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## **This schedule applies to: Eastern Washington University**

### **Scope of records retention schedule**

This records retention schedule authorizes the destruction/transfer of the public records of the Eastern Washington University relating to the unique functions of the university. The schedule is to be used in conjunction with the *State Government General Records Retention Schedule (SGGRRS)*, which authorizes the destruction/transfer of public records common to all state agencies.

### **Disposition of public records**

Public records covered by records series within this records retention schedule (regardless of format) must be retained for the minimum retention period as specified in this schedule. Washington State Archives strongly recommends the disposition of public records at the end of their minimum retention period for the efficient and effective management of state resources.

Public records designated as “Archival (Permanent Retention)” must not be destroyed. Records designated as “Archival (Appraisal Required)” must be appraised by the Washington State Archives before disposition. Public records must not be destroyed if they are subject to ongoing or reasonably anticipated litigation. Such public records must be managed in accordance with the agency’s policies and procedures for legal holds. Public records must not be destroyed if they are subject to an existing public records request in accordance with chapter 42.56 RCW. Such public records must be managed in accordance with the agency’s policies and procedures for public records requests.

### **Revocation of previously issued records retention schedules**

All previously issued records retention schedules to the Eastern Washington University are revoked. The Eastern Washington University must ensure that the retention and disposition of public records is in accordance with current, approved records retention schedules.

### **Authority**

This records retention schedule was approved by the State Records Committee in accordance with RCW 40.14.050 on October 4, 2023.

*Signature on File*

**For the State Auditor:**  
**Al Rose**

*Signature on File*

**For the Attorney General:**  
**Suzanne Becker**

*Signature on File*

**For the Office of Financial Management:**  
**Gwen Stamey**

*Signature on File*

**The State Archivist:**  
**Heather Hirota**



## REVISION HISTORY

Version	Date of Approval	Extent of Revision
1.0	December 6, 2017	Major revision and consolidation of all existing disposition authorities.
1.1	October 7, 2020	Minor revisions.
1.2	December 2, 2020	Minor revisions.
1.3	June 2, 2021	Minor revisions.
1.4	October 4, 2023	Minor revisions to the “Student and Campus Services – Health Services”, “Student and Campus Services – Police and Parking Services”, and “Legacy Records” sections.

For assistance and advice in applying this records retention schedule,  
please contact the Eastern Washington University’s Records Officer  
or Washington State Archives at:  
[recordsmanagement@sos.wa.gov](mailto:recordsmanagement@sos.wa.gov)



## TABLE OF CONTENTS

<b>1.</b>	<b>DEVELOPMENT AND OUTREACH .....</b>	<b>5</b>
1.1	DONATIONS/GIFTS.....	5
1.2	FUNDRAISING .....	7
1.3	STUDENT RECRUITMENT .....	8
<b>2.</b>	<b>RESEARCH .....</b>	<b>9</b>
<b>3.</b>	<b>STUDENT ADMINISTRATION.....</b>	<b>12</b>
3.1	ADMISSIONS.....	12
3.2	ENROLLMENT AND REGISTRATION.....	14
3.3	GRADUATION .....	20
3.4	MISCONDUCT .....	22
3.5	TRANSCRIPTS.....	24
<b>4.</b>	<b>STUDENT AND CAMPUS SERVICES.....</b>	<b>25</b>
4.1	ADVISING .....	25
4.2	ATHLETICS .....	29
4.3	DISABILITY SERVICES .....	34
4.4	FINANCIAL AID.....	35
4.5	FOOD SERVICES.....	38
4.6	HEALTH SERVICES.....	39
4.7	HOUSING SERVICES.....	41
4.8	POLICE AND PARKING SERVICES.....	42
<b>5.</b>	<b>TEACHING AND LEARNING.....</b>	<b>44</b>
5.1	ACCREDITATION.....	44



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5.2	ASSESSMENT/EVALUATION .....	45
5.3	CLASS SCHEDULING.....	51
5.4	CURRICULUM DEVELOPMENT.....	52
<b>6.</b>	<b>LEGACY RECORDS.....</b>	<b>53</b>
	<b>GLOSSARY.....</b>	<b>55</b>
	<b>INDEXES .....</b>	<b>57</b>



## 1. DEVELOPMENT AND OUTREACH

This section covers records relating to the development of the institution and its community outreach. Excludes records of the EWU Foundation, a privately governed non-profit corporation.

1.1 DONATIONS/GIFTS <i>The activity of receiving gifts and donations.</i>			
DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69157 Rev. 1	<p><b><i>Donations/Gifts – Assets</i></b></p> <p>Records documenting non-cash donations, gifts, and sponsorships made directly to the University.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Asset provenance and acquisition records;</li> <li>• Correspondence/communications to and from donor;</li> <li>• Gifts and donations checklist;</li> <li>• Terms and agreements of the contribution made.</li> </ul> <p>Examples of donated assets include:</p> <ul style="list-style-type: none"> <li>• Gallery/museum items and collections.</li> </ul> <p>Excludes donations made to the EWU Foundation.</p>	<p><b>Retain</b> for 6 years after disposition of asset</p> <p><i>and</i></p> <p>6 years after fulfillment of agreement</p> <p><i>then</i></p> <p><b>Transfer</b> to Archives for appraisal and selective retention.</p>	<p><b>ARCHIVAL</b> (Appraisal Required) <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>



## 1.1 DONATIONS/GIFTS

*The activity of receiving gifts and donations.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69158 Rev. 0	<p><b><i>Donations/Gifts – Monetary</i></b></p> <p>Records documenting monetary donations, gifts, and sponsorships made directly to the University.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"><li>• Correspondence/communications to and from donor;</li><li>• Gifts and donations checklist;</li><li>• Terms and agreements of the contribution made.</li></ul> <p>Excludes donations made to the EWU Foundation.</p>	<p><b>Retain</b> for 6 years after end of fiscal year</p> <p><i>and</i></p> <p>6 years after fulfillment of agreement</p> <p><i>then</i></p> <p><b>Transfer</b> to Archives for appraisal and selective retention.</p>	<p><b>ARCHIVAL</b> (Appraisal Required) <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>



## 1.2 FUNDRAISING

*The activity of running campaigns to raise funds for various institutional development activities.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69160 Rev. 0	<p><b>Fundraising Efforts</b></p> <p>Records relating to fundraising efforts by University departments, or by clubs and organizations that have been recognized by the Associated Students of Eastern Washington University (ASEWU) Council, where the University, club, or organization <b>itself</b> is the beneficiary.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Fundraising activities;</li> <li>• Advertising;</li> <li>• Related correspondence/communications.</li> </ul> <p>Excludes:</p> <ul style="list-style-type: none"> <li>• Records relating to University fundraising efforts for <b>charity</b>, covered by <i>Charity Fundraising (DAN GS 09021)</i>;</li> <li>• Records documenting fundraising efforts for <b>charity</b> by ASEWU Council-recognized clubs and organizations, which are not University records;</li> <li>• Records relating to fundraising efforts by clubs and organizations that are <b>not</b> recognized by the ASEWU Council, which are not University records;</li> <li>• Records of fundraising efforts by the EWU Foundation.</li> </ul>	<p><b>Retain</b> for 6 years after end of fiscal year <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR



### 1.3 STUDENT RECRUITMENT

*The activity of encouraging prospective students to attend the institution.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
76-07-17399 Rev. 1	<p><b>Recruitment/Visits – General</b></p> <p>Records documenting contact with and visits by prospective students, outside of athletic recruitment.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Correspondence/communications to/from prospective students;</li> <li>• Itineraries;</li> <li>• Copies of high school transcript and test scores, etc.</li> </ul>	<p><b>Retain</b> for 2 years after end of academic year <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL NON-ESSENTIAL OFM</p>
17-12-69180 Rev. 0	<p><b>Recruitment/Visits – Prospective Student Athletes</b></p> <p>Records documenting contact with and visits by prospective student-athletes who do <b>not</b> enroll at the University within 5 years, used to verify and demonstrate compliance with NCAA recruitment requirements as defined in Article 13 of the Operating Bylaws of the <i>NCAA Division I Manual</i>. Includes both official and unofficial visits.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Correspondence/communications to/from prospective student-athletes;</li> <li>• Copies of high school transcripts and test scores;</li> <li>• Proof of duration of visit, including flight information, itinerary, copies of expenses, etc.</li> </ul> <p>Excludes recruitment/visit records for prospective student athletes who <b>do</b> enroll at EWU within 5 years, which are covered by <i>NCAA Eligibility – Individual Student Athletes (DAN 91-09-48150)</i>.</p>	<p><b>Retain</b> for 5 years after end of academic year <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>





