



This schedule applies to: Department of Archaeology and Historic Preservation

Scope of records retention schedule

This records retention schedule authorizes the destruction/transfer of the public records of the Washington State Department of Archaeology and Historic Preservation relating to the unique functions of the preservation of historic and cultural physical reminders of the past, including archaeological sites, structures, objects, and buildings. The schedule is to be used in conjunction with the *State Government General Records Retention Schedule (SGGRRS)*, which authorizes the destruction/transfer of public records common to all state agencies.

Disposition of public records

Public records covered by records series within this records retention schedule (regardless of format) must be retained for the minimum retention period as specified in this schedule. Washington State Archives strongly recommends the disposition of public records at the end of their minimum retention period for the efficient and effective management of state resources.

Public records designated as “Archival (Permanent Retention)” must not be destroyed. Records designated as “Archival (Appraisal Required)” must be appraised by the Washington State Archives before disposition. Public records must not be destroyed if they are subject to ongoing or reasonably anticipated litigation. Such public records must be managed in accordance with the agency’s policies and procedures for legal holds. Public records must not be destroyed if they are subject to an existing public records request in accordance with chapter 42.56 RCW. Such public records must be managed in accordance with the agency’s policies and procedures for public records requests.

Revocation of previously issued records retention schedules

All previously issued records retention schedules to the Department of Archaeology and Historic Preservation are revoked. The Department of Archaeology and Historic Preservation must ensure that the retention and disposition of public records is in accordance with current, approved records retention schedules.

Authority

This records retention schedule was approved by the State Records Committee in accordance with RCW 40.14.050 on June 4, 2025.

For the State Auditor:
Al Rose

For the Attorney General:
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For the Office of Financial Management:
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The State Archivist:
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REVISION HISTORY

Version	Date of Approval	Extent of Revision
1.0	March 5, 2014	Consolidation of all existing disposition authorities (with some minor revisions).
1.1	December 3, 2014	Minor revisions to the Environmental Review and Compliance and the Historic Sites and Resources sections.
1.2	June 3, 2015	Elimination of records series Historic Preservation Grants – Final (DAN 87-03-39516) to use grants section in the State Government General Records Retention Schedule.
1.3	June 29, 2016	Reducing retention for Mitigation of Impact to Sites – Memoranda of Agreement (DAN 87-03-39511).
1.4	June 1, 2022	Minor revisions.
1.5	August 3, 2022	Minor revisions.
1.6	June 4, 2025	Minor revisions.

For assistance and advice in applying this records retention schedule,
please contact the Department of Archaeology and Historic Preservation's Records Officer
or Washington State Archives at:
recordsmanagement@sos.wa.gov



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1. ARCHAEOLOGICAL SITES

This section covers records relating to the protection and preservation of archaeological sites.

1.1 ARCHAEOLOGICAL SITE PROTECTION

The activity of the discovery, development, excavation and monitoring of archaeological sites and those containing human remains.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
87-03-39518 Rev. 3	<p>Cultural Resources Reports</p> <p>Final reports and drafts (if no final received) which provide a record of cultural resource areas surveyed by archaeologists and historians within the state.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">• Survey reports;• Ethnographic studies;• Monitoring plans;• Overviews;• Data Recovery reports;• Predetermination reports;• Historic Structure Survey reports;• Historic Structure reports. <p><i>Note: Archaeological site information is exempt from public disclosure in accordance with RCW 42.56.300.</i></p>	<p>Retain for 3 years after date entered in DAHP database</p> <p><i>then</i></p> <p>Transfer to Washington State Archives for permanent retention.</p>	<p>ARCHIVAL (Permanent Retention)</p> <p>ESSENTIAL (for Disaster Recovery) OPR</p>



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1.1 ARCHAEOLOGICAL SITE PROTECTION

The activity of the discovery, development, excavation and monitoring of archaeological sites and those containing human remains.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
14-03-68485 Rev. 1	<p>Excavation Permits/Violations</p> <p>Records relating to excavations performed on designated sites.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">• Applications for permit;• Inspection of site, photos;• Violations and remedial actions;• Correspondence with applicants and commenting parties. <p><i>Note: Retention based on 6-year statute of limitation for breach of contract/agreement (RCW 4.16.040).</i></p> <p><i>Note: Archaeological site information is exempt from public disclosure in accordance with RCW 42.56.300.</i></p>	<p>Retain for 6 years after expiration of permit/matter resolved <i>then</i> Transfer to Washington State Archives for permanent retention.</p>	<p>ARCHIVAL (Permanent Retention) ESSENTIAL OPR</p>
14-03-68486 Rev. 1	<p>Human Remains</p> <p>Records relating to human remains discovered either during a dig, onsite in the development of property, during erosion, or through a law enforcement investigation.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">• Maps and other documentation of the location of remains;• Inventories of remains;• Final disposition actions. <p><i>Note: Archaeological site information is exempt from public disclosure in accordance with RCW 42.56.300.</i></p>	<p>Retain for 1 year after case closed <i>then</i> Transfer to Washington State Archives for permanent retention.</p>	<p>ARCHIVAL (Permanent Retention) ESSENTIAL (for Disaster Recovery) OPR</p>



