

This schedule applies to: County Clerks and Superior Court Records

Scope of records retention schedule

This records retention schedule covers the public records of County Clerks and Superior Courts relating to the functions of keeping and protecting the records of the Superior Court (including all records appertaining to the Juvenile Court pursuant to <u>RCW 13.04.021</u>); collecting statutory fees and fines, disbursing funds, and investing monies on behalf of the Superior Court; summoning and managing jurors in accordance with <u>chapter 2.36 RCW</u>; filing and recording public documents; and other duties in accordance with <u>chapter 36.23 RCW</u>, <u>RCW 2.32.050</u> and other state/local statute or court rule. It is to be used in conjunction with the *Local Government Common Records Retention Schedule (CORE)*, which authorizes the destruction/transfer of public records common to all local government agencies. *CORE* can be accessed online at: http://www.sos.wa.gov/archives/recordsretentionschedules.aspx.

Disposition of public records

Public records covered by records series within this records retention schedule must be retained for the minimum retention period as specified in this schedule. Washington State Archives (WSA) strongly recommends the disposition of public records at the end of their minimum retention period for the efficient and effective management of local resources.

Public records designated as Archival (Permanent Retention) or Non-Archival (with a retention period of "Permanent") must not be destroyed. Records designated as Archival (Appraisal Required) must be appraised by the Washington State Archives (WSA) before disposition. Public records must not be destroyed if they are subject to ongoing or reasonably anticipated litigation. Such public records must be managed in accordance with the agency's policies and procedures for legal holds. Public records must not be destroyed if they are subject to an existing public records request in accordance with chapter 42.56 RCW. Such public records must be managed in accordance with the agency's policies and procedures for public records requests.

In addition to the minimum retention requirements specified in this schedule, there may be additional (longer) retention requirements mandated by federal, state and/or local statute, grant agreement, and/or other contractual obligations.

Revocation of previously issued records retention schedules

All previously approved disposition authorities for records that are covered by this retention schedule are revoked, including those listed in all general and agency unique retention schedules. Local government agencies should take measures to ensure that the retention and disposition of public records is in accordance with current approved records retention schedules.

Authority

This records retention schedule was approved by the Local Records Committee in accordance with <u>RCW 40.14.070</u> on June 26, 2014.

Signature on File	Signature on File	Signature on File
For the State Auditor: Cindy Evans	For the Attorney General: Sharon James	The State Archivist: Steve Excell



REVISION HISTORY

Version	Date of Approval	Extent of Revision
1.0	March 1983	First version.
2.0	August 1993	Major revision.
3.0	February 2001	Major revision.
4.0	December 2001	Updates to records series originating in Local Government General Records Retention Schedule (LGGRRS).
5.0	2006 - 2007	Accounting and Electronic Information sections updated.
6.0	January 29, 2009	Records series common to all local government agencies now appear in the new Local Government Common Records Retention Schedule (CORE) and have been removed from this schedule. All Disposition Authority Numbers (DANs) in the County Clerk and Clerk of the Superior Court Records Retention Schedules now begin with the prefix "CL"; there have been no changes to titles, descriptions, retention periods, or archival designations.
7.0	June 26, 2014	Complete revision; all records series consolidated and updated. 10 new series; 20 revised; 35 discontinued. Juvenile Court is a division of Superior Court; consequently, official Juvenile Court records are covered in this retention schedule. • The Juvenile Courts and Services Records Retention Schedule (Ver. 1.0) was revoked effective 6/26/2014. • Juvenile "social files" are covered in the Social Services Records Retention Schedule approved 6/26/2014. • References to chapter 13.50 RCW have not been updated to reflect 2014 Legislation; awaiting web update on leg.wa.gov. All changes detailed in the Summary of Changes .