## 2. RESEARCH

This section covers records relating to investigating or inquiring into a subject of interest in order to discover or apply facts and/or principles. Research may be funded by a grant, consultancy or scholarship, or may be an unfunded act of academic scholarship undertaken within the institution. Includes activities associated with managing and administering research.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69167 Rev. 0	<p><b><i>Institutional Review Board (IRB) for Human Subjects Research – Administration and Research Oversight</i></b></p> <p>Records documenting the review, monitoring, and oversight of human subject research by Eastern Washington University’s Institutional Review Board (IRB) for Human Subjects Research in accordance with 45 CFR 46.115.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Federal wide Assurance agreement with the U.S. Department of Health &amp; Human Services’ Office of Human Research Protections;</li> <li>• Written procedures for the IRB as described in 45 CFR 46.103 (b)(4) and 46.103(b)(5);</li> <li>• IRB member lists and credentialing, appointment letters;</li> <li>• Applications received, including title, name of investigators, date received, period of approval, modifications, etc.</li> </ul> <p>Excludes IRB meeting records covered by <i>Governing/Executive/Policy-Setting Body Records (DAN GS 10004)</i>.</p> <p><i>Note: 45 CFR 46.115(a) requires the retention of general IRB records for at least 3 years.</i></p>	<p><b>Retain</b> for 6 years after date of document</p> <p><i>then</i></p> <p><b>Transfer</b> to Archives for appraisal and selective retention.</p>	<p><b>ARCHIVAL</b> (Appraisal Required) <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>



DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69168 Rev. 0	<p><b><i>Institutional Review Board (IRB) for Human Subjects Research – Principal Investigator Records (Research Conducted)</i></b></p> <p>IRB records relating to investigator activities in human subject research including approved regulatory, certified exempt, completed, denied and withdrawn protocols.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Research protocols, scientific evaluations, approved sample consent documents;</li> <li>• Correspondence/communications between the IRB and investigators/researchers;</li> <li>• Progress reports submitted by the investigator;</li> <li>• Reports of injuries to subjects;</li> <li>• Reports of unanticipated problems involving risks to subjects or others;</li> <li>• Reports of continuing review activities;</li> <li>• Statements of significant new findings provided to subjects.</li> </ul> <p><i>Note: 45 CFR 46.115(b) requires the retention of IRB records relating to research conducted for at least 3 years after completion of the research.</i></p>	<p><b>Retain</b> for 6 years after completion/termination of research</p> <p><i>then</i></p> <p><b>Transfer</b> to Archives for appraisal and selective retention.</p>	<p><b>ARCHIVAL</b> (Appraisal Required) <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>



DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69165 Rev. 0	<p><b><i>Institutional Animal Care and Use Committee (IACUC) – Inspections, Reports, and Evaluations</i></b></p> <p>Records documenting the review, monitoring, and oversight of animal research by the Institutional Animal Care and Use Committee (IACUC), in compliance with 9 CFR 2.35.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• IACUC reviews of research proposals and ongoing review activities;</li> <li>• Required inspections and reports;</li> <li>• Scientific evaluations.</li> </ul> <p>Excludes IACUC meeting records, which are covered by <i>Governing/Executive/Policy-Setting Body Records (DAN GS 10004)</i>.</p> <p><i>Note: 9 CFR 2.35 requires the retention of general IACUC records for at least 3 years.</i></p>	<p><b>Retain</b> for 6 years after date of document</p> <p><i>then</i></p> <p><b>Transfer</b> to Archives for appraisal and selective retention.</p>	<p><b>ARCHIVAL</b> (Appraisal Required) <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>
17-12-69166 Rev. 0	<p><b><i>Institutional Animal Care and Use Committee (IACUC) – Research Conducted</i></b></p> <p>Records which relate to specific research conducted, or which document decisions pertaining to committee actions on that research.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Correspondence/communications between IACUC and investigators/researchers;</li> <li>• Progress reports provided to IACUC;</li> <li>• Significant new findings.</li> </ul> <p><i>Note: 9 CFR 2.35 requires the retention of IACUC records that relate directly to proposed activities and changes to same for at least 3 years after completion of the research.</i></p>	<p><b>Retain</b> for 6 years after completion/termination of research</p> <p><i>then</i></p> <p><b>Transfer</b> to Archives for appraisal and selective retention.</p>	<p><b>ARCHIVAL</b> (Appraisal Required) <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>



### 3. STUDENT ADMINISTRATION

This section covers records relating to the administration and management of students from application for admission to enrollment in courses/programs to completion/discontinuation. Includes non-award programs and courses/units/subjects.

3.1 ADMISSIONS <i>The activity of admission of applicants into courses/programs.</i>			
DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
74-07-05577 Rev. 1	<p><b>Admissions Applications – Enrolled</b></p> <p>Records documenting the admissions process for all applicants who are accepted and ultimately enroll at the University.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Matriculated and non-matriculated students;</li> <li>• Domestic and international students;</li> <li>• Undergraduate, post-baccalaureate, and graduate-level programs;</li> <li>• Other programs, e.g., Summer Session, Running Start, Continuing Education, etc.</li> </ul> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Application forms and sign-up sheets;</li> <li>• Copies of high school, college, and/or military transcripts;</li> <li>• Test scores submitted as part of the admissions process;</li> <li>• Financial statements and/or sponsor letter (for international students);</li> <li>• Transfer credit evaluations;</li> <li>• Related correspondence/communications.</li> </ul>	<p><b>Retain</b> for 6 years after graduation</p> <p><i>or</i></p> <p>6 years after date of last attendance</p> <p><i>then</i></p> <p><b>Destroy.</b></p>	<p>NON-ARCHIVAL NON-ESSENTIAL OPR</p>



**3.1 ADMISSIONS**

*The activity of admission of applicants into courses/programs.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
99-05-59099 Rev. 1	<p><b>Admissions Applications – Not Accepted, Not Enrolled, or Application Incomplete</b></p> <p>Records documenting the admissions process for all applicants who are denied admission to the University, were accepted but did not enroll, or never completed the application process.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Matriculated and non-matriculated students;</li> <li>• Domestic and international students;</li> <li>• Undergraduate, post-baccalaureate, and graduate-level programs;</li> <li>• Other programs, e.g., Summer Session, Running Start, Continuing Education, etc.</li> </ul> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Application forms and sign-up sheets;</li> <li>• Copies of high school, college, and/or military transcripts;</li> <li>• Test scores submitted as part of the admissions process;</li> <li>• Transfer credit evaluations;</li> <li>• Financial statements and/or sponsor letter (for international students);</li> <li>• Related correspondence/communications.</li> </ul>	<p><b>Retain</b> for 2 years after beginning of academic quarter for which applied</p> <p><i>or</i></p> <p>2 years after date of last submission</p> <p><i>then</i></p> <p><b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM



### 3.2 ENROLLMENT AND REGISTRATION

*The activity of students' initial and continuing enrollment in a course/program of study.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
89-05-44373 Rev. 1	<p><b><i>Class Registration</i></b> Records documenting the process of class registration for individual students. Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Student name and number;</li> <li>• Quarter of enrollment;</li> <li>• Course numbers and class sections;</li> <li>• Number of credits and grade type (e.g., letter grade, credit/no credit, audit);</li> <li>• Total credit hours.</li> </ul> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Add/drop forms;</li> <li>• Arranged course permits and independent study and variable credit courses;</li> <li>• Summer workshop registrations.</li> </ul> <p>Includes this information maintained in systems such as Banner.</p>	<p><b>Retain</b> for 6 years after end of academic year <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL NON-ESSENTIAL OFM</p>



### 3.2 ENROLLMENT AND REGISTRATION

*The activity of students' initial and continuing enrollment in a course/program of study.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
85-04-35289 Rev.2	<p><b>Enrollment Reports</b></p> <p>Compilations of data generated by or distributed to departments and individual instructors regarding student enrollment, courses, grade distribution, scholarship, ethnicity of students, etc.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Class enrollment count;</li> <li>• Class standing report;</li> <li>• Degrees by quarter report;</li> <li>• Academic probation list.</li> </ul> <p>Includes this information maintained in systems such as Banner.</p>	<p><b>Retain</b> for 2 years after end of academic quarter</p> <p><i>then</i></p> <p><b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM
17-12-69169 Rev. 0	<p><b>Major/Minor Applications</b></p> <p>Records documenting a student's request for major/minor standing within an undergraduate academic program, where the application is approved.</p>	<p><b>Retain</b> for 6 years after end of academic year</p> <p><i>then</i></p> <p><b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM
80-08-25345 Rev. 2	<p><b>Major/Minor Applications – Denied or Incomplete</b></p> <p>Requests for major/minor standing within an undergraduate academic program where the application has been denied or the student never completed the application process.</p>	<p><b>Retain</b> for 1 year after quarter of denial</p> <p><i>or</i></p> <p>1 year after date of last submission</p> <p><i>then</i></p> <p><b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM



### 3.2 ENROLLMENT AND REGISTRATION

*The activity of students' initial and continuing enrollment in a course/program of study.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69182 Rev. 0	<p><b>Release of Education Records – No Prior Consent Required</b></p> <p>Records documenting each request for access to – and each disclosure of – personally identifiable information from the education records of each student <b>where prior consent is not required</b> in accordance with <i>34 CFR § 99.31</i> and <i>34 CFR §99.32(d)</i>, and where disclosure records are <b>not</b> required to be retained longer than 3 years in accordance with federal or state statute.</p> <p>Includes, but is not limited to, requests from and release of records to:</p> <ul style="list-style-type: none"> <li>• The eligible student;</li> <li>• A school official under <i>34 CFR §99.31(a)(1)</i>;</li> <li>• Certain parties seeking directory information under <i>34 CFR § 99.37</i>;</li> <li>• A judicial order or subpoena under <i>34 CFR §99.31(a)(9)(ii)(A), (B) &amp; (C)</i>.</li> </ul> <p>Excludes:</p> <ul style="list-style-type: none"> <li>• Disclosure to state and local educational authorities and federal officials and agencies listed in <i>34 CFR § 99.31(a)(3)</i>, covered by <i>Release of Education Records – Prior Consent or Documentation Required (DAN 17-12-69183)</i>.</li> <li>• Requests where prior consent is required, covered by <i>Release of Education Records – Prior Consent or Documentation Required (DAN 17-12-69183)</i>.</li> </ul> <p><i>Reference: Family Educational Rights and Privacy Act (FERPA).</i></p>	<p><b>Retain</b> for 6 years after date of release <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR





### 3.2 ENROLLMENT AND REGISTRATION

*The activity of students' initial and continuing enrollment in a course/program of study.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69183 Rev. 0	<p><b><i>Release of Education Records – Prior Consent or Documentation Required</i></b></p> <p>Records documenting each request for access to – and each disclosure of – personally identifiable information from the education records of each student <b>where prior consent is required</b> in accordance with 34 CFR § 99.30, <b>and/or</b> where disclosure records are required to be retained in accordance with 34 CFR § 99.32.</p> <p>Includes, but is not limited to, requests from and release of records to:</p> <ul style="list-style-type: none"> <li>• Any party with written consent from the parent or eligible student;</li> <li>• State and local educational authorities and federal officials and agencies listed in 34 CFR § 99.31(a)(3) pursuant to 34 CFR § 99.32.</li> </ul> <p><i>Reference: Family Educational Rights and Privacy Act (FERPA).</i></p>	<p><b>Retain</b> until the education records of the student are no longer retained</p> <p><i>then</i></p> <p><b>Destroy.</b></p>	<p>NON-ARCHIVAL <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>



### 3.2 ENROLLMENT AND REGISTRATION

*The activity of students' initial and continuing enrollment in a course/program of study.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
99-12-59536 Rev. 1	<p><b>Reporting/Filing (Mandatory) – Higher Education Enrollment</b></p> <p>Records relating to higher education enrollment that are required to be filed with, or submitted to, an outside agency by federal, state or local law, where not covered by a more specific records series. Includes reports, confirmation of submission, correspondence/communications, inquiries, etc.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Enrollment and performance data submitted to the Public Centralized Higher Education Enrollment System (PCHEES);</li> <li>• Reports submitted annually to the Department of Education through the Integrated Post-Secondary Educational Data System (IPEDS);</li> <li>• Enrollment Reports submitted to the National Student Clearinghouse;</li> <li>• Reports submitted to the Professional Educator Standards Board (PESB).</li> </ul> <p>Excludes records that are required to be submitted as part of an accreditation process, which are covered by <i>Accreditation Documentation (DAN 92-09-51287)</i>.</p>	<p><b>Retain</b> for 6 years after end of fiscal year</p> <p><i>then</i></p> <p><b>Transfer</b> to Archives for appraisal and selective retention.</p>	<p><b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL OPR</p>
75-08-13699 Rev. 1	<p><b>Residency Reclassification</b></p> <p>Records documenting individual students' efforts to change their residency status (in-state or out-of-state) for tuition purposes.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Reclassification application;</li> <li>• Residency questionnaires;</li> <li>• Supporting documentation;</li> <li>• Appeal and notification correspondence/communications.</li> </ul>	<p><b>Retain</b> for 6 years after graduation</p> <p><i>or</i></p> <p>6 years after date of last attendance</p> <p><i>then</i></p> <p><b>Destroy.</b></p>	<p>NON-ARCHIVAL NON-ESSENTIAL OPR</p>



### 3.2 ENROLLMENT AND REGISTRATION

*The activity of students' initial and continuing enrollment in a course/program of study.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
89-05-44389 Rev. 1	<p><b><i>Student Requests for Nondisclosure of Directory Information</i></b> Records documenting student requests to withhold their directory information from disclosure, in accordance with 34 CFR § 99.37. <i>Reference: Family Educational Rights and Privacy Act (FERPA).</i></p>	<p><b>Retain</b> until the education records of the student are no longer retained <i>or</i> until request is terminated by student <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR
75-08-13677 Rev. 1	<p><b><i>Withdrawal from the University</i></b> Records documenting individual students' withdrawal from the University, including withdrawals due to illness, hardship, military exigency, or other extenuating circumstances. Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Official withdrawal form;</li> <li>• Petition for hardship withdrawal;</li> <li>• Related correspondence/communications.</li> </ul>	<p><b>Retain</b> for 6 years after graduation <i>or</i> 6 years after date of last attendance <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR



**3.3 GRADUATION**

*The activity of the conferral of an academic degree in accordance with RCW 28B.35.205. Includes the award of honorary degrees to persons external to the institution.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69162 Rev. 2	<p><b>Honorary Degrees</b> Records documenting the conferral by the University of honorary master’s or doctorate-level degrees, in accordance with RCW 28B.35.205(2) and/or (3) and EWU Policy 303-04. Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>Degrees awarded to persons in recognition of their learning or devotion to education, literature, art, or science;</li> <li>Degrees awarded to persons who were students at the university in 1942 and did not graduate because they were ordered into an internment camp.</li> </ul> <p>Excludes Board of Trustees minutes, agendas, and meeting files covered by <i>Governing/Executive/Policy-Setting Body Records (DAN GS 10004)</i>.</p>	<p><b>Retain</b> for 6 years after degree is awarded <i>then</i> <b>Transfer</b> to Archives for appraisal and selective retention.</p>	<p><b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL OPR</p>
17-12-69178 Rev. 0	<p><b>Petitions for Exception</b> Requests from students to waive or substitute a graduation or degree requirement. Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>General Education Petition;</li> <li>Petition for processing late graduation application.</li> </ul>	<p><b>Retain</b> for 6 years after degree is awarded <i>or</i> 6 years after date of last attendance <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL NON-ESSENTIAL OFM</p>



**3.3 GRADUATION**

*The activity of the conferral of an academic degree in accordance with RCW 28B.35.205. Includes the award of honorary degrees to persons external to the institution.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69190 Rev. 0	<p><b>Verification of Graduation Requirements</b></p> <p>Records relating to the submission and review of individual student applications for graduation, to verify that the student has fulfilled all University, general education, master, major, minor and/or certification requirements.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Undergraduate degree applications;</li> <li>• Graduate degree applications;</li> <li>• Teaching certificate applications;</li> <li>• Final degree audit report;</li> <li>• Related correspondence/communications.</li> </ul>	<p><b>Retain</b> for 6 years after degree is awarded <i>or</i> 6 years after date of last attendance <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM



### 3.4 MISCONDUCT

*The activity of the management of alleged misconduct involving a breach by a student of academic and/or behavioral standards which may involve plagiarism, falsifying results, cheating, etc., and may include the imposition of a penalty.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69156 Rev. 0	<p><b><i>Disciplinary Investigations for Exonerated Students</i></b></p> <p>Records documenting the investigation into possible violation of Student Conduct Code (chapter 172-121 WAC) for students who were subsequently exonerated.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Case materials;</li> <li>• Notice of exoneration.</li> </ul>	<p><b>Retain</b> until completion of exoneration</p> <p><i>then</i></p> <p><b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR
09-05-62013 Rev. 1	<p><b><i>Student Disciplinary Cases (Sanctions Imposed)</i></b></p> <p>Records relating to the investigations and disciplinary actions taken pursuant to the Student Conduct Code (chapter 172-121 WAC).</p>	<p><b>Retain</b> for 6 years after completion of disciplinary action</p> <p><i>and</i></p> <p>expiration of appeal period, if any</p> <p><i>then</i></p> <p><b>Destroy.</b></p>	NON-ARCHIVAL <b>ESSENTIAL</b> (for Disaster Recovery) OPR



**3.4 MISCONDUCT**

*The activity of the management of alleged misconduct involving a breach by a student of academic and/or behavioral standards which may involve plagiarism, falsifying results, cheating, etc., and may include the imposition of a penalty.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
21-06-69632 Rev. 0	<p><b>Title IX Cases</b> Records relating to reports of sex-based discrimination under Title IX. Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Filed complaints and grievances;</li> <li>• Police reports;</li> <li>• Hearing questions and notes;</li> <li>• Sanctions invoked;</li> <li>• Data on others involved;</li> <li>• Final resolutions;</li> <li>• Documentation of supportive measures;</li> <li>• Training records.</li> </ul> <p><i>Note: Retention based on 7-year requirement in 34 CFR §106.45.</i></p>	<p><b>Retain</b> for 7 years after conclusion of investigation/completion of disciplinary action <i>and</i> expiration of appeal period, if any <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>



### 3.5 TRANSCRIPTS

*The activity of the management of the official academic records of the grades achieved by a student in a course/program.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
89-05-44382 Rev. 1	<p><b>Transcript Requests</b> Records documenting student requests for transcripts or verification of enrollment.</p>	<p><b>Retain</b> for 1 year after date submitted <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL NON-ESSENTIAL OFM</p>
74-07-05578 Rev. 1	<p><b>Transcripts (Permanent Student Records)</b> Records documenting the official academic performance of individual students at the University. Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Courses taken;</li> <li>• Final grades and honors received (including any grade changes);</li> <li>• Transfer and total credits;</li> <li>• Major and minor degrees received;</li> <li>• Official name changes.</li> </ul> <p>Includes this information maintained in systems such as Banner. <i>Note: In the event of the University ceasing to exist, the permanent student records are to be transferred to Student Achievement Council in accordance with RCW 28B.85.130.</i></p>	<p><b>Retain</b> for life of agency <i>then</i> <b>Transfer</b> to Student Achievement Council for permanent retention.</p>	<p><b>ARCHIVAL</b> (Permanent Retention) <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>





## 4. STUDENT AND CAMPUS SERVICES

This section covers records relating to providing services to students by either the institution or another entity which has an agreement/contract with the institution. Some services may also be available to staff, faculty, and the general public.

