Rev. 0 | ***Waiver of Tuition for Graduate School***Provides authorization for graduate students to take WSU classes without paying tuition. | **Retain** for 6 years after end of academic year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| 0017: International Programs |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 10-08-62294Rev. 1 | ***Foreign Faculty Files***Provides a record of foreign faculty (typically those with J-1, H-1B, or TN immigration status) compliance with U.S. Citizenship and Immigration Services (USCIS) requirements. May include copies of the following documents: Form DS-2019 Certificate of Eligibility for Exchange Visitor Status (J nonimmigrant), I-797 Notice of Action, Employment Authorization Document, Form I-94 Arrival-Departure Record, financial and employment documentation related to applicable immigration status, separation/departure/ termination record, and other documents as requested or required. | **Retain** for 6 years after last activity *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 10-08-62295Rev. 1 | ***Foreign Student Files***Provides a record of foreign student (typically those with J-1 and F-1 immigration status) in compliance with USCIS requirements and related requirements. May include copies of the following documents: Form DS-2019 Certificate of Eligibility for Exchange Visitor Status, Form I-20 Certificate of Eligibility for Nonimmigrant Student Status (F nonimmigrant), Form I-94 Arrival/Departure Record, financial and employment documentation related to applicable immigration status, separation/departure/termination record, and other documents as requested or required. | **Retain** for 6 years after last activity *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| 0020: Vice President for Finance and Administration |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 93-09-52936Rev. 0 | ***External/Professional Organizations***Provides a record of associations with external organizations. | **Retain** for 2 years after end of fiscal year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |

| 0021: Facilities Services |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 07-05-61517Rev. 0 | ***Backflow Incidents and Cross-Connection Control Annual Reports***Provides a record of drinking water system backflow incidents and annual cross-connection program summary reports. (Length of retention is in accordance with *WAC* 246-290-490(8)(a)(iii)) | **Retain** for 5 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 07-05-61518Rev. 0 | ***Cross-Connection Control Inventory Information***Provides a record of inventory information on approved air gaps installed in lieu of approved public water supply assemblies, approved backflow assemblies, approved atmospheric vacuum breakers (AVBs) used for irrigation system applications, and associated information in accordance with *WAC* 246-290-490. (Length of retention is in accordance with *WAC* 246-290-490(8)(a)(ii)) | **Retain** for 5 years after end of fiscal year  *or*Until end of life of approved backflow preventer (whichever is shorter) *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 07-05-61519Rev. 0 | ***Daily Source Meter Readings***Provides a record of daily water source meter readings for WSU-controlled drinking water systems. (A daily source meter measures total output of a water source over the period of one day, in accordance with *WAC* 246-290-010.) (Length of retention is in accordance with *WAC* 246-290-480(1)(a)) | **Retain** for 10 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 07-05-61520Rev. 0 | ***Master List of Service Connections and/or Consumer's Premises***Provides a master list of water system service connections and/or consumer's premises where WSU relies upon approved backflow preventers to protect the public water system from contamination. Includes the assessed hazard level of each and the required backflow preventer. (Length of retention is in accordance with *WAC* 246-290-490(8)(a)(i).) | **Retain** for 2 years after service connections and premises no longer pose a cross-connection hazard to WSU controlled drinking water system *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 0035: Office of Research |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 06-07-61217Rev. 1 | ***Research Misconduct Files***Provides a record of inquiries, investigations, and actions regarding complaints of research misconduct. Includes records of inquiry and investigation and copies of all documents furnished to the Office of Research. (42*CFR*93.317(5)(b)) | **Retain** for 7 years after case closed *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| 0041: WSU Extension |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 91-04-47840Rev. 1 | ***Federal Plan of Work***State and county report describing activities to be undertaken. | **Retain** for 8 years after end of calendar year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |
| 75-10-15939Rev. 2 | ***Federal Reports of Accomplishment***A summary of activities conducted by state and county offices. | **Retain** for 2 years after end of calendar year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |

| 1220: Alumni Association |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 79-01-21899 Rev. 0 | ***Alumni Board Meeting Minutes***Documents actions of board. | **Retain** for 20 years after end of calendar year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOPR |

| 1250: Department of Anthropology |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 83-11-33254Rev. 0 | ***Archeological Research Data***Provides a record of information collected by University archeological research teams. | **Retain** permanently. | NON-ARCHIVALNON-ESSENTIALOFM |

| 1320: Athletics |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 98-07-58630 Rev. 0 | ***Medical Insurance Records***Billings and reimbursements for athletically related injuries and illnesses. Parent medical insurance information to support claims. | **Retain** for 6 years after claim resolved *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 96-11-57170 Rev. 0 | ***NCAA Forms***Provides a record for NCAA membership and compliance purposes. | **Retain** for 5 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 75-12-16352 Rev. 0 | ***Ticket Applications***Requests for season or single game tickets. | **Retain** for 1 year after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 1470: Procedures, Records, and Forms |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 05-02-60803 Rev. 0 | ***Forms Users Database***Database used to generate number series for tracking WSU Invoice vouchers. This database includes the WSU Invoice (Receivables System) Number File. | **Retain** for 6 years after superseded *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 05-02-60804 Rev. 0 | ***Review Record***Database summary of policies and procedures manuals revision drafts sent for review and approval. | **Retain** for 6 years after new section or revision published *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 1930: Student Financial Services |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 99-03-59041Rev. 1 | ***Federal Student Aid Programs Applications and Reports***Provides a record of reapproval/recertification applications and associated reports required for the University to participate in federal student financial aid programs. Includes the Fiscal Operations Report and Application to Participate (FISAP) and the Application for Approval to Participate in Federal Student Financial Aid Programs (E-App). Records are required in accordance with 34*CFR*600.31 and U.S. Department of Education rules. (Length of retention is based on functional need, as retention period is not stipulated in federal rules or regulations.) | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 1970: Dining Services |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 03-11-60605Rev. 1 | ***Dining Services Employee Acknowledgment Files***Provides a record of Dining Services employee acknowledgments of receipt of position manuals (e.g., cashier manual, student employee handbook, work area lead manual), understanding of position responsibilities, and training in equipment use. | **Retain** for 6 years after termination of employment *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 96-05-56648 Rev. 0 | ***Dining Services Incident Reports***Provides a record of incidents occurring within unit, e.g., theft, vandalism, and disruptions. | **Retain** for 4 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 96-05-56656Rev. 1 | ***Interunit Transfer Vouchers***Provides a record of transfers of products or labor between Dining Service units. | **Retain** for 1 year after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 96-05-56678Rev. 1 | ***Student Employee Earned Meal Slip (unit office)***Provides verification that a student employee is eligible for a meal during the work shift. | **Retain** until end of activity *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 2110: Business Services – General Accounting/Revenue |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 74-10-07079Rev. 1 | ***Transmittal Letters to Bank***Support for disbursement of payroll to bank. | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| 2120: Office of Research Support and Operations (ORSO) |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 09-10-62138Rev. 1 | ***Conflict of Interest Database***Database that tracks research employee's conflict of interest cases (re: EP27). | **Retain** for 3 years from termination of casethen**Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 19-06-69366Rev. 0 | ***MyResearch Portal for ORSO Mandatory Trainings***Daily list of WSU personnel who completed mandatory trainings through the ORSO MyResearch online Portal. Trainings include, but are not limited to, Responsible Conduct of Research and Financial Conflict of Interest. | **Retain** for 5 years from completion of trainingthen**Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 2210: Department of Horticulture |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 87-08-40688 Rev. 0 | ***State Commodity Commissions***Research and other correspondence with the state's commodity commissions. | **Retain** for 10 years after end of fiscal year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |

| 2300: Institutional Research |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 05-02-60806Rev. 1 | ***Historical Records***Statistical data collected from many sources and primarily in paper format, used for analysis of past and present data as well as future projections. Data topics include all aspects of university functions, e.g., degrees granted; average ages of faculty, students, personnel; facilities data; comparisons with peer institutions. | **Retain** for 20 years after end of fiscal year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |

| 2360: Office of the Campus Veterinarian |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 24-02-69717Rev. 0 | ***Animal Care Documentation***Provides a record of health monitoring and husbandry procedures from OCV-managed animal care facilities at the frequency approved in Institutional Animal Care and Use Committee (IACUC) Animal Subject Approval Forms and Standard Operation Procedures. *NOTE:* *Retention is in accordance with the PHS Policy on Human Care and Use of Laboratory Animals.* | **Retain** for 3 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 99-08-59214Rev. 2 | ***Animal Facilities Site Visit Records***Provides a record of informal visits by Office of the Campus Veterinarian personnel to WSU animal laboratory/care facilities to evaluate animal care. | **Retain** for 2 years after date of visit *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 99-08-59221Rev. 2 | ***Animal Identification and Disposition Files***Provides a record of receipt, ownership, and disposition of dogs and cats and record of disposition of animals other than dogs and cats, in accordance with USDA regulations and the Washington State Pet Theft Law. Includes USDA APHIS Forms 7005, 7006, 7019, 7020, and True Owner Certificates. | **Retain** for 3 years after disposition *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 99-08-59228Rev. 1 | ***Animal Laboratory Reports***Provides a record of animal bodily fluids testing and/or tissue testing (for various types of analysis) conducted for WSU by various companies, most often related to animal health monitoring and veterinary diagnostics. | **Retain** for 3 years after completion of project *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 99-08-59231Rev. 4 | ***Animal Medical Records/Certificates***Includes:* Records of medical care provided to WSU-owned animals used in research and teaching. Official document to show medical care, alleviation of pain, and resolution of medical condition is performed as per University policy, USDA Animal Welfare Act mandate, and WSU attending veterinarian oversight; and
* Official records endorsed by a licensed veterinarian, which state that the animal is free of any infectious disease, pests, or physical abnormality which would endanger the animal or other animals or endanger the public health. Includes United States Department of Agriculture (USDA) and State Department of Agriculture Certificates of Veterinary Inspection and State Livestock Certificates.
 | **Retain** for 1 year after disposition of animal *or*3 years after project completed *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 2430: Manuscripts, Archives, and Special Collections (MASC) |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 03-11-60597Rev. 1 | ***Registration Forms and Daily Register***In-office registration forms and daily register for patron's onsite visits to MASC. Created manually in hard copy and maintained only in hard copy. Record includes patron's name, address, phone number, e-mail address, research topic, publication plans, photo ID number, signature, date, and time in and out. | **Retain** for 10 years after end calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 2580: Museum of Art |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 75-09-14243Rev. 3 | ***Exhibition Folders***Documents the development and operation of each exhibit. Includes gallery exhibit descriptions, and gallery attendance documents and summary graphs. | **Retain** permanently. | NON-ARCHIVALNON-ESSENTIALOFM |
| 17-06-69099Rev. 0 | ***Museum of Art and Fine Arts Department Historical Documents***Documents the history of the Museum of Art and Fine Arts Department. | **Retain** permanently. | NON-ARCHIVALNON-ESSENTIALOFM |
| 75-09-14246 Rev. 0 | ***University Art Collection/Accession Data***Documents acquisition and use of University's art holdings. | **Retain** permanently. | NON-ARCHIVALNON-ESSENTIALOFM |

| 2630: Nuclear Radiation Center |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 89-02-43760Rev. 2 | ***Reactor Fuel Records***Semiannual inventory of special nuclear materials (SNM) on site and records of all SNM received or shipped off site. (Federal regulations require special protection of these records.) | **Retain** for 10 years beyond life of the facility *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 2690: University Ombudsman |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 92-03-50218Rev. 1 | ***University Ombudsman Annual Report***Provides a summary of the Ombudsman's activity for a given academic year. | **Retain** until for 25 years after end of academic year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |
| 92-03-50217Rev. 0 | ***Case Files***Provides a record of complaint investigation. | **Retain** until after claim resolved *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 2710: Payroll Services |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 16-06-68985Rev. 0 | ***Accumulative Calendar Year-To-Date Expense Microfiche***Computer-generated microfiche providing year-end accumulation of earnings statement information. Earnings statements are produced each pay cycle in alphabetical order showing employee gross pay and net pay, employer contributions, and funding sources. The year-end copy is retained. | **Retain** for 100 years after end of calendar year *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OFM |
| 16-06-68988Rev. 0 | ***Earnings Statement Microfiche***Computer-generated microfiche produced each pay cycle in alphabetical order showing an employee's pay cycle and year-to-date current payment details of hours, gross pay, deductions and reductions, net pay, bank account, employer contributions, employee address. | **Retain** for 100 years after end of calendar year *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OFM |
| 75-02-09276Rev. 1 | ***Master File Maintenance Input***Manual input sheets used to make temporary or permanent changes in the payroll system from MANTRAN (Entity Data Change forms), TEAs (Temporary Employment Authorizations), expense transfers, and/or RAs (retroactive adjustments). | **Retain** for 6 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 00-07-59807 Rev. 0 | ***Relocation Expense Request***To request payment of taxable relocation expenses. | **Retain** for 6 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 99-12-59517Rev. 0 | ***Social Security Number Changes***File may include employee name, job type, incorrect and correct social security number, and copy of social security card. | **Retain** for 6 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 99-12-59507Rev. 0 | ***State Retirement Reporting***May contain working papers, preliminary reports, final transmittals used in accounting for employee and employer contributions for all state retirement plans, such as Public Employees Retirement Systems, Teachers and Law Enforcement Officers and Firefighters. | **Retain** for 6 years after termination of employment *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OPR |
| 99-12-59508Rev. 0 | ***Teachers Insurance Annuity Association (TIAA) and Investment Retirement Reporting***Computer-generated report, which may include employee name, SSN, amount of deduction, year-to-date amount of deduction per pay cycle. May also include balancing and working documents. | **Retain** for 6 years after end of calendar year *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OFM |
| 75-02-09307Rev. 1 | ***Unemployment Compensation Expense Files***Calculate distribution of unemployment insurance expense. | **Retain** for 7 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 2870: President’s Office |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 16-06-68986 Rev. 0 | ***Board of Regents' Minutes, Archival Microfilm Copy***Microfilm copy of Board of Regents' minutes. | **Retain** permanently. | NON-ARCHIVALNON-ESSENTIALOPR |

| 2900: Psychology |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 92-09-51054 Rev. 0 | ***Experiment Signup Sheets***Record of individuals who sign up for various experiments conducted during each semester. | **Retain** for 6 months after end of semiannual period *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 2930: Radiation Safety Office |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 19-06-69365Rev. 0 | ***Compliance Records***Records related to, or which may help establish, compliance with Chapter 246-247 WAC, such as:* Reports of air sampling, surveys, annual reports, etc. (License Condition 37.D. and 40 CFR 61.95);
* Records of the quality assurance program to assure the reliability and accuracy of Liquid Scintillation Counters used by Radiation Safety for analyzing wipe samples (License Condition 29);
* Survey results from surveys performed by RSO staff, authorized users, and radiation workers and laboratory audits which include surveys (License Condition 27). WAC 246-247-080(8).
 | **Retain** for 5 years after record creation *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 19-06-69368Rev. 0 | ***Radiation Program Records – Short Term***Records specified under WAC 246-221-230(9) and other related documents. Includes, but not limited to:* Records created by authorized users and kept in laboratory, including: inventories, users log sheets, and user surveys. WAC 246-221-230 (9)(e);
* Records related to annual calibration of radiation detection instruments. WAC 246-221-230 (9)(d) and WAC 246-240-566;
* Records related to the decommissioning funding plan and financial assurity, updated every three years. WAC 246-235-075;
* Records related to the results of sealed source leak tests. WAC 246-240-113. Length of retention in accordance with WAC 246-240-572.;
* Records of purchase approvals for radioactive materials or radiation producing machines. WAC 246-221-230 (9)(e);
* Documents radioactive waste held for decay in storage by laboratories and RSO. WAC 246-221-230 (9)(f) and 246-240-128;
* Includes audits, where required, and other reviews of program content and implementation including annual audits of RSO by RSC. Also includes RSC meeting agendas and minutes. WAC 246-221-230 (9)(e);
* Documents a record of sealed source leak tests and inventory. WAC 246-221-080 and WAC 246-240-113. Records retention requirement found in WAC 246-240-572.;
* Documents the surveys performed on radioactive material when it arrives on WSU property. WAC 246-221-230(9)(c).
 | **Retain** for 3 years after record creation *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 19-06-69369Rev. 0 | ***Radiation Protection Plan Policies, Procedures, and Authority Records***Records related to the management of a radiation protection plan, such as:* Policies and procedures of the Radiation Protection Program. WAC 246-221-230 (8)(c);
* Delegation of authority to the Radiation Safety Officer from the institutional official. WAC 246-240-051;
* Documents related to applications for use of radioactive materials or machines at WSU and all successive records regarding authorization. WAC 246-221-230 (8)(c).