TABLE OF CONTENTS

1.	COUNTY CLERK/SUPERIOR COURT	4
	1.1 SUPERIOR COURT RECORDS AND EXHIBITS	Z
	1.2 JUVENILE OFFENDER RECORDS ELIGIBLE FOR EARLY DESTRUCTION PURSUANT TO RCW 13.50.050	
	1.3 FINANCIAL RECORDS OF THE SUPERIOR COURT	
	1.4 JURY MANAGEMENT	
	1.5 COUNTY CLERK FILINGS AND RECORDINGS	16
2.	INFORMATION MANAGEMENT	
3.	LEGACY RECORDS (NO LONGER CREATED AND/OR RECEIVED BY THE CLERKS)	18

Washington State Court Rules are referenced using the following abbreviations:

Rules on Appeal

RAP Rules of Appellate Procedure

Rules of General Application

GR General Rules

Rules for Superior Court

AR Superior Court Administrative Rules

CR Superior Court Civil Rules

SPR Superior Court Special Proceedings

SPRC Superior Court Special Proceedings Rules – Criminal

JuCR Juvenile Court Rules



1. COUNTY CLERK/SUPERIOR COURT

The function of making or receiving records by the County Clerk/Superior Court while performing duties pursuant to chapter 36.23 RCW, RCW 2.32.050, and other state and local statute or court rule.

1.1 SUPERIOR COURT RECORDS AND EXHIBITS

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
CL2014-009 Rev. 0	Appointed Counsel – Certification of Compliance Signed certifications of compliance filed by attorneys who have been appointed to represent indigent persons pursuant to Supreme Court Order #25700-A-1004 (beginning 9/1/2012).	Retain for 75 years after filed with the court then Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
CL65-01-03 Rev. 1	Arbitration Records relating to parties settling disputes through arbitration pursuant to Chapter 7.04A RCW where the records are not filed with/in a case file. Excludes orders confirming, vacating, modifying, or correcting awards covered by CL65-01-08.	Retain until expiration of award appeal period then Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
CL65-01-09 Rev. 1	Clerk's Minutes Notes/record/journal/minutes of Superior Court proceedings created by the Clerk in accordance with RCW 2.32.050 and where not placed in individual case files. Includes minutes recorded on court calendars.	Retain permanently.	NON-ARCHIVAL NON-ESSENTIAL OPR



DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
CL65-01-22 Ver. 1	Exhibits Papers, documents and other objects received by the court as evidence during a trial or hearing in Superior Court (including Juvenile Court). Also includes exhibits included in appeals from lower courts and administrative agencies. Excludes: Exhibits marked but not offered and/or marked but rejected pursuant to CR 5(i); Orders to destroy exhibits and the written findings supporting orders to destroy, which are covered by CL65-01-08 or CL50-28-10 (pursuant to GR15(h)(4)(C)). Reporter's notes covered by CL65-01-12. Reference: SPRC 7 "Noexhibitsshall be considered for destruction in a case in which the death penalty has been imposed while the defendant is still alive. Before destroyingexhibits in a capital case, the clerk will provide 60 days noticeto the prosecuting attorney, to the defendant's last known attorney of record, and to the defendant" GR15(i) Trial Exhibits. "trial exhibits may be destroyed or returned to the parties if all parties so stipulate in writing and the court so orders." (RAP 5.2 Time Allowed to File Notice.) RCW 36.23.070 "A county clerk may at any time more than six years after the entry of final judgment in any action apply to the superior court for an authorizing order and, upon such order being signed and entered, turn such exhibits of possible value over to the sheriff for disposaland destroy any other exhibitswhich havebeen filed in such cause" CR 5(ii) Discovery materials not to be filed (effective 9/1/1988). GR20 Security in Handling Court Exhibits. Note: Certain exhibits may have enduring historical value for future research. For guidance on how to identify historically valuable exhibits, please refer to Washington State Archives (WSA) publication Notorious/Historically Significant Court Exhibits & Recordings of Proceedings, or contact WSA.	Retain until court order authorizing return, transfer, or destruction of exhibit(s) has been signed and entered and Retain until death of defendant in capital cases where the death penalty has been imposed and Provide 60 days' notice pursuant to SPRC 7 in all capital cases then As ordered by the court, Return (to law enforcement agency, plaintiff, defendant, or lower court) or Transfer exhibits of possible value to the county sheriff pursuant to RCW 36.23.070 or Transfer exhibits of historical value as determined by the Clerk to Washington State Archives and Destroy remaining exhibits.	ARCHIVAL (Appraisal Required) NON-ESSENTIAL OPR



DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
CL2014-010 Rev. 0	Filed Documents (Miscellaneous) All documents filed with the Superior Court Clerk that are not filed with/in a case file and that are not covered by a more specific records series.	Retain permanently.	NON-ARCHIVAL ESSENTIAL OPR
	Includes, but is not limited to: • Administrative orders; • Judicial resolutions; • Jury show-cause orders and bench warrants; • Miscellaneous orders not related to a case (general orders, jury term orders, inclement weather, etc.). Excludes: • Search warrants, which are covered by CL65-01-37; • Certifications of compliance filed by assigned counsel, which are covered by CL2014-009.	ED	
CL65-01-23 Rev. 1	Grand Jury Records relating to a grand jury impaneled by Superior Court to hear, examine and investigate evidence concerning criminal activity and corruption in accordance with Chapter 10.27 RCW . Excludes juror records covered by CL2014-016, CL65-01-26, and CL65-01-27.	Retain one copy permanently and Contact Washington State Archives for appraisal and selective retention of paper/hard copy, electronic, and microfilm copies.	ARCHIVAL (Appraisal Required) ESSENTIAL OPR



DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
CL65-01-12	Oral Testimony and Proceedings	Retain until court order	ARCHIVAL
Rev. 1	Record of oral testimony and other oral proceedings in Superior Court captured by court	authorizing transfer or	(Appraisal Required)
	reporter pursuant to RCW 2.32.200 and/or electronic or mechanical device pursuant to CR	destruction of record(s) has	NON-ESSENTIAL
	80 and retained by the Superior Court Clerk in accordance with SPRC 3 and SPRC 7. Includes	been signed and entered	OPR
	recordings of juvenile court proceedings pursuant to <u>JuCR 10.2</u> .	and	
	Formats include, but are not limited to, audio, video, analog, digital, shorthand notes, etc.	Retain until death of defendant	
	Excludes verbatim reports of proceedings received from lower courts/administrative forums (covered by CL65-01-08) and/or transferred to appellate court (covered by CL65-01-44). Reference: • SPRC 7 "No records, exhibits, or stenographic notes shall be considered for destruction in a	in capital cases where the death penalty has been imposed and Provide 60 days' notice	
	case in which the death penalty has been imposed while the defendant is still alive. Before	pursuant to <u>SPRC 7</u> in all capital	
	destroying any records, exhibits, or notes in a capital case , the clerk will provide 60 days	cases	
	noticeto the prosecuting attorney, to the defendant's last known attorney of record, and to	then	
	the defendant"	As ordered by the court,	
	• RCW 36.23.070 "A county clerk may at any time more than six years after the entry of final	Transfer record(s) of historical	
	judgment in any action apply to the superior court for an authorizing order and, upon such	value as determined by the Clerk	
	order being signed and entereddestroyreporters' notes which have theretofore been	to Washington State Archives	
	filed in such cause: PROVIDED, That reporters' notes in criminal cases must be preserved for	and	
	at least fifteen years"	Destroy remaining records.	
	WAC 434-662-040 "Electronic records must be retained in electronic format and remain usable searchable and retrievable and authoritie for the length of the designated retention."		
	usable, searchable and retrievable, and authentic for the length of the designated retention period." Please see WSA publications for digital/analog recordings preservation best		
	practices at: http://www.sos.wa.gov/archives/RecordsManagement/Advice-Sheets.aspx .		
	Note: Certain records may have enduring historical value for future research. For quidance on		
	how to identify historically valuable records, please see Washington State Archives' advice sheet		
	Notorious/Historically Significant Court Exhibits & Recordings of Proceedings, or contact WSA.		



DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
CL65-01-35 Rev. 1	One-Party Consent Orders Records relating to one-party consent orders issued by a Superior Court judge pursuant to chapter 9.73 RCW for the interception of wire or electronic communication or conversation, where not filed with/in a case file. Includes, but is not limited to:	Retain permanently.	NON-ARCHIVAL ESSENTIAL OPR
	 Wiretaps, sealed and unsealed (applications, reviews, etc.); Pen registers/trap & trace devices, sealed (RCW 9.73.260). 		
CL65-01-37 Rev. 1	Records relating to search warrants issued in accordance with RCW 10.79.015, RCW 9A.72.085, and/or other state or federal statute or court rule.	Retain for 75 years after filed then Destroy.	NON-ARCHIVAL ESSENTIAL OPR
	 Includes, but is not limited to: Affidavit/sworn testimony establishing the grounds for issuing a warrant; Inventory of property taken; Return. 		
	Reference: • AR 2.3 (c) Search and Seizure. "The sworn testimony may be an electronically recorded telephonic statement. The recordingshall be a part of the court record and shall be transcribed if requested by a party if there is a challenge to the validity of the warrant or if ordered by the court"		
	 GR15(h)(5) "This subsection shall not prevent the routine destruction of court records pursuant to applicable preservation and retention schedules." WAC 434-662-040 "Electronic records must be retained in electronic format and remain usable, searchable and retrievable, and authentic for the length of the designated retention period." 		
	Note: See Washington State Archives publications for digital/analog recordings preservation best practices. http://www.sos.wa.gov/archives/RecordsManagement/Advice-Sheets.aspx .		



The activity of recording, receiving, processing, maintaining, retaining, and preserving all documents and exhibits appertaining to the Superior Court in accordance with RCW 2.32.050 and RCW 36.23.030. Includes all records appertaining to the Juvenile Court pursuant to RCW 13.04.021.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
CL65-01-38	Special Inquiry Judge	Retain permanently.	NON-ARCHIVAL
	Records relating to a special inquiry judge hearing and receiving evidence of crime and corruption in accordance with $\frac{RCW}{10.27.170} - \frac{190}{190}$.		ESSENTIAL OPR

SUPERSEDED



DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
CL65-01-08 Rev. 1	Superior Court Case Files Official documentation of Superior Court proceedings and filings. Includes sealed records. Cases include, but are not limited to: Criminal (including juveniles tried as adults pursuant to RCW 13.40.110); Civil (tax warrant, tax foreclosure, change of name pursuant to RCW 4.24.130, etc.); Family/Domestic (child custody, adoption, juvenile dependency, parentage, etc.); Wills/probate, guardianship, mental Illness, alcohol, etc. Records include, but are not limited to: Dockets, indexes, registers, etc.; Charging information, summons, clerk's minutes; Motions, published depositions, decrees, verdicts, orders, no-contact orders & other filings; Judgments (abstracts, civil, transcripts, foreign, etc.); Warrants, notices of appearance, failure to appear, etc.; Juror and witness daily attendance lists (see note, below); Letters of administration/conservatorship/guardianship/testamentary; Guardian ad Litem (GAL) reports; Arbitration awards; Verbatim report of proceedings received from lower courts or administrative forums. Excludes records covered more specifically elsewhere, including, but not limited to: Juvenile Court case files covered by CL50-28-10; Exhibits, which are covered by CL65-01-22; Juror records covered by CL2014-016, CL65-01-26 and/or CL65-01-27 and juror/witness cost bill records covered by CORE series GS2011-184; Legacy records covered in the Legacy section (beginning on page 18). Note: Per GR 31(j), "Individual juror information, other than name, is presumed to be private."	Retain one copy permanently and Contact Washington State Archives for appraisal and transfer of paper/hard copy, electronic copy, microfilm, and/or any other format.	ARCHIVAL (Appraisal Required) ESSENTIAL OPR



DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
CL50-28-10 Rev. 0	Superior Court Case Files (Juvenile Court) Official documentation of Juvenile Court proceedings and filings. Includes sealed records. Juvenile Court is a division of the Superior Court pursuant to RCW 13.04.021.	Retain permanently.	NON-ARCHIVAL ESSENTIAL OPR
	 Includes, but is not limited to: Dockets, indexes, registers, etc.; Charging information, summons, clerk's minutes; Motions, orders, judgments, no-contact orders and other filings; Warrants, notices of appearance, failure to appear, etc.; Witness daily attendance lists; Guardian ad Litem (GAL) reports. 	ED	
	 Excludes juvenile records destroyed earlier under any of the following circumstances: Notification of destruction eligibility received from the Administrative Office of the Courts (AOC) pursuant to RCW 13.50.050(17)(a) (see DAN CL2014-011); Notification of pardon received from the Office of the Governor pursuant to RCW 13.50.050(17)(b) (see DAN CL2014-013); or, Court order received pursuant to RCW 13.50.050(18) (see DAN CL2014-012). Excludes juvenile "social files" covered in the Social Services Records Retention Schedule. 		
CL65-01-44 Rev. 1	Verbatim Report Of Proceedings Written transcript of proceedings or stipulated statement prepared for transmittal to Court of Appeals. The original transcript is docketed in electronic docket entry and sent to the Court of Appeals. A case file may contain transcripts of certain proceedings or portions of proceedings and become part of the case file. Transcripts or tapes are sent to the appellate court, are not kept with the Superior Court case file, and are not returned from the appellate court.	Retain until entered into the court docket then Transfer to appellate court.	NON-ARCHIVAL NON-ESSENTIAL OPR



1.2 JUVENILE OFFENDER RECORDS ELIGIBLE FOR EARLY DESTRUCTION PURSUANT TO CHAPTER 13.50 RCW

This section covers juvenile records which are eligible for early destruction pursuant to <u>RCW 13.50.050</u>.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
CL2014-011 Rev. 0	Juvenile Records – Destruction Eligibility Notification Received from Administrative Office of the Courts (AOC) Records maintained by the Juvenile Court that relate to juveniles whose criminal history consists entirely of one diversion agreement or counsel and that have been identified by the Administrative Office of the Courts (AOC) as eligible to be destroyed pursuant to RCW 13.50.050(17)(a)(ii). Includes the juvenile court file, the social file, and records in the Juvenile Court System (JCS) database. Excludes the notification of eligibility itself and the records documenting the destruction of the juvenile records, both of which are covered by CORE GS50-09-06, Destruction of Public Records.	Retain until notification of destruction eligibility received from the Administrative Office of the Courts (AOC), eligibility verified, and notification issued to WSP, local law enforcement, and prosecutor's office and Destroy within 90 days.	NON-ARCHIVAL NON-ESSENTIAL OPR
	Reference: RCW 13.50.050(17)(a)(i). Subject to subsection (23) of this section, all records maintained by any courtincluding the juvenile courtshall be automatically destroyed within ninety days of becoming eligible for destruction.		
CL2014-012 Rev. 0	Juvenile Records – Destruction Notification Received by Court Order Juvenile records ordered to be destroyed by the Juvenile Court in accordance with RCW 13.50.050(18), or any (other) court order. Excludes the court order itself and the records documenting the destruction of the juvenile records, both of which are covered by CORE GS50-09-06, Destruction of Public Records.	Retain until court order received then Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR
CL2014-013 Rev. 0	Juvenile Records – Pardon Notification Received from Office of the Governor Juvenile records relating to an individual who has been granted a full and unconditional pardon by the Governor, and where the Office of the Governor has notified the agency in accordance with RCW 13.50.050(17)(b). Excludes the notification of pardon itself and the records documenting the destruction of the juvenile records, both of which are covered by CORE GS50-09-06, Destruction of Public Records.	Retain until pardon notification received from the Office of the Governor then Destroy within 30 days.	NON-ARCHIVAL NON-ESSENTIAL OPR