1.1 ARCHAEOLOGICAL SITE PROTECTION

The activity of the discovery, development, excavation and monitoring of archaeological sites and those containing human remains.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
87-03-39515 Rev. 3	<p><i>Inventory – Archaeological Sites</i></p> <p>Final forms and drafts (if no final received) relating to all recorded archaeological sites within the state.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">• Archaeological Site Forms;• Isolated Artifact Feature/Forms;• Updates to existing Site Forms;• Traditional Cultural Places and Properties (TCP) forms with written Tribal permission to share with secure WISAARD users. <p>Excludes:</p> <ul style="list-style-type: none">• Traditional Cultural Places and Properties (TCP) forms <u>without</u> written Tribal permission to share with secure WISAARD users covered by <i>Traditional Cultural Places and Properties (TCP) Reports – Internal Use Only (DAN 22-06-69652)</i>• Working notes and correspondence covered by <i>Records Documented as Part of More Formalized Records (DAN GS 50012)</i>. <p><i>Note: Archaeological site information is exempt from public disclosure in accordance with RCW 42.56.300.</i></p>	<p>Retain for 1 year after end of calendar year <i>then</i> Transfer to Washington State Archives for permanent retention.</p>	<p>ARCHIVAL (Permanent Retention) ESSENTIAL (for Disaster Recovery) OPR</p>



1.1 ARCHAEOLOGICAL SITE PROTECTION

The activity of the discovery, development, excavation and monitoring of archaeological sites and those containing human remains.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
22-06-69651 Rev. 0	<p><i>Inventory – Cemetery and Burial Sites</i></p> <p>Forms and photos relating to the Cemetery Program.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">• Care and Maintenance Applications and Certifications;• Cemetery reports and inventories;• Court notices to have dedication removed;• Public cemetery forms;• Photos. <p>Excludes working notes and correspondence covered by <i>Records Documented as Part of More Formalized Records (DAN GS 50012)</i>.</p> <p><i>Note: Archaeological site information is exempt from public disclosure in accordance with RCW 42.56.300.</i></p>	<p>Retain for 3 years after date entered in DAHP database <i>then</i> Transfer to Washington State Archives for permanent retention.</p>	<p>ARCHIVAL (Permanent Retention) ESSENTIAL (for Disaster Recovery) OPR</p>
22-06-69652 Rev. 0	<p><i>Traditional Cultural Places and Properties (TCP) Reports – Internal Use Only</i></p> <p>Reports given to the agency for use in project reviews with the understanding they will not be shared outside of the agency. Forms submitted in WISAARD on the DAHP staff only layer for DAHP's use in project reviews.</p> <ul style="list-style-type: none">• TCP forms without written Tribal permission to share with secure WISAARD users;• TCP reports. <p>Excludes Traditional Cultural Places and Properties (TCP) forms <u>with</u> written Tribal permission to share with secure WISAARD users covered by <i>Inventory – Archaeological Sites (DAN 87-03-39515)</i>.</p>	<p>Retain permanently.</p>	<p>NON-ARCHIVAL NON-ESSENTIAL OPR</p>



2. ENVIRONMENTAL REVIEW

This section covers records relating to environmental oversight for archaeological and historic sites and its administration which are not covered by the *State Government General Records Retention Schedule*.

2.1 ENVIRONMENTAL REVIEW AND COMPLIANCE

The activity of review and compliance in accord with local, state, and federal government regulations.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
87-03-39511 Rev. 5	<p><i>Mitigation of Impacts to Sites</i></p> <p>Final Agreement/Plan relating to the course of action for a project which has been determined to have an adverse effect on archaeological/historic sites.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">• Memoranda of Agreement;• Memoranda of Understanding;• Programmatic Agreements;• Historic Preservation Management Plans. <p>Excludes working notes and correspondence covered by <i>Records Documented as Part of More Formalized Records (DAN GS 50012)</i>.</p> <p><i>Note: Retention is based on 6-year statute of limitations for breach of contract/agreement (RCW 4.16.040)</i></p> <p><i>Note: Archaeological site information is exempt from public disclosure in accordance with RCW 42.56.300.</i></p>	<p>Retain for 6 years after termination or expiration of agreement/plan <i>then</i> Transfer to Washington State Archives for permanent retention.</p>	<p>ARCHIVAL (Permanent Retention) ESSENTIAL (for Disaster Recovery) OPR</p>