4.1 ADVISING <i>The activity of providing guidance to students and other clients to ensure academic progress, promote career planning, and/or ensure compliance with requirements.</i>			
DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
87-03-39547 Rev. 2	<p><b>Academic and Career Advising – Current Students</b></p> <p>Records documenting advice and other services provided to students to assist them in the processes of identifying a major or graduate program, monitoring progress toward graduation, or to assist them in career planning.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Intake information, e.g., dates that services were provided;</li> <li>• Advisor notes;</li> <li>• Elective approval forms;</li> <li>• Assessments and other tools administered to identify an individual student’s interests and areas of expertise, e.g., the Strong Interest Inventory;</li> <li>• Related correspondence/communications.</li> </ul>	<p><b>Retain</b> for 6 years after graduation <i>or</i> 6 years after date of last attendance <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM



**4.1 ADVISING**

*The activity of providing guidance to students and other clients to ensure academic progress, promote career planning, and/or ensure compliance with requirements.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69141 Rev. 0	<p><b>Career Advising – Alumni and General Public</b></p> <p>Records documenting advice and other services provided to alumni or other members of the public to assist them in career planning.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Intake information, e.g., dates that services were provided;</li> <li>• Advisor notes;</li> <li>• Assessments and other tools administered to identify an individual’s interests and areas of expertise, e.g., the Strong Interest Inventory;</li> <li>• Related correspondence/communications.</li> </ul>	<p><b>Retain</b> for 2 years after most recent contact</p> <p><i>then</i></p> <p><b>Destroy.</b></p>	<p>NON-ARCHIVAL NON-ESSENTIAL OFM</p>



**4.1 ADVISING**

*The activity of providing guidance to students and other clients to ensure academic progress, promote career planning, and/or ensure compliance with requirements.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69164 Rev. 0	<p><b>Immigration/Visa Advising – Foreign Students</b></p> <p>Records relating to the immigration/visa status of individual foreign students, retained for advising purposes and/or to document compliance with U.S. Citizenship and Immigration Services requirements.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Intake information, e.g., dates that services were provided;</li> <li>• U.S. Citizenship and Immigration Services (USCIS) documentation, e.g., Certificate for Eligibility for Exchange Student Status (IAP 66) and Certificate of Eligibility for Nonimmigrant F-1 Student Status (I-20);</li> <li>• U.S. Customs and Border Patrol (USCBP) forms, e.g., Arrival/Departure Record (I-94);</li> <li>• U.S. Department of State documentation, e.g., Certificate of Eligibility for Exchange Visitor (J-1) Status (DS-2019), etc.;</li> <li>• Student and Exchange Visitor Information System (SEVIS) reports;</li> <li>• Identification Documentation, e.g., passport pages with biographical information and expiration date;</li> <li>• Correspondence/communications with student and other schools;</li> <li>• Copies of financial statements and/or sponsor letter;</li> <li>• Copies of transfer release documents;</li> <li>• Copies of employment documents;</li> <li>• Copies of admission materials.</li> </ul>	<p><b>Retain</b> for 6 years after graduation <i>or</i> 6 years after date of last attendance <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>



**4.1 ADVISING**

*The activity of providing guidance to students and other clients to ensure academic progress, promote career planning, and/or ensure compliance with requirements.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
89-05-44384 Rev. 1	<p><b><i>Veteran Student Files</i></b> Records relating to Veterans and/or their dependents receiving educational benefits. Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Veteran Tuition Waiver Forms;</li> <li>• Grade Reports;</li> <li>• Academic progress reports;</li> <li>• Change of course forms;</li> <li>• Transfer credit evaluations;</li> <li>• Degree audit records;</li> <li>• Statements of understanding;</li> <li>• Student account summaries;</li> <li>• DD214;</li> <li>• Transfer guide course equivalencies;</li> <li>• Enrollment certifications;</li> <li>• Certificates of Eligibility for GI Bill;</li> <li>• Correspondence/communications regarding VA enrollment.</li> </ul>	<p><b>Retain</b> for 6 years after graduation <i>or</i> 6 years after date of last attendance <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR



**4.2 ATHLETICS**

*The activity of the management of the institution's athletics program.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69137 Rev. 0	<p><b><i>Athlete Medical History</i></b> Records documenting the medical and treatment history for each student athlete. Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Medical/Treatment documentation and/or reports;</li> <li>• Physical form;</li> <li>• Injury/illness report forms;</li> <li>• Progress notes;</li> <li>• Rehabilitation logs;</li> <li>• Waivers;</li> <li>• EWU letter informing student athletes of physical and insurance policy requirements;</li> <li>• Consent forms for medical procedures and acknowledgment of potential injury.</li> </ul>	<p><b>Retain</b> for 7 years after no longer eligible <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR
91-09-48149 Rev. 1	<p><b><i>Athlete Medical Insurance Claims</i></b> Records documenting claims for reimbursement of medical bills for student athletes. Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Claims through the National Association of Collegiate Directors of Athletics (NACDA) excess insurance programs;</li> <li>• Claims through the NCAA Catastrophic Insurance Program;</li> <li>• Medical bills;</li> <li>• Medical expense logs;</li> <li>• HIPAA documentation.</li> </ul>	<p><b>Retain</b> for 6 years after claim closed <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR



**4.2 ATHLETICS**

*The activity of the management of the institution's athletics program.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69138 Rev. 0	<p><b><i>Athlete Recognition</i></b> Provides a record of athletes whose performance is worthy of note or who have received special recognition. Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• List of recipients lettering in sports;</li> <li>• Awards;</li> <li>• Media coverage;</li> <li>• Related correspondence/communications.</li> </ul>	<p><b>Retain</b> for 6 years after end of season <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL NON-ESSENTIAL OFM</p>
17-12-69139 Rev. 0	<p><b><i>Big Sky Conference</i></b> Records documenting the university's relationship with the Big Sky Conference. Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Reports;</li> <li>• Copies of meeting minutes;</li> <li>• Related correspondence/communications.</li> </ul>	<p><b>Retain</b> for 10 years after end of calendar year <i>then</i> <b>Transfer</b> to Archives for appraisal and selective retention.</p>	<p><b>ARCHIVAL</b> <b>(Appraisal Required)</b> NON-ESSENTIAL OPR</p>



**4.2 ATHLETICS**

*The activity of the management of the institution's athletics program.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69171 Rev. 0	<p><b><i>National Collegiate Athletic Association (NCAA)</i></b> Records documenting the university's relationship with the NCAA. Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• NCAA self-studies;</li> <li>• NCAA legislation;</li> <li>• Compliance certification for staff members;</li> <li>• Reports;</li> <li>• Copies of meeting minutes;</li> <li>• Related correspondence/communications.</li> </ul>	<p><b>Retain</b> for 10 years after end of calendar year <i>then</i> <b>Transfer</b> to Archives for appraisal and selective retention.</p>	<p><b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL OPR</p>



**4.2 ATHLETICS**

*The activity of the management of the institution's athletics program.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
91-09-48150 Rev. 1	<p><b>NCAA Eligibility – Individual Student Athletes</b></p> <p>Records documenting an individual student athlete's compliance with NCAA eligibility requirements for participation on a sports team.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Letters of intent;</li> <li>• Signed NCAA student athlete statement;</li> <li>• NCAA Drug testing notification forms, including signed NCAA Drug Testing Consent form;</li> <li>• NCAA verification of drug test clearance;</li> <li>• Record of yearly attendance at NCAA rules review and drug meeting;</li> <li>• Student eligibility reports, including grades, seasons of eligibility completed, and seasons of eligibility remaining;</li> <li>• Copies of admissions records and transcripts;</li> <li>• Records documenting financial aid received by the student athlete;</li> <li>• Related correspondence/communications, including requests for permission to contact a student athlete for the purpose of transferring between NCAA sports programs under the one-time transfer exception.</li> </ul> <p><i>Note: The NCAA requires a minimum 6-year retention on the NCAA Student-Athlete statement and drug-testing consent form.</i></p>	<p><b>Retain</b> for 10 years after end of eligibility <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>





**4.2 ATHLETICS**

*The activity of the management of the institution’s athletics program.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69172 Rev. 2	<p><b>NCAA Eligibility – Sports Teams</b></p> <p>Records documenting an EWU sports team’s compliance with NCAA eligibility requirements.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Participation rosters, including squad lists, travel rosters and NCAA championship and tournament rosters;</li> <li>• Records documenting eligibility certification for the team, including listings of cumulative grade point average and credits by quarter for student athletes.</li> </ul> <p>Excludes records documenting the activities of the University’s Athletic Compliance Committee, covered by <i>Governing/Executive/Policy-Setting Body Records (DAN GS 10004)</i>.</p>	<p><b>Retain</b> for 10 years after end of academic year</p> <p><i>then</i></p> <p><b>Transfer</b> to Archives for appraisal and selective retention.</p>	<p><b>ARCHIVAL</b> (Appraisal Required) <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>