*Note: Length of retention is in accordance with WAC 246-221-230 (8).* | **Retain** for lifetime of license *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 19-06-69370Rev. 0 | ***Radioactive Material Inventory, Licenses, and Waste Management***Records related to radioactive material management, such as:* Database which tracks all radioactive orders, storage and decay and the eventual disposal of the radioactive material. WAC 246-221-230 (8)(a);
* Radiation license, application, and correspondence regarding Radioactive Materials License (WN-C003-1). WAC 246-221-230 (8)(c).

Records related to the management of radioactive waste, such as:* Records of Radioactive Waste disposed of via sewer release or air release. WAC 246-221-230 (8)(a);
* Records that documents radioactive waste shipped off-site for disposal. WAC 246-221-230 (8)(a) and License Condition 35.

*Note: Length of retention is in accordance with WAC 246-221-230 (8).* | **Retain** for lifetime of license *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 19-06-69373Rev. 0 | ***Shipping Records – Licensed Materials*** Includes documents of shipments of radioactive materials to other licensed users (length of retention in accordance with WAC 246-231-136) and to authorized users within the WSU system. 49 CFR 172.201. | **Retain** for 3 years after shipment *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| 2970: Registrar |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 98-10-58847Rev. 0 | ***Census Reports***Demographic information about students, including enrollment statistics and student names. | **Retain** for 12 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 98-10-58858Rev. 0 | ***Name Change***Provides a record of name changes authorized by students. | **Retain** for 50 years after end of fiscal year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOPR |
| 98-10-58864Rev. 1 | ***Undergraduate or Professional Applications for Degrees or Certificate***Provides a record of official requests for graduation by students. Used to generate a to-do list of requirements for graduation. Includes applications for undergraduate certificates and Certification of Completion of Undergraduate Certificate forms. | **Retain** for 5 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 2980: Business Services – Sponsored Programs |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 95-07-55765Rev. 1 | ***Daily Cash Balance/Revenue Pool Accounts***Daily cash balance report used in the preparation and submission of daily cash draws to sponsoring agencies. | **Retain** for 1 year after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 95-07-55767Rev. 0 | ***Federal Indirect Cost Rate Agreement***Contains the indirect cost rate, period, base and application for charging federally sponsored projects both on- and off-campus. | **Retain** for 6 years after superseded *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| (3020): Public Safety Administration – Fire and Safety Compliance |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 19-06-69364Rev. 1 | ***Access Logs***Includes:* Written log of all instances of access to, or use of, surveillance records; and
* Electronic log of camera access and use.

*Note: Retention is based on U.S. Department of Justice’s Criminal Justice Information Services Security Policy.*  | **Retain** for 1 year after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 17-06-69074Rev. 0 | ***Daily Record or Log of Fire Services***Records relating to information received from courts or other law enforcement agencies regarding the disposition of a court process in accordance with RCW 10.97.045. | **Retain** for 7 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 17-06-69081Rev. 0 | ***Fire Code Violation Notification***Record of official notice of violation and statement of required corrective action. | **Retain** for 6 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 17-06-69082Rev. 0 | ***Fire Incident Reports***Includes basic information collected to document each fire incident. | **Retain** for 6 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 17-06-69083Rev. 0 | ***Fire Investigation Documentation – Closed Investigations***Provides a record of investigations of all fire incidents **which do not result in loss of human life** and which are resolved as accidents or result in arson convictions. Excludes records covered by *Fire Investigation Documentation – Incidents That Result in Loss of Human Life (DAN 17-06-69084)*.Excludes investigations involving hazardous materials, which are covered by *Chemical Spill Management Records (DAN 11-12-63619)*, *Chemical Exposure Records (DAN 10-12-62314)*, and/or *Radiation Safety Records (DAN 16-06-68984)*.*Note: The primary record copy may be held by the city or county fire marshal, law enforcement agency, or the State Fire Marshall's Office.* | **Retain** for 6 years after conviction *or*10 years after decision not to proceed with investigation *then***Transfer** to Archives for permanent retention. | **ARCHIVAL****(Permanent Retention)**NON-ESSENTIALOPR |
| 17-06-69084Rev. 0 | ***Fire Investigation Documentation – Incidents That Result in Loss of Human Life***Provides a record of investigations of **all incidents that result in loss of human life**, including investigations of arson and fires for which a cause has not been determined and those that have not been resolved by a conviction.*Note: Reference RCW 9A.04.080, which provides an unlimited amount of time to file criminal charges in cases of arson that result in the loss of human life.* | **Retain** for 6 years after conviction *or*10 years after decision not to proceed with investigation *then***Transfer** to Archives for permanent retention. | **ARCHIVAL****(Permanent Retention)**NON-ESSENTIALOPR |
| 17-06-69085Rev. 0 | ***Fire Investigation Documentation – Open Investigations of Incidents That Do Not Result in Loss of Human Life***Provides a record of investigations of arson and fires for which a cause has not been determined, that have not been resolved by a conviction.Excludes investigations involving hazardous materials, which are covered by *Chemical Spill Management Records (DAN 11-12-63619)*, *Chemical Exposure Records (DAN 10-12-62314)*, and/or *Radiation Safety Records (DAN 16-06-68984)*.Excludes records covered by *Fire Investigation Documentation – Incidents That Result in Loss of Human Life (DAN 17-06-69084)*.*Note: Reference RCW 9A.04.080, which requires that criminal charges must be filed within 10 years after the occurrence of an arson that does not result in the loss of human life.* | **Retain** for 10 years after decision not to proceed with investigation *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| 3040: WSU Police Department |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 17-06-69062Rev. 0 | ***Clery Reporting Files***Provides a record of annual security reporting to comply with Clery Act requirements and supporting records used in compiling the reports. Includes, but is not limited to, data from case files, daily activity logs, and internal review/investigation files; correspondence involving WSUPD personnel and also external law enforcement agencies; communications to campus community members. | **Retain** for 7 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 17-06-69072Rev. 0 | ***Criminal History Record Information – Misdemeanors (Without Fingerprints)*** Criminal history record information (as defined by RCW 10.97.030(1)) on individuals charged with misdemeanors.Includes, but is not limited to: * Identifiable descriptions;
* Notations of arrests, charges and dispositions;
* Mug shots.

Excludes copies of rap sheets printed from state or federal criminal history databases which are covered by *Reference Materials (DAN GS 50013)*. | **Retain** until offender attains 99 years of age  *or*until offender is deceased, *whichever occurs sooner,* *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OPR |
| 17-06-69089Rev. 1 | ***Internal Review/Investigation – Recordings (Transcribed Verbatim)***Digital or analog **recording(s)** of investigational conversations with victim, suspect, witness, or other individual associated with an internal review or investigation ***provided that*** the: 1. The digital/analog **recording is transcribed verbatim** and verified; ***and***,
2. The **transcription is retained** with the associated investigation/review records covered by *Complaints, Grievances, and Misconduct Records (Peace/Corrections Officers) (DAN LE2022-003)*.
 | **Retain** recording(s) for 1 year after transcribed and verified *then***Destroy** the recording(s). | NON-ARCHIVALNON-ESSENTIALOPR |