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2.1 ENVIRONMENTAL REVIEW AND COMPLIANCE

The activity of review and compliance in accord with local, state, and federal government regulations.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
87-03-39509 Rev. 4	<p>Notifications – Proposed Construction and Development of Sites</p> <p>Records relating to notifications of proposed or recommended federal or state funded, licensed, or permitted construction or development projects for the determination of any potential adverse effects on historic/archaeological sites.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">• Notifications of proposed or recommended projects;• Description of project including any blueprints and drawings of proposed development/project;• Forest Practice Applications (FPA);• State Environmental Policy Act (SEPA) submittals;• Determinations by Department of Archaeology and Historic Preservation. <p><i>Note: Archaeological site information is exempt from public disclosure in accordance with RCW 42.56.300.</i></p>	<p>Retain for 50 years after end of calendar year <i>then</i> Destroy.</p>	<p>NON-ARCHIVAL ESSENTIAL (for Disaster Recovery) OPR</p>



3. HISTORIC SITES

This section covers records relating to the protection and preservation of historic sites, structures, and objects.

3.1 HISTORIC SITE PRESERVATION

The activity of restoration and preservation of historic sites.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
87-03-39513 Rev. 2	<i>Historic Preservation – Easement Agreements and Covenants</i> Records relating to agreements entered into voluntarily by owners of properties listed in the National Historic Register of Historic Places, the Heritage Barn Register or Washington Heritage Register, and the Department of Archaeology and Historic Preservation (DAHP). These agreements bind the property owner for period of time defined in the agreement, to consult with and receive written approval from DAHP before undertaking any changes that may alter the historic character of the listed property. Owners of a historic property receive a benefit (usually monetary) in exchange for entering into such an agreement.	Retain for 10 years after agreement expires <i>then</i> Transfer to Washington State Archives for permanent retention.	ARCHIVAL (Permanent Retention) NON-ESSENTIAL OPR



3.1 HISTORIC SITE PRESERVATION

The activity of restoration and preservation of historic sites.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
25-06-69684 Rev. 0	<p>Main Street Program – Administration</p> <p>Records relating to the administration of the Main Street Program in accordance with chapter 43.360 RCW and 25-50 WAC.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">• Approved applications;• Status withdrawals and reinstatements;• Related correspondence and communications. <p>Excludes records covered by:</p> <ul style="list-style-type: none">• <i>Advisory Body Records (DAN GS 10015);</i>• <i>Litigation Case Files – Routine (DAN GS 18004);</i>• <i>Provision of Advice, Assistance, or Information (DAN GS 09022);</i>• <i>State Publications (DAN GS 15008);</i>• <i>Training – General (DAN GS 22007).</i>	<p>Retain for 6 years after termination of designation or withdrawal of community participation <i>then</i> Destroy.</p>	NON-ARCHIVAL NON-ESSENTIAL OPR
87-03-39525 Rev. 2	<p>Tax Incentive Projects – Completed</p> <p>Records documenting completed commercial historic property rehabilitation projects applied for under the 1976 Tax Reform Act/Economic Recovery Tax Act of 1981.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">• Applications;• Descriptions and drawings of project;• Certifications;• Related correspondence/communications.	<p>Retain for 15 years after completion of project <i>then</i> Destroy.</p>	NON-ARCHIVAL NON-ESSENTIAL OPR



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3.1 HISTORIC SITE PRESERVATION

The activity of restoration and preservation of historic sites.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
22-06-69653 Rev. 0	<p><i>Tax Incentive Projects – Withdrawn/Abandoned</i></p> <p>Records documenting uncompleted commercial historic property rehabilitation projects applied for under the 1976 Tax Reform Act/Economic Recovery Tax Act of 1981.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">• Applications;• Descriptions and drawings of project;• Certifications;• Related correspondence/communications.	<p>Retain for 20 years after project withdrawn/abandoned</p> <p><i>then</i></p> <p>Destroy.</p>	NON-ARCHIVAL NON-ESSENTIAL OPR



3.2 HISTORIC SITES AND RESOURCES

The activity of inventorying, listing and registering historic properties, structures and resources within the state.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
87-03-39520 Rev. 2	<p><i>Historic American Building Survey and Historic American Engineering Record Mitigation</i></p> <p>Records documenting a property's historic and/or architectural significance as mitigation when slated for demolition or otherwise adversely affected by development.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">• Engineering records, recording reports and surveys;• Supporting research and historic documentation;• Letters of acceptance;• Photographic documentation;• Level II Mitigation documents.	<p>Retain for 10 years after end of calendar year <i>then</i> Transfer to Washington State Archives for appraisal and selective retention.</p>	<p>ARCHIVAL (Appraisal Required) NON-ESSENTIAL OPR</p>