1.3 FINANCIAL RECORDS OF THE SUPERIOR COURT

The activity of collecting and receipting statutory fees, fines, trust and support funds, disbursing funds, and investing monies of the Superior Court. See <u>CORE – Financial Management</u> for all financial records relating to the operations of the County Clerk's office.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
CL2014-014 Rev. 0	Restitution – Victim Deceased/Not Found Records relating to restitution paid pursuant to court order where the victim entitled to restitution cannot be found or has died.	Retain for 6 years after funds transferred to County Treasurer <i>then</i>	NON-ARCHIVAL NON-ESSENTIAL OPR
	 Includes, but is not limited to: Efforts to locate victim (correspondence, registered mail receipts, last known address, etc.); Transfer of funds to County Treasurer pursuant to RCW 7.68.290. Excludes other unclaimed property/funds covered by CORE series GS55-05B-32 or GS55-05B-33. Note: Reference to funds transfer should be recorded in case file. 	Destroy.	
CL65-01-46 Rev. 1	 Trust Fund Ledger The entire record of trust account debits, credits, deposits and withdrawals. Excludes: Periodic statements and reconciliations, which are covered by <u>CORE</u> series GS2011-184, Financial Transactions – General; General and subsidiary ledgers for financial records of the County Clerk's Office, which are covered by <u>CORE</u> series GS50-03A-15. 	Retain permanently.	NON-ARCHIVAL NON-ESSENTIAL OPR



1.4 JURY MANAGEMENT

The activity of managing jurors and juries for Superior Court <u>Chapter 2.36 RCW</u> and all courts in the county or judicial district pursuant to <u>RCW 2.36.095(2)</u>. Includes petit juries, grand juries, and juries of inquest.

DESIGNATION
NON-ARCHIVAL NON-ESSENTIAL OPR



1.4 JURY MANAGEMENT

The activity of managing jurors and juries for Superior Court <u>Chapter 2.36 RCW</u> and all courts in the county or judicial district pursuant to <u>RCW 2.36.095(2)</u>. Includes petit juries, grand juries, and juries of inquest.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
CL2014-016 Rev. 1	Jury Duty – Special Questionnaires Special questionnaires filled out by prospective jurors and used by the judge and/or attorneys during the voir dire (juror selection) process for a specific case/trial.	Retain until completion of proceeding <u>and</u> expiration of appeal period for case for which	NON-ARCHIVAL NON-ESSENTIAL OPR
	 Excludes general questionnaires filled out by all potential jurors summoned for jury duty, which are covered by CL65-01-27. Reference: GR 31(j), "Individual juror information, other than name, is presumed to be private." SPRC 7 "No records, exhibits, or stenographic notes shall be considered for destruction in a case in which the death penalty has been imposed while the defendant is still alive. Before destroying any records, exhibits, or notes in a capital case, the clerk will provide 60 days noticeto the prosecuting attorney, to the defendant's last known attorney of record, and to the defendant" 	juror was selected and Retain until death of defendant in capital cases where the death penalty has been imposed and Provide 60 days' notice pursuant to SPRC 7 in all capital cases then Destroy.	
CL65-01-26 Rev. 1	Jury List (Master and Source) Records relating to the master jury list certified by the Superior Court and filed with the County Clerk in accordance with RCW 2.36.055 and GR 18. Includes, but is not limited to: • Jury source list containing names of registered voters (Office of the Secretary of State) and driver's license/identicard holders (Department of Licensing) pursuant to RCW 2.36.054); • Master jury list (source lists merged, duplications removed, conflicts resolved). Excludes jury records covered by CL65-01-08 and CL65-01-27.	Retain until list superseded then Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