| 3160: Human Resource Services |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 78-10-21213Rev. 2 | ***Cash Withdrawal Certification File***Evidence of employee withdrawal of funds from Teachers’ Insurance and Annuity Association—College Retirement Equities Fund (TIAA-CREF). | **Retain** for 2 years after end of calendar year  *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 07-12-61646Rev. 0 | ***Corrective Action Documentation – Bargaining Unit 4 Employees***Provides a record of corrective actions taken against Bargaining Unit 4 employees as a result of upheld personnel complaints. Retention is in accordance with Bargaining Unit 4 Agreement (Agreement between WSU and WSU Police Guild), article 15.9. NOTE: If an incident of a similar nature is documented within the retention period, the records of the original and all of the similar incidents are retained together, and the cutoff is applied to the date of corrective action of the most recent incident. (This records series does not cover disciplinary actions against Barg. Unit 4 employees. Disciplinary action records are retained under separate records series: Disciplinary Action Documentation-Barg. Unit 4 Employees [DAN #07-12-61647].) | **Retain** for 1 year after date action finalized *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 78-10-21215 Rev. 0 | ***Deceased Employee File***Analysis of benefits due to employee’s heirs. | **Retain** for 10 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 07-12-61647Rev. 0 | ***Disciplinary Action Documentation – Bargaining Unit 4 Employees***Documentation of suspensions or demotions of Bargaining Unit 4 employees as a result of upheld personnel complaints. Retention is in accordance with Bargaining Unit 4 Agreement, article 15.9. NOTE: If an incident of a similar nature is documented within the retention period, the records of the original and all of the similar incidents are retained together, and the cutoff is applied to the date of suspension or demotion documentation of the most recent incident.(This records series does not cover corrective actions against Barg. Unit 4 employees. Corrective action records are retained under separate records series, DAN #07-12-61646.) | **Retain** for 3 years after date issued  *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 08-01-61714 Rev. 0 | ***Drug or Alcohol Test Results – Verified Negative*** Provides a record of verified negative drug or alcohol test results for drivers of heavy equipment or other vehicles, which require a commercial driver's license. (49*CFR*655.71(b)(3)) | **Retain** for 1 year after date of results *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 07-08-61400 Rev. 0 | ***Drug or Alcohol Test Results – Verified Positive***Provides a record of verified positive drug or alcohol test results for drivers of heavy equipment or other vehicles, which require a commercial driver's license. (49*CFR*655.71(b)(1)) | **Retain** for 5 years after date of results *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 95-07-55598Rev. 1 | ***Medical Disability Files for Active Employees***Medical information related to a disability **not** related to worker's comp (non-duty claims); may include long-term disability; retirement; life insurance items; family medical leave; or shared leave. | **Retain** for 3 years after return to work *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 95-07-55600Rev. 2 | ***Medical Retirement Files***Provides a record of health-related retirements, life insurance waivers. (Employees retiring for medical reasons may apply for waivers of life insurance premiums.) | **Retain** for 60 years after termination of employment *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 78-10-21217Rev. 2 | ***Medical, Supplemental Retirement Account (SRA), Life Insurance, and Long-Term Disability (LTD) Enrollment Forms Plus Beneficiary Designations***Evidence of employee enrollment in medical, dental, supplemental retirement account, life insurance, and long-term disability plans. NOTE: For employees who retire and continue to participate in the medical insurance program, medical insurance plan records are sent to the WA Healthcare Authority in Olympia upon termination of employment. | **Retain** for 3 years after termination of employment *then***Destroy, except as noted**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 17-06-69098Rev. 0 | ***Medicare Data Match Secondary Payer Program***Documents WSU submission of Data File to Medicare as required by *U.S. Code* Title 42. Also includes health care enrollment questionnaires which will be used by Medicare to ensure it has not paid health care claims inappropriately. | **Retain** for 6 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 78-10-21214Rev. 3 | ***Retired Employee File/PERS***Advise employee on retirement matters. | **Retain** for 60 years after termination of employment *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 78-10-21216Rev. 2 | ***Retirement Supplementation File/TIAA-CREF***Analysis of earnings and establishment of payments due to retiree. | **Retain** for 60 years after termination of employment *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 02-10-60467Rev. 0 | ***Training Report (WSU 1308)***Record of each employee's training sessions. Used to enter employee training information into the Employee Training History Files database. | **Retain** for 1 year after data entry and verification *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 02-09-60408 Rev. 0 | ***Workers' Compensation Claim Database***Electronic database used to provide workers' compensation claims data to the office of Risk Management Services and the office of Environmental Health and Safety for completion of various reports, such as year-end reports to WA Risk Management and the Occupational Health and Safety Administration (OSHA). | **Retain** for 5 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 3310: Faculty Senate |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 92-10-51361Rev. 1 | ***Faculty Case Files***Provides record of faculty complaint adjudication and investigation. *Note: Records are held by the Faculty Status Committee.* | **Retain** for 5 years after case resolved *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 75-02-09325Rev. 1 | ***Faculty Senate Files***Provides a record of correspondence, reports, and documentation related to Faculty Senate activities and actions for history and planning. Includes official Senate meeting minutes; standing committee materials and actions; agendas, correspondence, memos, and reports; ballots for Senate officers and committee members; rosters of Senators with a constituency and other demographic detail (used for eligibility for Senate).  | **Retain** permanently. | NON-ARCHIVALNON-ESSENTIALOFM |
| 92-10-51363Rev. 1 | ***Faculty Status Committee Annual Report***Provides a summary of the activities of the Faculty Status Committee for a given academic year. | **Retain** for 25 years after end of academic year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |
| 85-09-36079 Rev. 0 | ***Tenure and Promotion File***The criteria for tenure and promotion consideration of the academic unit located in a neutral, central location to be accessible to anyone. | **Retain** permanently. | NON-ARCHIVALNON-ESSENTIALOFM |

| 4050: University Recreation |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 98-06-58517Rev. 1 | ***Climbing Wall Certification Records***Documentation of user attendance in and satisfactory completion of a climbing gym training session. | **Retain** for 3 years after superseded or obsolete *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 98-06-58507Rev. 1 | ***Disciplinary Action Reports***Provides a record of disciplinary actions taken by the University Recreation, the Office of Student Conduct, or the Sport Club Council regarding violations by sport clubs of the WSU Standards of Conduct for Students, or University Recreation or Sport Club Federation standards. | **Retain** for 6 years after action taken *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 98-06-58521Rev. 1 | ***Non-Credit Class Sign-Up Forms***Provides a record of participants in Outdoor Recreation Center (ORC)-sponsored non-credit classes. | **Retain** for 3 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 98-06-58519Rev. 1 | ***Outdoor Recreation Center Group Equipment Responsibility Agreement***Provides a record of user agreement to accept responsibility for Outdoor Recreation Center (ORC) equipment for the activity in which the user is participating. | **Retain** for 3 years after termination of activity *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 98-05-58485Rev. 1 | ***Recreation Class Logs***Lists of people who have signed up for various recreation classes. Includes when they signed up and how much they paid. | **Retain** for 6 years after end of academic year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 98-06-58514Rev. 2 | ***Sport Club Constitutions and Officer Files***Documents constitutional agreements and bylaws and records of students chosen to serve as officers for each sport club in the Sport Club Federation. | **Retain** until superseded *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 98-06-58522Rev. 2 | ***State Parks and Recreation Department Records***Provides a record of participant registration in State Parks and Recreation Department skiing activities. Includes Idaho State Parks and Rec. Dept. Park N' Ski records and receipts and Washington State Parks and Rec. Dept. Sno-Park records; both of which ORC is an authorized dealer. | **Retain** for 2 years after end of fiscal yearthen**Destroy.** | NON-ARCHIVALNON-ESSENTIALOFM |

| 4080: WSU Prosser, Irrigated Agriculture Research and Extension Center (IAREC) |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 76-05-17272Rev. 1 | ***Weather Data***Provides a record of daily weather conditions. | **Retain** for 20 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 4110: WSU Mount Vernon NW Washington Research and Extension Center |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 76-05-17237Rev. 1 | ***Plot Plans***Documents location, type of product, when planted, etc. | **Retain** for 5 years after last activity *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |

| 4120: WSU Wenatchee Tree Fruit Research and Extension Center |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 76-05-17280Rev. 0 | ***Annual Report of Equipment and Method of Harvest***Review of activities. | **Retain** for 10 years after end of calendar year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |
| 76-05-17281 Rev. 0 | ***Annual Report of Fruit Frost***Review of conditions. | **Retain** for 10 years after end of calendar year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |
| 76-05-17284 Rev. 0 | ***Western Cooperative Spray Project***Minutes, correspondence, reports on activities. | **Retain** for 10 years after end of calendar year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |

| 4140: Cougar Health Services |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 03-02-60434Rev. 0 | ***C-II Drug Order Report (DEA [Drug Enforcement Administration] Form 222)***Form submitted to the Drug Enforcement Administration (DEA) to report the order of Schedule II controlled substances (C-II). Records of controlled substance orders and dispensations are used along with annual inventory reports to keep track of controlled substances, as per DEA regulations. (21*CFR*1304.4)(Note: State Records Committee has determined federal retention requirements give precedence over state requirements (OPR/6 yr).) | **Retain** for 2 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 03-02-60435 Rev. 0 | ***Explanation of Benefits***Informational forms sent to Health and Wellness Services from medical insurance companies summarizing what claims were paid, how much was paid, and any reasons for denial or closure of claims. Includes summary information concerning billed and paid claims, as well as Labor and Industries and Department of Social and Human Services claims. NOTE: These are *not* billing documents. | **Retain** for 2 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 03-02-60436 Rev. 0 | ***Invoices for Controlled Substances***Provides a record of purchases of controlled substances from drug wholesalers. | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 03-02-60437 Rev. 0 | ***Narcotic Inventory Annual Report***Provides a record of the annual inventory of controlled substances held by Health and Wellness Services. (21*CFR*1304.4) *Note: State Records Committee has determined federal retention requirements give precedence over state requirements (OPR/6 yr).* | **Retain** for 2 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 03-02-60438 Rev. 0 | ***Patient Safety Closure Exemption***Provides a record of patient request for prescription drugs to be dispensed in a non-child-resistant package (with easy-open lid). (*WAC* 246-869-230) | **Retain** for 6 years after date of request *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 00-08-59833 Rev. 0 | ***Pharmacy Records***Provides a record of the receipt and disposition of legend drugs. (*RCW* 69.41.042) | **Retain** for 2 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-10-62781Rev. 0 | ***Prescription Records***Provides a record of prescribed patient medication. Includes records regarding original prescriptions, refill prescriptions, refill prescription authorizations, prescription refill limitations, prescription copies, prescription labels, and emergency refills. Requirement to retain records in accordance with Department of Health rules, *WAC* 246-869-100, and Department of Social and Health Services (DSHS) rules, *WAC* 388-530-5000 and *WAC* 388-502-0020. Length of retention chosen to meet the longer retention requirements in DSHS rules regarding Medicaid and Medicare records, *WAC* 388-502- 0020. | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| 5800: WSU Children’s Center |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 07-12-61640 Rev. 0 | ***Applications for Admission – Cancelled Applications***Provides a record of applications for children who did not enroll in and attend the Children's Center. | **Retain** until end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 92-01-49653Rev. 3 | ***Children's Personal File***Record of the children who attend the Center. Includes information about the child's immunology record, general health, attendance, parents' schedule and location, emergency information, and permission forms. (*WAC* 110-300-0460) *Note: Retention based on requirements in accordance with WAC 110-300-0465.* | **Retain** for 3 years after no longer enrolled *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 92-01-49650Rev. 3 | ***Attendance Records***Provides a record of scheduled attendance for children and staff members. Used to verify staff/child ratios, as per DCYF licensing requirements. (*WAC* 100-300-0455) *Note: Retention based on requirements in accordance with WAC 110-300-0465.* | **Retain** for 5 years after no longer enrolled *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 92-01-49654Rev. 2 | ***Department of Children, Youth, & Families License***Copy of license to operate childcare center. (*WAC* 110-300-0455) License is non-expiring. (*RCW* 43-216.305)*Note: Retention based on requirements in accordance with RCW 43.216.305.* | **Retain** for the life of the child care center *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 07-12-61644Rev. 1 | ***Working Connections Child Care Social Service Authorizations***Provides record of child care subsidies issued by Washington State through the DCYF Working Connections Child Care program. (*WAC* 110-15-0034) *Note: Retention based on requirements in accordance with WAC 110-300-0465.* | **Retain** for 3 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 92-01-49652Rev. 2 | ***USDA Child Care Food Program Contract Renewal Supporting Data***Record of menus, attendance, records, income statements, claims for reimbursement, daily meal count, copy of the contract, operating expenses, and USDA statements of reimbursements. (7 *CFR* 226.6 and 7 *CFR* 226.11) | **Retain** for 6 years after end of funding period/grant cycle *and*completion of all grant requirements for that cycle, *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 6030: University Publishing/Cougar Copies |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 86-12-39170 Rev. 1 | ***Copyright Certificates***Provides a record of ownership for published works. | **Retain** for 6 years after termination of copyright *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOPR |
| 04-06-60707 Rev. 0 | ***Copyright Permission Documentation***Provides a record of signatures from clients declaring that they are in compliance with copyright laws. (17*USC*507) | **Retain** for 5 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 6810: Internal Audit Office |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 04-06-60695Rev. 3 | ***Advisories***Working files containing reports, internal memoranda, correspondence, work papers, and other material related to assistance provided by Internal Audit to WSU employees and units to resolve minor internal department or ethics situations. These reviews and correspondence do not reach the action level of internal audit reports. | **Retain** for 3 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 6900: Global Campus |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 00-12-60008Rev. 1 | ***Complaint and Dispute Letters***Provides a record of letters from students contesting grades, lodging complaints about program services or tuition payment issues, or other petition requests. | **Retain** for 5 years after issue resolved *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 88-04-41994Rev. 1 | ***Continuing Education Unit Records***Provides documentation of CEU credits offered and received by conference participants. | **Retain** permanently. | NON-ARCHIVALNON-ESSENTIALOPR |
| 88-04-41995 Rev. 0 | ***Course File***Includes a course description, registrations, permanent class list, grade forms, course approval forms, calendar of class dates, and billing information to students. | **Retain** for 5 years after superseded *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 04-06-60702Rev. 1 | ***Excess Revenue Sharing Report***Provides a record of excess Learn 365 revenue shared with colleges participating in Learn 365. | **Retain** for 10 years after end of Learn 365 session *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| 6960: Environmental Health and Safety (EH&S) |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 10-12-62312Rev. 0 | ***Asbestos Air Sampling Records***Provides a record of samples taken of air from University buildings and work areas to determine if employees have been exposed to asbestos. (*WAC* 296-62-07727(7-8)) | **Retain** for 70 years after sampling date *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 00-08-59865 Rev. 0 | ***Asbestos Bulk Sampling Records***Provides a record of samples taken of materials from University buildings and work areas to determine the presence of asbestos. (*WAC* 296-62-07727(7-8)) | **Retain** until demolition of building *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 00-08-59869Rev. 2 | ***Confined Space Form***Confined space entry form that documents how hazards are controlled to authorize employee ingress, egress, and work in a confined space, as defined in WAC 296-809. | **Retain** for 1 year after permit cancelled *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 00-07-59791Rev. 2 | ***Drinking Water Bacteriological Data***Provides a record of bacteria levels in public water systems. Requirement to retain records and length of retention is in accordance with Washington Department of Health (DOH) rules, *WAC* 246-290-480(1)(a). | **Retain** for 5 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-02-62415Rev. 1 | ***Drinking Water Chemical Analysis Data***Provides a record of chemical levels in public water systems.Requirement to retain records and length of retention is based upon DOH rules, *WAC* 246-290-480(1)(a). | **Retain** for 3 years after life of system *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 00-07-59778Rev. 2 | ***Hazardous, Chemical, and Mixed Waste Shipment, Treatment, and Disposal Records***Provides a record of shipments to, and storage, treatment, and disposal of hazardous, chemical, and mixed wastes. Includes records of shipments to and storage of hazardous waste generated by WSU at the MARCO of IOTA (not an acronym) Superfund site (shipments to this site stopped on July 1996); chemical waste type, location, date, treatment and disposal method; underground storage tank installation, tank tightness testing, tank removal, site assessment reports (e.g., analytical, etc.), tank shipment; Uniform Hazardous Waste Manifests; Land Disposal Restriction forms and waste profiles; Chemical Collection Requests (WSU1280) associated with site contamination; and mixed waste shipments to Quadrex (a disposal facility) and other mixed waste treatment/disposal facilities. (Length of retention period per generator liability outlined in *WAC* 173-303-170, *WAC* 173-303-210, 40*CFR*304.12, 40*CFR*35.6015, 40*CFR*35.6705, 42*USC*103.9603, 42*USC*103.9607) | **Retain** for 50 years after date of shipment or treatment *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 00-07-59774Rev. 1 | ***Hazardous Waste Program Development Records***Provides a record of the federal Environmental Protection Agency (EPA) and Washington Department of Ecology (Ecology) hazardous waste facility inspections, citations, and WSU responses. These records comply with EPA and Ecology regulations regarding waste program development. (See also record series titled "Safety Infractions, Citations, Violations, and Correspondence" [DAN #78-10-21224].) | **Retain** for 10 years after date of inspection *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 00-08-59872 Rev. 0 | ***Laser Program Survey***Provides a record of survey of locations and classifications of lasers located on WSU campuses. (21*CFR*1002.31) | **Retain** for 5 years after date of survey *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 10-12-62313Rev. 1 | ***Lead Sampling Records***Provides a record of samples taken of air and materials from University buildings, work areas, and equipment to determine if employees have been exposed to lead. (*WAC* 296-155-17609(3)(a), *WAC* 296-155-17629(4)(a)) | **Retain** for 70 years after sampling date *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 00-07-59780Rev. 2 | ***Model Toxic Control Act (MTCA) Records***Provides a record of Washington Department of Ecology (Ecology) correspondence, site assessment, and waste management records.(Length of retention period per generator liability outlined in *WAC* 173-303-170, *WAC* 173-303-210, 40*CFR*304.12, 42*USC*103.9603, 42*USC*103.9607) | **Retain** for 50 years after completion of site remediation *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 10-12-62315 Rev. 1 | ***Noise Exposure Records***Records pertaining to noise exposure and hearing loss in University work locations. Includes, but is not limited to: Employee Audiometric Test, which provides a record of employee audiometric tests to determine hearing loss. (*WAC* 296-817-20040, *WAC* 296-802-200, *WAC* 296-802-20005) and noise assessment records. (*WAC* 296-817) | **Retain** for 70 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 78-10-21224Rev. 2 | ***Safety Infractions, Citations, Violations***Report and EH&S correspondence with the Washington Department of Labor and Industries (L&I) related to inspections, violations, infractions, and citations. | **Retain** for 10 years after completion of correspondence regarding inspection, violation, infraction, or citation *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |
| 00-07-59782Rev. 3 | ***WSU Part B Hazardous Waste Permit Records***Provides a record of closures and visual site inspections (VSI) for Part B permits issued by state and federal agencies to allow storage of hazardous wastes. (Length of retention period per generator liability outlined in *WAC* 173-303-170, *WAC* 173-303-210, 40*CFR*304.12, 42*USC*103.9603, 42*USC*103.9607) (See also the following series: Hazardous, Chemical and Mixed Waste Shipment, Treatment, and Disposal Records [DAN #00-07-59778 Rev. 1], and Model Toxic Control Act (MTCA) Records [DAN #00-07-59780].) | **Retain** for 50 years after date of permit *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OPR |