**4.3 DISABILITY SERVICES**

*The activity of providing students with disabilities an equal opportunity to fully participate in and benefit from all aspects of the employment and education environment.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69155 Rev. 0	<p><b><i>Disability Services – Accommodations</i></b></p> <p>Records documenting services requested and/or provided to individual students with disabilities in compliance with Section 504 of the Rehabilitation Act of 1973, the Americans with Disabilities Act (ADA) of 1990 and the ADA Amendments Act of 2008.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Requests for services and accompanying documentation;</li> <li>• Agency response, including accommodations identified and provided.</li> </ul>	<p><b>Retain</b> for 6 years after graduation <i>or</i> 6 years after date of last attendance <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR



**4.4 FINANCIAL AID**

*The activity of providing financial aid to students.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
84-08-34409 Rev. 2	<p><b><i>Financial Aid Reports – Internal</i></b> Aggregate/summary records documenting disbursements and financial aid status, where not covered by a more specific record series</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Summary disbursement reports (daily, weekly, monthly, etc.);</li> <li>• Cancellation journals.</li> </ul> <p>Excludes:</p> <ul style="list-style-type: none"> <li>• Required reporting covered by <i>Institutional Eligibility – Financial Aid (DAN 83-10-32929)</i>;</li> <li>• Aid packaging reports covered by <i>Student Aid Eligibility Determination/Tracking (DAN 17-12-69187)</i>.</li> </ul>	<p><b>Retain</b> for 6 years after end of award year <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL NON-ESSENTIAL OFM</p>
83-10-32929 Rev. 1	<p><b><i>Institutional Eligibility – Financial Aid</i></b> Records documenting the University’s eligibility to participate in financial aid programs, including federal, campus-based programs.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Fiscal Operations Report and Application to Participate (FISAP);</li> <li>• Required reporting, e.g., reports submitted to the Department of Education as part of the Quality Assurance process, etc.</li> </ul>	<p><b>Retain</b> for 6 years after end of aid year <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL <b>ESSENTIAL</b> (for Disaster Recovery) OFM</p>



**4.4 FINANCIAL AID**

*The activity of providing financial aid to students.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69184 Rev. 0	<p><b>Scholarship Program History</b> Records documenting the creation and administration of scholarship programs at EWU. Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Documentation of the establishment of the fund (including administrative requirements for the scholarship, copies of wills, etc.);</li> <li>• Annual lists of recipients.</li> </ul> <p>Excludes:</p> <ul style="list-style-type: none"> <li>• Records documenting eligibility determination for scholarships, covered by <i>Student Aid Eligibility Determination/Tracking (DAN 17-12-69187)</i>.</li> </ul>	<p><b>Retain</b> for 6 years after termination of scholarship program <i>then</i> <b>Transfer</b> to Archives for appraisal and selective retention.</p>	<p><b>ARCHIVAL</b> (Appraisal Required) <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>
17-12-69187 Rev. 0	<p><b>Student Aid Eligibility Determination/Tracking</b> Records documenting individual student requests for financial assistance, determination of student eligibility for aid, and verification of their compliance with continuing eligibility requirements (e.g., satisfactory progress, etc.). Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Loans (including short-term loans), work study, grants, scholarships, institutional waivers, etc.;</li> <li>• Applications for aid, e.g., Free Application for Federal Student Aid (FAFSA), General Scholarship Application (GSA), and other applications to the University and its departments for scholarships and other forms of financial assistance;</li> <li>• Award letters/summaries and packaging reports;</li> <li>• Required documentation to verify ongoing eligibility, e.g., to verify financial information reported on the FAFSA as part of the Quality Assurance process.</li> </ul>	<p><b>Retain</b> for 6 years after end of award year in which student last attended the institution <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>



#### 4.4 FINANCIAL AID

*The activity of providing financial aid to students.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
88-12-43519 Rev. 1	<b>Student Aid Disbursement – EWU-Administered Gift Aid and Work Study</b> Records documenting disbursement of individual EWU-administered gift aid, including grants, scholarships, tuition awards, institutional waivers, etc., as well as aid awarded in the form of work study.	<b>Retain</b> for 6 years after conditions of award satisfied <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b> (for Disaster Recovery) OPR
17-12-69186 Rev. 0	<b>Student Aid Disbursement – Grants, Scholarships, Gift Aid, and Loans Administered by Other Agencies/Entities</b> Records documenting disbursement of individual loan funds or gift aid where EWU disburses the funds, but is <b>not</b> the administrator or lender. Includes, but is not limited to: <ul style="list-style-type: none"> <li>• Direct Subsidized (Stafford) Loans;</li> <li>• Direct Unsubsidized (Stafford) Loans;</li> <li>• Direct PLUS Loans;</li> <li>• Private Education or Alternative Loans;</li> <li>• Scholarships and grants from individuals/entities that are separate from EWU.</li> </ul>	<b>Retain</b> for 6 years after end of award year in which student last attended the institution <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b> (for Disaster Recovery) OPR
88-12-43516 Rev. 1	<b>Student Aid Disbursement – Loans Administered by EWU</b> Records documenting disbursement of individual loan funds where EWU acts as both administrator/lender <b>and</b> disbursing agent of the loan. Includes, but is not limited to: <ul style="list-style-type: none"> <li>• Perkins Loans;</li> <li>• Short-term loans.</li> </ul>	<b>Retain</b> for 6 years after loan repayment, reconciliation, or assignment to the Board of Education <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL <b>ESSENTIAL</b> (for Disaster Recovery) OPR



**4.5 FOOD SERVICES**

*The activity of providing food for sale and the provision of food to residential students.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
93-05-52348 Rev. 1	<p><b><i>Food Service Inspections</i></b> Records documenting Food Service inspections that are administered periodically to ensure the facility meets the required standards and regulations. Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Inspection reports;</li> <li>• Correction plans;</li> <li>• Progress reports;</li> <li>• Related communications.</li> </ul>	<p><b>Retain</b> for 6 years after completion of inspection <i>and</i> violations (if any) corrected/resolved <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL NON-ESSENTIAL OPR</p>



#### 4.6 HEALTH SERVICES

*The activity of providing medical/health services. Also includes the operation of clinics where students, during their studies, provide services under the supervision of teaching staff and practicing professionals.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
20-10-69582 Rev. 2	<p><b>Client History (Age 21 and Over)</b> Records documenting the medical and/or counseling history of individuals who have received services from a University health service and are 21 years of age or older at time of last visit.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Write-ups of counseling sessions;</li> <li>• Psychological evaluations of clients;</li> <li>• Treatment or visit summaries;</li> <li>• X-rays;</li> <li>• Lab records;</li> <li>• Immunizations.</li> </ul>	<p><b>Retain</b> for 8 years after date of last visit <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>
23-10-69698 Rev. 0	<p><b>Client History (Under Age 21)</b> Records documenting the medical and/or counseling history of individuals who have received services from a University health service and are under the age of 21 at time of last visit.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Write-ups of counseling sessions;</li> <li>• Psychological evaluations of clients;</li> <li>• Treatment or visit summaries;</li> <li>• X-rays;</li> <li>• Lab records;</li> <li>• Immunizations.</li> </ul>	<p><b>Retain</b> for 8 years after client reaches age 21 <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>



#### 4.6 HEALTH SERVICES

*The activity of providing medical/health services. Also includes the operation of clinics where students, during their studies, provide services under the supervision of teaching staff and practicing professionals.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69170 Rev. 2	<p><b>Medical History – Non-Student/Non-Patient</b></p> <p>Health-related records received by the Student Health Clinic regarding individuals who do not subsequently become either students of the University or clients of the clinic.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Authorizations for release of medical records, copies of immunization records, etc., that are submitted to the University by applicants who ultimately do not attend the University;</li> <li>• Authorizations for release of medical records, copies of immunization records, etc., submitted to the University by EWU faculty, staff, and/or members of the general public who do not subsequently receive services from the Clinic;</li> <li>• Copies of emergency room reports provided to the Clinic by other healthcare providers in relation to individuals who are not EWU students or Clinic clients;</li> <li>• Related correspondence/communications.</li> </ul> <p><i>Note: If the individual subsequently becomes a EWU student or client at the clinic, these records are retained accordingly under Client History (DAN 20-10-69583).</i></p>	<p><b>Retain</b> until no longer needed for agency business <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM





#### 4.7 HOUSING SERVICES

*The activity of providing places of residence for students where the residence is managed by the institution.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69173 Rev. 0	<b>Occupancy Reports</b> Records documenting the number of beds and rooms that are occupied in each residence hall at a particular point in time.	<b>Retain</b> for 2 years after end of academic quarter <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM



**4.8 POLICE AND PARKING SERVICES**

*The activity of providing law enforcement and security at the institution's campuses and facilities.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69136 Rev. 0	<p><b>Annual Crime Report Documentation</b></p> <p>Records documenting the agency's compliance with the requirements of 20 USC § 1092(f), the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act (also known as the Clery Act).</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Required reports, e.g., Annual Security Report (ASR) and fire report;</li> <li>• Public crime log;</li> <li>• Documentation of timely warnings and emergency notifications.</li> </ul>	<p><b>Retain</b> for 7 years after end of calendar year <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR
17-12-69176 Rev. 0	<p><b>Parking Permits</b></p> <p>Records documenting all parking permits purchased by students, faculty, staff, and others who park in campus lots. Includes both new permits and replacements requested due to loss, theft, or destruction.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• New permit number;</li> <li>• Previous permit number (if any);</li> <li>• Reason for replacement (if any);</li> <li>• Information regarding the vehicle to which permit is registered.</li> </ul>	<p><b>Retain</b> for 6 years after end of fiscal year <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR



**4.8 POLICE AND PARKING SERVICES**

*The activity of providing law enforcement and security at the institution's campuses and facilities.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69177 Rev. 0	<p><b><i>Parking Violations</i></b> Records documenting the assessment, payment, escalation, appeal, or cancellation of fines levied for parking offenses. Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Parking permit information;</li> <li>• Vehicle registration information;</li> <li>• Customer information.</li> </ul>	<p><b>Retain</b> for 6 years after matter resolved <i>then</i> <b>Destroy.</b></p>	<p>NON-ARCHIVAL <b>ESSENTIAL</b> (for Disaster Recovery) OPR</p>



## 5. TEACHING AND LEARNING

This section covers records relating to conveying knowledge to facilitate learning including curriculum planning and development, delivery, assessment, materials/resources, and evaluation.

5.1 ACCREDITATION			
<i>The activity of courses/programs receiving accreditation by external organizations.</i>			
DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
92-09-51287 Rev. 1	<b>Accreditation Documentation</b> Statistical, descriptive and evaluative materials necessary for a program, college or school to achieve and maintain accreditation with the professional association which sets the standards for that particular discipline (e.g., Professional Educator Standards Board (PESB) and National Council for Accreditation of Teacher Education (NCATE)).	<b>Retain</b> for 6 years after end of accreditation <i>or</i> until superseded by new accreditation <i>then</i> <b>Transfer</b> to Archives for appraisal and selective retention.	<b>ARCHIVAL</b> (Appraisal Required) <b>ESSENTIAL</b> (for Disaster Recovery) OPR



**5.2 ASSESSMENT/EVALUATION**

*The activity of processing and forming judgments about the quality and extent of student learning.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
95-07-55713 Rev. 1	<b>Academic Appeals/Grievances</b> Records documenting a student’s appeal of a grade, or other student grievance mediated within the department, school or college.	<b>Retain</b> for 6 years after graduation or 6 years after date of last attendance <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OPR
17-12-69134 Rev. 0	<b>Academic Forgiveness</b> Records documenting individual students’ petitions to Records and Registration to use only recent academic performance in calculating grade point average.	<b>Retain</b> for 6 years after graduation or 6 years after date of last attendance <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OPR



## 5.2 ASSESSMENT/EVALUATION

*The activity of processing and forming judgments about the quality and extent of student learning.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
87-10-40997 Rev. 1	<p><b>Coursework Grades/Scores/Comments</b></p> <p>Records maintained by instructor documenting individual students' performance in a course, including scores, grades, and or comments regarding exams, papers, projects, and/or class participation. Provides the basis for the final grade that is assigned.</p> <p>Excludes student scores for tests, exams, and other assessments that are administered to determine level of subject proficiency and/or to authorize students for course credit or possible exemption from taking a course, which are covered by <i>Examination Scores – Proficiency/Placement or Credit by Exam (DAN 89-05-44366)</i>.</p>	<p><b>Retain</b> for 2 years after assignment of final grade <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM
89-05-44366 Rev. 1	<p><b>Examination Scores – Proficiency/Placement or Credit by Exam</b></p> <p>Records documenting student scores on qualifying exams administered to determine level of subject proficiency, and/or to authorize students for course credit or possible exemption from taking a course.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Compass Placement Testing (CPT);</li> <li>• Mathematics Placement Tests (MPT).</li> </ul> <p>Excludes student scores for tests, exams, and other assessments that are administered as part of a course, which are covered by <i>Coursework Grades/Scores/Comments (DAN 87-10-40997)</i>.</p>	<p><b>Retain</b> for 6 years after graduation <i>or</i> 6 years after date of last attendance <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR



## 5.2 ASSESSMENT/EVALUATION

*The activity of processing and forming judgments about the quality and extent of student learning.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69159 Rev. 0	<p><b><i>Examinations and Answer Sheets – Proficiency/Placement or Credit by Exam</i></b></p> <p>Records documenting student responses to placement examinations administered by the University or its departments to determine level of subject proficiency, and/or to authorize students for course credit or possible exemption from taking a course.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• English Placement Tests;</li> <li>• Mathematics Placement Tests (MPT).</li> </ul> <p>Excludes student responses for tests, exams, and other assessments that are administered as part of a course, which are covered by <i>Papers, Projects and Other Assignments by Students – Not Retrieved (DAN 17-12-69175)</i>.</p>	<p><b>Retain</b> for 1 year after end of academic year <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM
17-12-69161 Rev. 0	<p><b><i>Grade Confirmation and Class/Rank Reports</i></b></p> <p>Records documenting the reporting by Records and Registration of final quarterly student grades, including students’ rank within their class, to academic departments.</p>	<p><b>Retain</b> for 2 years after end of academic quarter <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM



**5.2 ASSESSMENT/EVALUATION**

*The activity of processing and forming judgments about the quality and extent of student learning.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
98-07-55813 Rev. 1	<p><b>Grade Input and Grade Changes</b></p> <p>Records documenting the entry of new and revised quarterly final coursework grades into students' academic records.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Grades entered by instructor directly into transcript record;</li> <li>• Grades submitted to Records and Registration by instructor for subsequent entry into transcript record;</li> <li>• Reports of Incomplete.</li> </ul>	<p><b>Retain</b> for 6 years after end of academic quarter <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR
88-10-43018 Rev. 1	<p><b>Masters' Theses/Projects</b></p> <p>Records documenting the culminating thesis or project completed by individual graduate students to satisfy master's degree requirements.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Bound or electronic copy of thesis;</li> <li>• Final project (e.g., model, recording, etc.) and accompanying written report.</li> </ul>	<p><b>Retain</b> for 6 years after graduation <i>or</i> 6 years after date of last attendance <i>then</i> <b>Transfer</b> to Archives for appraisal and selective retention.</p>	<b>ARCHIVAL</b> (Appraisal Required) NON-ESSENTIAL OFM





## 5.2 ASSESSMENT/EVALUATION

*The activity of processing and forming judgments about the quality and extent of student learning.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69175 Rev. 0	<p><b><i>Papers, Projects and Other Assignments by Students – Not Retrieved</i></b></p> <p>Records documenting work performed and/or submitted by students in fulfillment of course or degree requirements, where the student has not retrieved the records after the end of the academic quarter.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Papers, projects, and other assignments;</li> <li>• Student responses to exams/tests.</li> </ul> <p>Excludes:</p> <ul style="list-style-type: none"> <li>• Masters’ theses, projects, and comprehensive examinations covered by <i>Masters’ Theses/Projects (DAN 15-06-68810)</i>;</li> <li>• Placement examinations administered to determine level of subject proficiency and/or to authorize students for course credit or possible exemption from taking a course, covered by <i>Examinations and Answer Sheets – Proficiency/Placement or Credit by Exam (DAN 15-06-68806)</i>.</li> </ul>	<p><b>Retain</b> for 1 quarter after end of academic quarter in which assignment was graded</p> <p><i>then</i></p> <p><b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM
17-12-69181 Rev. 0	<p><b><i>Reference Letters</i></b></p> <p>Records documenting references/recommendations prepared by EWU faculty or staff regarding individual EWU students or former students.</p>	<p><b>Retain</b> for 2 years after date of reference</p> <p><i>then</i></p> <p><b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM



**5.2 ASSESSMENT/EVALUATION**

*The activity of processing and forming judgments about the quality and extent of student learning.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
94-04-53658 Rev. 2	<p><b><i>Student Evaluation of Instruction (SEOI)</i></b> Records documenting evaluations of the instructor, course and/or overall student learning environment, completed by students.</p>	<p><b>Retain</b> for 5 years after end of academic year <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM
97-01-57391 Rev. 1	<p><b><i>Student Internships – Undergraduate and Graduate</i></b> Records documenting individual students’ internship, practicum, and/or cooperative education work experiences, including where mandatory to fulfill a degree/certificate requirement. Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Application materials;</li> <li>• Supervision notes, statistics of service provided each quarter, other paper generated during the internship year and copies of references written by supervisors.</li> </ul>	<p><b>Retain</b> for 6 years after graduation <i>or</i> 6 years after date of last attendance <i>then</i> <b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OFM



### 5.3 CLASS SCHEDULING

*The activity of the organization, control and scheduling of classes and examinations for students.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
96-03-56538 Rev. 1	<b>Scheduling Data</b> Records documenting the scheduling of courses, examinations, and other events held by/at the University, used as a reference and/or as a basis for scheduling classes in future quarters.	<b>Retain</b> for 3 years after end of academic year <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM



**5.4 CURRICULUM DEVELOPMENT**

*The activity of development, approval and review of curriculum.*

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
92-12-51504 Rev. 1	<b><i>Curriculum/Courses</i></b> These files contain all information regarding curriculum/course matters (curriculum changes, course additions, deletions, changes; special topics; course propaganda; catalog information; enrollments; class schedules).	<b>Retain</b> for 6 years after superseded <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OFM
75-02-09159 Rev. 1	<b><i>Curriculum and Course Proposals (Approved/Denied)</i></b> Provides documentation of the planning and development of academic courses and programs that have been approved.	<b>Retain</b> for 6 years after end of calendar year <i>then</i> <b>Destroy.</b>	NON-ARCHIVAL NON-ESSENTIAL OPR



## 6. LEGACY RECORDS

This section covers records no longer being created/received by Eastern Washington University, but the existing records have not yet reached their minimum retention period.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
17-12-69153 Rev. 1	<p><b>Code Blue Station Test Log</b></p> <p>Records documenting regular testing by patrol officers of the various Code Blue Stations on campus to make sure they are in working condition including phone, lights, electrical, and strobe lights work and any comments.</p> <p>Excludes maintenance records covered by:</p> <ul style="list-style-type: none"> <li>• <i>Maintenance – Minor Non-Regulated (DAN GS 21002);</i></li> <li>• <i>Maintenance – Major and/or Regulated (DAN GS 21008).</i></li> </ul> <p><i>Note: As of June 1, 2023, Code Blue Stations are no longer being used.</i></p>	<p><b>Retain</b> for 6 years after of end of fiscal year</p> <p><i>then</i></p> <p><b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR
17-12-69163 Rev. 0	<p><b>Individual Placement Files</b></p> <p>Records compiled by individual Education students/graduates to document their pedagogical training, employment history, and other experiences that have contributed to their preparation as an educator. These records are provided at the student/graduate’s request to prospective employers.</p> <p>Includes, but is not limited to:</p> <ul style="list-style-type: none"> <li>• Options form designating a confidential or non-confidential file;</li> <li>• Student teaching/internship evaluations;</li> <li>• Letters of reference.</li> </ul> <p><i>Note: As of August 1, 2013, no new placement files are being opened.</i></p>	<p><b>Retain</b> for 30 years after graduation</p> <p><i>or</i></p> <p>30 years after date of last attendance</p> <p><i>then</i></p> <p><b>Destroy.</b></p>	NON-ARCHIVAL NON-ESSENTIAL OPR





## GLOSSARY

### ***Appraisal***

The process of determining the value and disposition of records based on their administrative, legal, and fiscal use; their evidential and informational or research value; and their relationship to other records.

### ***Archival (Appraisal Required)***

Designation for public records that may possess enduring legal and/or historical value and must be appraised by the Archives. Such records are to be evaluated, sampled, and weeded according to archival principles by Archives staff. Records appraised as non-archival may be destroyed after their retention has been met.

### ***Archival (Permanent Retention)***

Designation for public records that possess enduring legal and/or historical value and must not be destroyed. State government agencies must transfer these records to the Archives at the end of their minimum retention period. Local government agencies must either transfer these records to the Archives or retain and preserve them according to archival best practice until transferred to the Archives. Other than removing and disposing of duplicates, the Archives will not sample, weed, or otherwise dispose of records with this designation.

### ***Disposition***

Actions taken with records when they are no longer required to be retained by an agency. Possible disposition actions include transfer to the Archives and destruction.

### ***Disposition Authority Number (DAN)***

Control number for a specific records series in a retention schedule that authorizes a retention period and disposition action for records belonging to that series.

### ***Essential Records***

Records needed to respond to, and/or perform critical operations during/after, a disaster or emergency. They need to be protected through backup or enhance storage. (RCW 40.10.010)

### ***Local Records Committee***

Committee established by RCW 40.14.070 to review and approve disposition of local government records through records retention schedules. The Committee's three members include the State Archivist and one representative each from the Office of the Attorney General and the State Auditor.



### ***Non-Archival***

Designation given to public records that do not possess sufficient historical value to be designated as “Archival.” Agencies must retain these records for the minimum retention period specified by the appropriate current records retention schedule. Agencies should destroy these records after their minimum retention period expires, provided the records are not required for litigation, public records requests, or other purposes required by law.

### ***Non-Essential Records***

Public records which are not required in order for an agency to resume its core functions following a disaster, as described in chapter 40.10 RCW.

### ***OFM (Office Files and Memoranda)***

Public records not defined and classified as official public records in RCW 40.14.010 and other documents or records as determined by the records committee to be office files and memoranda.

### ***OPR (Official Public Records)***

Public records necessary to document transactions relating to public property, public finances, and other agency business, or records determined by the records committee to be official public records.

### ***Public Records***

Records that have been created or received by any government agency in Washington State in connection with the transaction of public business regardless of physical form or characteristics.

### ***Records Series***

A group of records performing a specific function, which is used as a unit, filed as a unit, and may be transferred or destroyed as a unit. A records series may consist of a single type or a number of different types of documents that are filed together to document a specific function.

### ***State Records Committee***

Committee established by RCW 40.14.050 to review and approve disposition of state government records. Its four members include the State Archivist and one representative each from the Office of the Attorney General, Office of the State Auditor, and the Office of Financial Management.





**INDEXES**

**ARCHIVAL RECORDS INDEX**

*See the State Government General Records Retention Schedule for additional "Archival" records.*

<b>DEVELOPMENT AND OUTREACH</b>	
Donations/Gifts	
Donations/Gifts – Assets .....	5
Donations/Gifts – Monetary .....	6
<b>RESEARCH</b>	
Institutional Animal Care and Use Committee (IACUC)	
Inspections, Reports, and Evaluations .....	11
Research Conducted.....	11
Institutional Review Board (IRB) for Human Subjects Research	
Administration and Research Oversight .....	9
Principal Investigator Records (Research Conducted).....	10
<b>STUDENT ADMINISTRATION</b>	
Enrollment and Registration	
Reporting/Filing (Mandatory) – Higher Education Enrollment.....	18
Graduation	
	Honorary Degrees.....20
	Transcripts
	Transcripts (Permanent Student Records).....24
<b>STUDENT AND CAMPUS SERVICES</b>	
Athletics	
Big Sky Conference.....	30
National Collegiate Athletic Association (NCAA) .....	31
NCAA Eligibility – Sports Teams .....	33
Financial Aid	
Scholarship Program History .....	36
<b>TEACHING AND LEARNING</b>	
Accreditation	
Accreditation Documentation .....	44
Assessment/Evaluation	
Masters’ Theses/Projects.....	48



## ESSENTIAL RECORDS INDEX

*See the State Government General Records Retention Schedule for additional “Essential” records.*

### DEVELOPMENT AND OUTREACH

Donations/Gifts	
Donations/Gifts – Assets .....	5
Donations/Gifts – Monetary .....	6
Student Recruitment	
Recruitment/Visits – Prospective Student Athletes .....	8

### RESEARCH

Institutional Animal Care and Use Committee (IACUC)	
Inspections, Reports, and Evaluations .....	11
Research Conducted .....	11
Institutional Review Board (IRB) for Human Subjects Research	
Administration and Research Oversight .....	9
Principal Investigator Records (Research Conducted) .....	10

### STUDENT ADMINISTRATION

Enrollment and Registration	
Release of Education Records – Prior Consent or Documentation Required .....	17
Misconduct	
Student Disciplinary Cases (Sanctions Imposed) .....	22
Title IX Cases .....	23
Transcripts	
Transcripts (Permanent Student Records) .....	24

### STUDENT AND CAMPUS SERVICES

Advising	
Immigration/Visa Advising – Foreign Students .....	27
Athletics	
NCAA Eligibility – Individual Student Athletes .....	32
NCAA Eligibility – Sports Teams .....	33
Financial Aid	
Institutional Eligibility – Financial Aid .....	35
Scholarship Program History .....	36
Student Aid Disbursement – EWU-Administered Gift Aid and Work Study .....	37
Student Aid Disbursement – Grants, Scholarships, Gift Aid, and Loans	
Administered by Other Agencies/Entities .....	37
Student Aid Disbursement – Loans Administered by EWU .....	37
Student Aid Eligibility Determination/Tracking .....	36
Health Services	
Client History (Age 21 and Over) .....	39
Client History (Under Age 21) .....	39
Police and Parking Services	
Parking Citation Fines .....	43
TEACHING AND LEARNING	
Accreditation	
Accreditation Documentation .....	44