3.2 HISTORIC SITES AND RESOURCES

The activity of inventorying, listing and registering historic properties, structures and resources within the state.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
87-03-39512 Rev. 3	<p><i>State and National Register Nomination Applications – Accepted</i></p> <p>Records documenting a property’s historic, archaeological, or architectural merit listing in the State and/or National Register of Historic Places.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">• Applications;• Supporting research and historic documentation;• Letters of acceptance. <p>Excludes:</p> <ul style="list-style-type: none">• State and National Register Nomination Applications that <i>are not</i> accepted covered by <i>Survey- Historic Resources (DAN 87-03-39514)</i>;• Working notes and correspondence covered by <i>Records Documented as Part of More Formalized Records (DAN GS 50012)</i>. <p><i>Note: Archaeological site information is exempt from public disclosure in accordance with RCW 42.56.300.</i></p>	<p>Retain for 5 years after date approved by the Advisory Council <i>then</i> Transfer to Washington State Archives for permanent retention.</p>	<p>ARCHIVAL (Permanent Retention) ESSENTIAL (for Disaster Recovery) OPR</p>
87-03-39514 Rev. 2	<p><i>Survey – Historic Resources</i></p> <p>Records relating to historic resources not yet listed in or evaluated by DAHP for eligibility to the State or National Registers.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none">• Final survey inventory forms;• State and National Register nomination applications that <i>are not</i> accepted;• Historic property inventory forms or reports.	<p>Retain for 3 years after date entered in DAHP database <i>then</i> Transfer to Washington State Archives for permanent retention.</p>	<p>ARCHIVAL (Permanent Retention) ESSENTIAL (for Disaster Recovery) OPR</p>



GLOSSARY

Appraisal

The process of determining the value and disposition of records based on their administrative, legal, and fiscal use; their evidential and informational or research value; and their relationship to other records.

Archival (Appraisal Required)

Designation for public records that may possess enduring legal and/or historical value and must be appraised by the Archives. Such records are to be evaluated, sampled, and weeded according to archival principles by Archives staff. Records appraised as non-archival may be destroyed after their retention has been met.

Archival (Permanent Retention)

Designation for public records that possess enduring legal and/or historical value and must not be destroyed. State government agencies must transfer these records to the Archives at the end of their minimum retention period. Local government agencies must either transfer these records to the Archives or retain and preserve them according to archival best practice until transferred to the Archives. Other than removing and disposing of duplicates, the Archives will not sample, weed, or otherwise dispose of records with this designation.

Disposition

Actions taken with records when they are no longer required to be retained by an agency. Possible disposition actions include transfer to the Archives and destruction.

Disposition Authority Number (DAN)

Control number for a specific records series in a retention schedule that authorizes a retention period and disposition action for records belonging to that series.

Essential Records

Records needed to respond to, and/or perform critical operations during/after, a disaster or emergency. They need to be protected through backup or enhanced storage. (RCW 40.10.010)

Local Records Committee

Committee established by RCW 40.14.070 to review and approve disposition of local government records through records retention schedules. The Committee's three members include the State Archivist and one representative each from the Office of the Attorney General and the State Auditor.



Non-Archival

Designation given to public records that do not possess sufficient historical value to be designated as “Archival.” Agencies must retain these records for the minimum retention period specified by the appropriate current records retention schedule. Agencies should destroy these records after their minimum retention period expires, provided the records are not required for litigation, public records requests, or other purposes required by law.

Non-Essential Records

Public records which are not required in order for an agency to resume its core functions following a disaster, as described in chapter 40.10 RCW.

OFM (Office Files and Memoranda)

Public records not defined and classified as official public records in RCW 40.14.010 and other documents or records as determined by the records committee to be office files and memoranda.

OPR (Official Public Records)

Public records necessary to document transactions relating to public property, public finances, and other agency business, or records determined by the records committee to be official public records.

Public Records

Records that have been created or received by any government agency in Washington State in connection with the transaction of public business regardless of physical form or characteristics.

Records Series

A group of records performing a specific function, which is used as a unit, filed as a unit, and may be transferred or destroyed as a unit. A records series may consist of a single type or a number of different types of documents that are filed together to document a specific function.

State Records Committee

Committee established by RCW 40.14.050 to review and approve disposition of state government records. Its four members include the State Archivist and one representative each from the Office of the Attorney General, Office of the State Auditor, and the Office of Financial Management.



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