| 6990: Transportation Services |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 02-10-60451Rev. 0 | ***Parking License Records***Provides a record of vehicle license information for service and temporary permits issued and non-permit parking violators. | **Retain** for 2 years after administrative purpose served *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 84-10-34646Rev. 2 | ***Parking Violations and Appeals Records***Documents the violations issued and the appeals from fines. | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| 7210: Government Relations |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 00-10-59931Rev. 0 | ***Federal Lobbying Activity Quarterly Report (WSU 1366)***Provides a record of contacts between University personnel and federal officials, such as Members of either House of Congress, high-level executive branch officials, or high-level federal agency officials. (2*USC*1605) | **Retain** for 6 years after end of semiannual period *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| 7220: Cougar Card Center |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 17-06-69054Rev. 0 | ***Biometric Profile Data***Provides a record of mathematical representation of fingerprints or other unique physical characteristics (also referred to as "biometric profile") used for identity verification to support physical security (e.g., door access) and fiscal transaction (e.g., purchases from campus vendors) systems. The biometric profile data system is ancillary to the card system used for door access and fiscal transactions.Excludes card system records covered by *Authorization – Building/Facility Access (DAN GS 25001)* and *Authorization – Systems/Telecommunications Access (DAN GS 14012)*. | **Retain** for 3 months after related card account/access is terminated *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| (7840): Admissions and Registration, WSU Tri-Cities |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 96-02-56469 Rev. 0 | ***Loan Deferment Forms***Provides a record of approved deferments. | **Retain** until end of term *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 96-02-56470 Rev. 0 | ***Registration Forms***Provides a record of class registration, includes drop/add forms. | **Retain** for 5 years after last activity *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 96-02-56471 Rev. 0 | ***Registration Statistics***Provides a summary record of registration figures for each term. Summarizes enrollment by program and budget within program. | **Retain** for 20 years after end of term *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 8316: WSU Spokane – Pharmacotherapy (Drug Information Center) |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 10-07-62268 Rev. 0 | ***Drug Information Center Request***Provides a record of requests for information about various drugs, including sources checked, computer searches, response given, date and method of response, names of researchers and respondents. Requested retention is in accordance with the "Purpose—Findings—Intent—2006" statement at the end of *RCW* 4.16.350, where it states that the legislature recognizes an eight-year statute of repose on medical malpractice suits. | **Retain** for 8 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 8319: Office of Commercialization |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 98-05-58476Rev. 0 | ***Technology Case Files***Records documenting each invention disclosure. Each file contains all the paperwork associated with the invention, including patents, license agreements, and miscellaneous agreements. | **Retain** for 6 years after case closed *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| 8327: Housing and Residence Life |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 83-06-32429 Rev. 0 | ***Case Summary File***Provides a record of circumstances relating to medical, psychological, or disciplinary problems encountered by students. Includes Case Summary forms and related correspondence. Confidential--supervised destruction. | **Retain** for 5 years after end of academic year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 01-02-60036Rev. 0 | ***Custodial Report of Damages***Form used to report all damages to residence hall common areas. Used to assess responsibility to student, floor, or hall, including damage costs. | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 83-06-32431 Rev. 0 | ***Permission to Release Grades and Membership Lists***Provides a record of students' authorization to release grades to specified individuals. | **Retain** for 1 year after end of academic year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 83-06-32427Rev. 1 | ***Room Inventory Assessment***Provides a record of students' evaluation of living conditions and services provided by the University. | **Retain** for 5 years after end of academic year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 8373: Washington State Pest Management Resource Service |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 11-02-62361 Rev. 0 | ***Experimental Pesticides – Application and Use Records***Provides a record of the application and use of experimental pesticides by research personnel from WSU and other state agencies. Includes all resulting collected data and reports regarding the methods used to destroy the treated crops and/or food.Length of retention is in accordance with *WAC* 16-228-1320(3). | **Retain** for 7 years after date of application or experimental use *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-02-62362 Rev. 0 | ***Pesticide Labels***Provides a record of pesticides registered with the Washington State Department of Agriculture (WSDA). Each label includes material ingredients, specific directions for use, and name of manufacturer which registered the pesticide with the WSDA. Pesticide labels are legal documents, serving much as legally binding contracts between the registrant and the user. | **Retain** for 7 years after date of last use *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| 8388: Energy Program |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 93-04-52259Rev. 2 | ***Bonneville Power Administration, Electric Ideas Clearinghouse, Program Files***Provides a record of Clearinghouse program files, including: original referral directory application forms that provide client approval to be listed in the referrals directory electronic database; chronological correspondence files; telephone billing and summary reports; Clearinghouse program reports; and Clearinghouse mailing lists and documentation. | **Retain** for 4 years after termination of participation *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 91-12-49504Rev. 2 | ***Bonneville Power Administration (BPA), Project Design Assistance Working Files***Provides documentation of contacts with commercial developers and their design teams, phone conversations with building developers, design assistance with contractors and Bonneville Power Administration. Copies of task orders and correspondence, used by Energy Program staff to answer public inquiry and requests for information. | **Retain** for 4 years after termination of project *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 80-05-24863Rev. 1 | ***Building Energy Consumption Performance Standards***Records notes on general content of Building Energy Performance Standards critique. | **Retain** for 3 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 91-02-47406Rev. 2 | ***District Heating Planning and Development***Documents Energy Program's role in promoting district heating processes to potential users. It includes district heating feasibility studies, publications and reports of implementation of district heating plans. | **Retain** for 15 years after end of calendar year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOPR |
| 92-06-50663Rev. 2 | ***Energy Edge, Program Activity History Files***Provides documentation on program activity and data information of Energy Program sponsored buildings under BPA's regional program investigating cost effective energy conservation measures for new commercial buildings. | **Retain** for 8 years after close of program *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |
| 99-08-59239Rev. 0 | ***Energy Program/Power Washington Settlement Files***Provides a record of the Power Washington/Washington State Energy Office (WSEO) portion of a settlement with Exxon Corp., as a result of a lawsuit brought against Exxon by the Department of Energy (DOE). Distribution of settlement monies was transferred to the Energy Program from the WSEO, effective July 1998. File includes background information, settlement papers, and budget planning for the portion of monies redistributed to the Energy Program as part of the settlement. | **Retain** for 25 years after completion of settlement *then***Hold** until release permission obtained from DOE (per 10 *CFR* 210.1(5) *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 80-04-24639Rev. 2 | ***Geothermal Development and Planning***Provides detailed information on geothermal legislation, research, development and planning. Lists location of geothermal exploration. Records interaction between the Oregon Institute of Technology and the Energy Program. | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 91-02-47405Rev. 2 | ***Geothermal Resources, Research and Development – State, National, and International*** Provides a comprehensive view of northwest, national, and international geothermal resources and development. Includes feasibility studies, reports, and planning studies that identify areas of potentials exploration and development of geothermal resources. | **Retain** for 15 years after end of calendar year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOPR |
| 93-07-52592Rev. 2 | ***Manufactured Housing Acquisition Program (MAP), In-Plant Checklists and Approval Notices*** These files provide documentation from Washington Mobile Home Manufacturers regarding building specifications, check lists, and building serial numbers. This data is required by contract to ensure MAP certification, and is also used to compile quarterly reports as part of the Bonneville Power Administration's Super Good Cents program. | **Retain** for 7 years after close of program *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 92-01-49649Rev. 2 | ***Northwest Energy Code Program Files***These files document the inquiries by builders and building officials regarding questions concerning the Northwest Energy Code. Includes duplicate summary reports of the number of calls received, sent to the International Conference of Building Officials. | **Retain** for 2 years after close of contract *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |
| 19-06-69371Rev. 0 | ***Renewable Energy System Incentive Program Applications***Provides a record of new or renewed applications received for participation in the Renewable Energy System Incentive program. | **Retain** until end of calendar year 2032 or for 3 years after close of program *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 19-06-69372Rev. 0 | ***Renewable Energy System Incentive Program History Files***Provides documentation on energy production and other data collected relating to the program.  | **Retain** until end of calendar year 2032 or for 3 years after close of program *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |
| 90-10-46798Rev. 1 | ***United States, Western Regional Energy Policy***Provides a record of technologies for conserving energy in Washington State. | **Retain** until superseded *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |
| 81-02-27269Rev. 3 | ***Washington State Energy Code Development, Evaluation, and Finalization Materials***Documents the Energy Program's efforts and role in the creation and evaluation of the Washington State Energy Code and the final adoption of the Code by the Legislature. Includes documentation of: all actions and proceedings involved in Code creation; the Energy Program's role in the distribution of the instruction manual and the Code to all interested parties; efforts to assist in technical evaluation of the Code; and inquiries by builders, building officials, and general public regarding questions concerning the Code on Washington Ventilation and Indoor Air Quality Codes. | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 90-10-46797Rev. 1 | ***Washington State Energy Consumption and Production***Provides historical statistics of the consumption and production of all fuels by Washington State. | **Retain** until superseded *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |

| 8401: Business Services – University Receivables/Cashier |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 79-11-23873Rev. 2 | ***Authority for Guarantees***Record of correspondence from departments requesting guarantees of fees on student accounts. | **Retain** for 6 years after tuition expiration *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 79-11-23876Rev. 1 | ***Cancellation of Enrollment***Support for cancellation without refund. | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 79-11-23884Rev. 2 | ***Guarantee Account Files***Provides a record of transactions to and from guarantee accounts. Includes working files for guarantee payments. | **Retain** for 6 years after end of fiscal year  *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| 8535: Risk Management Services |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 78-10-21220Rev. 2 | ***Accident Report File/Auto and General Liability***Copies of claims and actions taken. | **Retain** for 3 years after claim resolved *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 09-09-62106Rev. 0 | ***Administrative Claims Files***Provides a record of claims by the University against a federal agency, e.g., FEMA. (Length of retention is in accordance with National Archives and Records Administration General Records Schedule 6, item 10: Administrative Claims files). | **Retain** for 6 years and 3 months after claim resolved *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 00-08-59838Rev. 1 | ***Insurance Policies – Fire and Property/Casualty*** Copies of insurance policies to insure against fire and other hazards. | **Retain** for 6 years after termination of policy *or*3 years after final claim under policy has been resolved,*whichever is later,**then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 00-08-59839Rev. 1 | ***Insurance Policies – Nuclear and General Liability***Copies of nuclear and general liability policies | **Retain** for 10 years after termination of policy *or*3 years after final claim under policy has been resolved,*whichever is later*, *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 24-02-69718Rev. 0 | ***Program Registration (Programs Involving Minors)***Registration packet for programs involving minors in accordance with WSU Executive Manual EP14 Protection and Safety of Minors. | **Retain** until end of program *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 00-08-59840Rev. 0 | ***Vehicle Accident Loss Reserve Fund File***Provides a record of annual premiums submitted by departments for deposit into the Vehicle Accident Loss Reserve Fund and money allocated to pay for repairs to University vehicles damaged in accidents and the administrative costs of processing vehicle-related claims. | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| 8569: WSU Spokane – Mathematics, Engineering, Science Achievement (MESA) Program |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 07-08-61551Rev. 1 | ***Minor Student Activity Records***Primary copy of the record of school, grade, and contact information for 7th thru 10th grade students participating in Mathematics, Engineering, Science Achievement (MESA) program. | **Retain** for 5 years after end of academic year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| 8605: Office of Research Assurances |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 99-08-59216Rev. 1 | ***Animal Subject Approval Form (ASAF) Files***Provides a record of proposed activities involving animals and proposed significant changes in activities involving animals, and whether Institutional Animal Care and Use Committee (IACUC) approval was give or withheld. Files are maintained by project as required by USDA regulations and PHS Policy. Includes confidential grant information, project details, research methods, species of animals, personnel, funding agencies, history of IACUC decisions, amendments, and three-year de novo reviews. | **Retain** for 3 years after completion of project *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 99-08-59217 Rev. 1 | ***Association for the Accreditation and Assessment of Laboratory Animal Care, International (AAALAC) Annual Report and Program Description***Provides a record of annual report submitted to the AAALAC concerning the WSU Animal Care and Use Program’s operations for the year. The AAALAC program description is used to describe the details of the WSU Animal Care and Use Program. Documentation is prepared every three years as part of a voluntary accreditation process. Includes determination of triennial AAALAC site visit. | **Retain** for 3 years after report submitted *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 00-08-59870Rev. 2 | ***Federally Required Presidential Committee Records***Provides a record of protocols and reports generated by federally mandated University President’s committees, i.e., Institutional Biosafety Committee (IBC), Institutional Review Board (IRB), and Institutional Animal Care and Use Committee (IACUC) regarding WSU research activities. *Note: This records series applies to research proposals that may, or may not, involve grants or contracts. (40CFR725.65, 40CFR725.950)* | **Retain** for 3 years after research project completed *or*grant/contract ended, *whichever is later* *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 99-08-59227Rev. 1 | ***Public Health Service (PHS) Assurance***Provides a record of the Animal Welfare Assurance submitted every four years to the Office of Laboratory Animal Welfare (OLAW) under PHS, describing how WSU will operate its Animal Care and Use Program. Includes OLAW Annual Report. | **Retain** for 3 years after proposal period completed *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 99-08-59230Rev. 2 | ***United States Department of Agriculture (USDA) Annual Report and Inspection Reports***Provides a record of:* Annual report submitted to the USDA concerning covered species usage by the WSU Animal Care and Use Program for the year;
* A record of USDA inspections conducted to comply with the Animal Welfare Act.
 | **Retain** for 3 years after report submitted *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 99-08-59238Rev. 1 | ***Washington State University Institutional Animal Care and Use Committee Review of Animal Facilities and Programs***Provides a record of semi-annual reports prepared by IACUC for the Vice President for Research (Institutional Official), in compliance with federal law. | **Retain** for 3 years after report submitted *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

1. LEGACY RECORDS

This section covers records no longer being created/received by Washington State University, but the existing records have not yet reached their minimum retention period.

| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
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| 07-12-61639 Rev. 1 | ***Accident Insurance Coverage – Children***Provides a record of accident insurance coverage held by parents for each child attending the Children's Center.*Note: The WSU Children's Center (office #5800) requested that the Accident Insurance Coverage – Children record series be discontinued in 2011.**WSU Children's Center is responsible for maintaining Accident Insurance Coverage – Children files until the end of the stated retention period.**(Series to be removed from the department's schedule after December 31, 2032.)* | **Retain** for 3 years after age of majority (age 18) *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 99-08-59213Rev. 0 | ***Animal Census Report Files***Provides a record of monthly reporting from all WSU animal care facilities concerning how many animals used, received, and on-hand. Used to prepare annual and semi-annual reports to state and federal agencies. Includes Office of the Campus Veterinarian Monthly Animal Census and departmental versions of this report.*Note: this record series is no longer being created. The Office of the Campus Veterinarian is responsible for maintaining the Animal Census Report Files until the stated retention period. (Series will be removed from the department’s schedule after December 31, 2025.)* | **Retain** for 3 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63471Rev. 0 | ***Budget Statement***Used to communicate financial status of University accounts.*Note: This record series is no longer being created. General Accounting is responsible for maintaining Budget Statements until the end of the stated retention period. (Series to be removed from the Accounting/Fiscal Records schedule after June 30, 2027.)* | **Retain** for 6 years after the end of the fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 16-06-68987Rev. 0 | ***Code Book***Provides a listing of all University account coding.(Retained in computer-generated microfiche.)*Note: This record series is no longer being created. The Controller's Office is responsible for maintaining this record series until the end of the stated retention period. (Series to be removed from the Accounting/Fiscal Records schedule after December 31, 2026.)* | **Retain** for 6 years after superseded *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 04-06-60701Rev. 0 | ***Course Database***Provides a record of course information submitted by departments. *Note: This records series is now part of the normal WSU class scheduling process, therefore all new records fall under Course History Files (DAN 11-12-63442). The Global Campus-Learn 365 office is responsible for retaining the existing records until the end of the stated retention period. (Series to be removed from the department’s schedule after August 31, 2025.)* | **Retain** for 5 years after end of summer session *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63413Rev. 1 | ***Expenditure Authority Delegation (WSU 1198)***A form that delegates authority for approving various documents that obligate departmental funds.*Note: This record series is no longer being created. The Controller's Office is responsible for maintaining these forms until the end of the stated retention period. (Series to be removed from the Purchasing Records schedule after June 30, 2027.)* | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OPR |
| 11-12-63483Rev. 0 | ***Expenditure Transfer Request (WSU 1048)***Used by budget administrator and principal investigator to transfer identifiable direct charges between funding sources.*Note: This record series is no longer being created. The Controller's Office is responsible for maintaining these forms until the end of the stated retention period. (Series to be removed from the Accounting/Fiscal Records schedule after June 30, 2027.)* | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 84-03-33620Rev. 2 | ***General/Educational Development Tests***Provides a record of high school equivalency test results. Includes equivalency certificates.*Note: Cougar Health Services (office #4140) is responsible for maintaining existing general/educational development tests files until the end of the retention period (permanent retention).* | **Retain** permanently. | NON-ARCHIVALNON-ESSENTIALOFM |
| 98-10-58855Rev. 2 | ***Grade Distribution Reports***Statistical compilation of information in grade books and grade cards, including mean/median/mode, average GPA, pass/fail, and high/low grade statistics. *Note: This records series was discontinued, effective March 2005. (Discontinuance approved by State Records Mgmt. on 8/22/05.) The office of the Registrar (office #2970) is responsible for maintaining existing records until the end of the retention period, then transferred to Archives. (The series to be removed from the Registrar retention schedule after June 30, 2055.)* | **Retain** for 50 years after end of fiscal year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |
| 98-09-58744Rev. 1 | ***Gun Checks / Stored Firearms***Includes owner identification data and description of firearm stored with Public Safety Office in compliance with University policy and procedures and WAC 504-26-213. Verifies temporary custody of firearm by Public Safety Office and date firearm returned to student-owner.*Note: This records series was discontinued, effective September 2019. The WSU Police Department is responsible for maintaining existing records until the end of the retention stated period. (The series to be removed from the department’s schedule after September 30, 2025.)* | **Retain** for 6 years after firearm is returned to student *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 11-12-63570Rev. 0 | ***Payroll Expenditure Audit Report***Department personnel download of payroll expenses indicating status of payroll records that will generate the next payroll. Allows department correction and Payroll follow up.*Note: This record series is no longer being created. Payroll is responsible for maintaining these forms on mainframe or tape until the end of the stated retention period. (Series to be removed from the Payroll/Personnel Records schedule after December 31, 2025.)* | **Retain** for 4 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 11-12-63572Rev. 0 | ***Personnel Action (WSU 1097)***Used to authorize personnel transactions and communicate employment status of appointed employees to Human Resource Services.*Note: This record series is no longer being created. HRS is responsible for maintaining these forms until the end of the stated retention period. (Series to be removed from the Payroll/Personnel Records schedule after December 31, 2080.)* | **Retain** for 6 years after termination of employment *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 09-07-62065 Rev. 0 | ***Student Participant Health Form (Under 18 Years)***Provides a record of health information related to minor student participants in the University event/activity. May include disclosures of pertinent health information regarding diseases and/or health conditions that would limit the student's physical ability. (NOTE: All documentation which includes health information must be maintained in a secure and confidential manner.)*Note: This records series was discontinued, effective May 2018. The Voiland College of Engineering and Architecture is responsible for maintaining existing records until the end of the retention period. (The series to be removed from the Conference Mgmt.—Imagine Tomorrow Program after December 31, 2028.)* | **Retain** for 6 years after student's 18th birthday  *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 04-06-60706 Rev. 0 | ***Summer Session Planning Guide***Provides a record of planning information for the coming summer and statistical information regarding the previous summer. Much of the data is derived from the course database. *Note: This records series is no longer being developed. The Global Campus-Learn 365 office is responsible for retaining these records until the end of the stated retention period. (Series to be removed from the department’s schedule after August 31, 2040).* | **Retain** for 20 years after end of summer session *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |

glossary

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| ***Appraisal*** |
| The process of determining the value and disposition of records based on their administrative, legal, and fiscal use; their evidential and informational or research value; and their relationship to other records. |
| ***Archival (Appraisal Required)***  |
| Designation for public records that may possess enduring legal and/or historical value and must be appraised by the Archives. Such records are to be evaluated, sampled, and weeded according to archival principles by Archives staff. Records appraised as non-archival may be destroyed after their retention has been met. |
| ***Archival (Permanent Retention)***  |
| Designation for public records that possess enduring legal and/or historical value and must not be destroyed. State government agencies must transfer these records to the Archives at the end of their minimum retention period. Local government agencies must either transfer these records to the Archives or retain and preserve them according to archival best practice until transferred to the Archives. Other than removing and disposing of duplicates, the Archives will not sample, weed, or otherwise dispose of records with this designation. |
| ***Disposition*** |
| Actions taken with records when they are no longer required to be retained by an agency. Possible disposition actions include transfer to the Archives and destruction. |
| ***Disposition Authority Number (DAN)***  |
| Control number for a specific records series in a retention schedule that authorizes a retention period and disposition action for records belonging to that series. |
| ***Essential Records*** |
| Records needed to respond to, and/or perform critical operations during/after, a disaster or emergency. They need to be protected through backup or enhanced storage. (RCW 40.10.010) |
| ***Local Records Committee*** |
| Committee established by RCW 40.14.070 to review and approve disposition of local government records through records retention schedules. The Committee’s three members include the State Archivist and one representative each from the Office of the Attorney General and the State Auditor. |
| ***Non-Archival*** |
| Designation given to public records that do not possess sufficient historical value to be designated as “Archival.” Agencies must retain these records for the minimum retention period specified by the appropriate current records retention schedule. Agencies should destroy these records after their minimum retention period expires, provided the records are not required for litigation, public records requests, or other purposes required by law. |
| ***Non-Essential Records*** |
| Public records which are not required in order for an agency to resume its core functions following a disaster, as described in chapter 40.10 RCW. |
| ***OFM (Office Files and Memoranda)***  |
| Public records not defined and classified as official public records in RCW 40.14.010 and other documents or records as determined by the records committee to be office files and memoranda. |
| ***OPR (Official Public Records)*** |
| Public records necessary to document transactions relating to public property, public finances, and other agency business, or records determined by the records committee to be official public records. |
| ***Public Records*** |
| Records that have been created or received by any government agency in Washington State in connection with the transaction of public business regardless of physical form or characteristics. |
| ***Records Series*** |
| A group of records performing a specific function, which is used as a unit, filed as a unit, and may be transferred or destroyed as a unit. A records series may consist of a single type or a number of different types of documents that are filed together to document a specific function. |
| ***State Records Committee*** |
| Committee established by RCW 40.14.050 to review and approve disposition of state government records. Its four members include the State Archivist and one representative each from the Office of the Attorney General, Office of the State Auditor, and the Office of Financial Management. |

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*See the State Government General Records Retention Schedule for additional “Archival” records.*

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