**DISPOSITION AUTHORITY NUMBERS (DAN'S) INDEX**

09-05-62013.....22	17-12-69166.....11	17-12-69190.....21	89-05-44366.....46
17-12-69134.....45	17-12-69167.....9	20-10-69582.....39	89-05-44373.....14
17-12-69136.....42	17-12-69168.....10	21-06-69632.....23	89-05-44382.....24
17-12-69137.....29	17-12-69169.....15	23-10-69698.....39	89-05-44384.....28
17-12-69138.....30	17-12-69170.....40	74-07-05577.....12	89-05-44389.....19
17-12-69139.....30	17-12-69171.....31	74-07-05578.....24	91-09-48149.....29
17-12-69141.....26	17-12-69172.....33	75-02-09159.....52	91-09-48150.....32
17-12-69153.....53	17-12-69173.....41	75-08-13677.....19	92-09-51287.....44
17-12-69155.....34	17-12-69175.....49	75-08-13699.....18	92-12-51504.....52
17-12-69156.....22	17-12-69176.....42	76-07-17399.....8	93-05-52348.....38
17-12-69157.....5	17-12-69177.....43	80-08-25345.....15	94-04-53658.....50
17-12-69158.....6	17-12-69178.....20	83-10-32929.....35	95-07-55713.....45
17-12-69159.....47	17-12-69180.....8	84-08-34409.....35	96-03-56538.....51
17-12-69160.....7	17-12-69181.....49	85-04-35289.....15	97-01-57391.....50
17-12-69161.....47	17-12-69182.....16	87-03-39547.....25	98-07-55813.....48
17-12-69162.....20	17-12-69183.....17	87-10-40997.....46	99-05-59099.....13
17-12-69163.....53	17-12-69184.....36	88-10-43018.....48	99-12-59536.....18
17-12-69164.....27	17-12-69186.....37	88-12-43516.....37	
17-12-69165.....11	17-12-69187.....36	88-12-43519.....37	



**SUBJECT INDEX**

*Note: The use in this index of SGGRRS refers to the State Government General Records Retention Schedule.*

**5**

504 accommodations.....34

**A**

academic  
    advising .....25  
    appeals/grievances/forgiveness.....45  
academic calendars (publications) ..... *see SGGRRS*  
accommodations (section 504) .....34  
accreditation (programs/colleges/schools) .....44  
admission applications  
    accepted .....12  
    not accepted/not enrolled/incomplete .....13  
advising (academic/career)  
    alumni/general public .....26  
    current students.....25  
    veteran students .....28  
advising (immigration/visa) .....27  
agendas/packets  
    governing/policy-setting bodies..... *see SGGRRS*  
agreements ..... *see SGGRRS*  
alumni (career advising) .....26  
animal research  
    Institutional Animal Care and Use Committee ....11  
appeals  
    academic.....45

parking .....43  
asset management ..... *see SGGRRS*  
athletes  
    awards/recognition .....30  
    medical histories/insurance claims .....29  
    NCAA eligibility .....32  
    recruitment/visits .....8  
audio/visual recordings  
    governing/policy-setting bodies..... *see SGGRRS*  
audits..... *see SGGRRS*  
awards (athletes) .....30

**B**

Big Sky Conference .....30  
Board of Regents (agendas/minutes) ..... *see SGGRRS*  
budgeting ..... *see SGGRRS*

**C**

calendars (academic) (publications) ..... *see SGGRRS*  
campus maps (publications) ..... *see SGGRRS*  
campus newspapers (publications) ..... *see SGGRRS*  
career advising  
    alumni/general public .....26  
    current students.....25  
citations/notice of infractions  
    parking .....43

classes  
    registration .....14  
    scheduling .....51  
Clery Act (crime reporting).....42  
commencement programs (publications) *see SGGRRS*  
committees  
    governing/policy-setting..... *see SGGRRS*  
complaints.....*see also SGGRRS*  
    academic appeals/grievances.....45  
    sex discrimination/harassment (Title IX) .....23  
contracts..... *see SGGRRS*  
course catalogs (publications) ..... *see SGGRRS*  
coursework (grades/scores/comments) .....46  
crime reporting  
    Clery Act.....42  
curriculum/courses.....52

**D**

degrees  
    honorary .....20  
    requirements (petitions for exception) .....20  
directories (faculty/staff/student) (publications) ...*see SGGRRS*  
directory information (withholding/nondisclosure)19  
disabilities (accommodations) .....34  
disbursement (student aid) .....37  
disciplinary investigations .....22, 23  
donations (assets/monetary) .....5, 6



donations (gallery/museum) .....5

**E**

emergency blue lights (testing) .....53  
enrollment  
    reporting/filing .....18  
    reports/data .....15  
equipment testing ..... *see also SGGRRS*  
    emergency blue lights .....53  
examinations  
    answer sheets .....47  
    scores .....46

**F**

facility management ..... *see SGGRRS*  
Faculty Senate (agendas/minutes) ..... *see SGGRRS*  
Family Educational Rights and Privacy Act (FERPA)  
    nondisclosure of directory information .....19  
    release of educational records  
        no prior consent required .....16  
        prior consent required .....17  
financial aid  
    institutional eligibility .....35  
    reports .....35  
financial records ..... *see also SGGRRS*  
food service (inspections) .....38  
foreign students (immigration/visa advising) .....27  
fundraising  
    benefiting charities ..... *see SGGRRS*  
    benefiting University .....7

**G**

gift aid (student aid)  
    disbursement .....37  
gifts (assets/monetary) .....5, 6  
grade confirmation .....47  
grade input/changes .....48  
graduation requirements  
    petitions for exception .....20  
    verification .....21  
grants (student aid)  
    eligibility determination/tracking .....36  
grants management ..... *see SGGRRS*  
grievances ..... *see also SGGRRS*  
students .....45

**H**

health records  
    clients .....39  
    non-students/non-patients .....40  
higher education enrollment reporting .....18  
history of institution ..... *see SGGRRS*  
honorary degrees .....20  
human resources management ..... *see SGGRRS*  
Human Subjects Review Council (HSRC)  
    administration/oversight .....9  
    principal investigator records .....10

**I**

immigration advising (foreign students) .....27

information systems ..... *see SGGRRS*  
inspections (food service) .....38  
Institutional Animal Care and Use Committee  
    (IACUC) .....11  
instruction (student evaluations) .....50  
insurance claims (athletes) .....29  
internships (students) .....50

**L**

leave ..... *see SGGRRS*  
legal files ..... *see SGGRRS*  
library records ..... *see SGGRRS*  
loans (student aid)  
    disbursement .....37  
    eligibility determination/tracking .....36

**M**

mail services ..... *see SGGRRS*  
major/minor applications .....15  
masters theses/projects .....48  
medical histories/insurance claims (athletes) .....29  
meetings  
    governing/policy-setting bodies ..... *see SGGRRS*  
minutes  
    governing/policy-setting bodies ..... *see SGGRRS*  
motor vehicles ..... *see SGGRRS*

**N**

National Collegiate Athletic Association (NCAA) .....31



eligibility  
 individual student athletics .....32  
 sports teams .....33  
 newspapers (publications) ..... *see SGGRRS*

**O**

occupancy reports (residence halls).....41

**P**

papers/projects/assignments (not retrieved) .....49  
 parking (permits/citations) .....42, 43  
 violation appeals .....43  
 payroll ..... *see SGGRRS*  
 pedagogical training .....53  
 Perkins loans (student aid) .....37  
 petitions for exception (graduation/degree requirements).....20  
 policies/procedures..... *see SGGRRS*  
 public disclosure..... *see SGGRRS*  
 public records requests ..... *see SGGRRS*  
 publications..... *see SGGRRS*

**R**

recognition (athletes).....30  
 records management ..... *see SGGRRS*  
 recruitment (prospective students/athletes) .....8  
 reference letters .....49  
 release of education records  
 no prior consent required .....16

prior consent required.....17  
 reporting (higher education enrollment) .....18  
 research  
 Human Subjects Review Council (HSRC)  
 administration/oversight .....9  
 principal investigator records .....10  
 Institutional Animal Care and Use Committee (IACUC) .....11  
 residence halls (occupancy reports).....41  
 residency status (reclassification) .....18  
 risk management ..... *see SGGRRS*

**S**

scheduling (classes) .....51  
 scholarships (student aid)  
 disbursement.....37  
 eligibility determination/tracking .....36  
 program history .....36  
 section 504 accommodations .....34  
 security ..... *see SGGRRS*  
 sex discrimination/harassment (Title IX)  
 complaints .....23  
 sponsorships (assets/monetary) .....5, 6  
 sporting teams (NCAA eligibility) .....33  
 student aid  
 disbursement.....37  
 eligibility determination/tracking .....36  
 Student Health Clinic .....39  
 students  
 admission applications  
 accepted.....12  
 not accepted/not enrolled/incomplete .....13

disabilities (accommodations) .....34  
 evaluation of instruction .....50  
 internships.....50  
 recruitment/visits .....8  
 teaching/internships .....53  
 withdrawal .....19

**T**

telecommunications ..... *see SGGRRS*  
 theses/projects (masters) .....48  
 timesheets ..... *see SGGRRS*  
 Title IX (sex discrimination/harassment) .....23  
 training..... *see SGGRRS*  
 transcripts .....24  
 transitory records ..... *see SGGRRS*  
 travel ..... *see SGGRRS*

**V**

vehicle management ..... *see SGGRRS*  
 veteran students (advising) .....28  
 video recordings  
 governing/policy-setting bodies ..... *see SGGRRS*  
 visa advising (foreign students) .....27  
 visits (prospective students/athletes) .....8

**W**

waivers (graduation/degree requirements) .....20  
 withdrawal (students) .....19  
 work study (student aid)



disbursement.....37

eligibility determination/tracking .....36