1.5 COUNTY CLERK FILINGS AND RECORDINGS

The activity of filing and/or recording documents in accordance with federal, state and local statute or court rule. Includes maintaining official bonds of county and township officers, oaths of office, etc.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
CL65-01-33 Rev. 1	Bonds – Elected Official Bonds of elected officials filed with the County Clerk in accordance with federal, state or local statute.	Retain permanently.	NON-ARCHIVAL ESSENTIAL OPR
	Note: The County Clerk's bond is recorded with the County Auditor and filed with the County Treasurer.		
CL65-01-32 Rev. 1	Oaths of Office – Staff and Contractors Oaths of office of Superior Court staff and contractors filed with the County Clerk in accordance with federal, state or local statute or court rule. Includes court commissioners, court reporters, bailiffs, etc.	Retain for 6 years after end of appointment or termination of service then	NON-ARCHIVAL NON-ESSENTIAL OPR
	Excludes oaths of elected officials covered by <u>CORE</u> series GS50-05A-15 or GS2012-023.	Destroy.	



2. INFORMATION MANAGEMENT

The function of managing the local government agency's information, including electronic information systems, where not covered by **CORE**.

2.1 RECORDS CONVERSION

The activity of converting the agency's public records from one form (source records) to another (converted records) through the processes of imaging (digitization or microfilm preservation) or data migration (digital or magnetic).

Pursuant to WAC 434-662-040, electronic records must be retained in electronic format.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
Rev. 0	, , , ,	Retain until verification of successful conversion then Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



3. LEGACY RECORDS

These are records no longer created and/or received by County Clerks and Clerks of the Superior Court; however, Clerks may still hold some of these records.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
CL65-01-06 Rev. 1	Bond Dockets May have been bound volumes containing the original or copies of bonds filed by administrators, guardians, and executors as security copies of bonds in case files. Excludes elected official bonds covered by CL65-01-33, Bonds – Elected Official.	Retain permanently.	NON-ARCHIVAL NON-ESSENTIAL OPR
CL65-01-07 Rev. 1	Book Of Levies May include post-judgment execution documents and events. Record of debtors' properties seized by the Sheriff on court order and sold to leverage against debts in delinquency cases. Record shows names of parties, date of execution, date of levy, date of sale, date of confirmation, and description of real estate levied upon.	Retain one copy permanently and Contact Washington State Archives for appraisal and selective retention of paper/hard copy, electronic, and microfilm copies.	ARCHIVAL (Appraisal Required) NON-ESSENTIAL OPR
CL65-01-14 Rev. 1	Day Books List of documents delivered to the clerk for filing.	Retain permanently.	NON-ARCHIVAL ESSENTIAL OPR
CL65-01-16 Rev. 1	Depositions, Unpublished/Unopened Effective 9/1/1988, unpublished/unopened depositions, interrogatories and responses are no longer filed with the Clerk. Reference: CR 5(i), discovery material "shall not be filed with the court unless for use in a proceeding or trial or on order of the court".	Retain until court order authorizing destruction of record(s) has been signed and entered then Destroy.	NON-ARCHIVAL NON-ESSENTIAL OPR



3. LEGACY RECORDS

These are records no longer created and/or received by County Clerks and Clerks of the Superior Court; however, Clerks may still hold some of these records.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
CL65-01-28	Land Registration Files (Torrens Act)	Retain one copy permanently	ARCHIVAL
Rev. 1	Records filed in a land registration/torrens case.	and	(Appraisal Required)
		Contact Washington State	ESSENTIAL
		Archives for appraisal &	OPR
		selective retention of	
		paper/hard copy, electronic,	
		and microfilm copies.	

SUPERSEDED



3. LEGACY RECORDS

These are records no longer created and/or received by County Clerks and Clerks of the Superior Court; however, Clerks may still hold some of these records.

DISPOSITION AUTHORITY NUMBER (DAN)	DESCRIPTION OF RECORDS	RETENTION AND DISPOSITION ACTION	DESIGNATION
CL2014-015 Rev. 0	Legacy Records – Paper/Hard Copy Superior Court records that were created or filed in paper/hard copy form, that have been reproduced in accordance with RCW 36.23.065, and that have been determined to possess enduring historical value in paper/hard copy form. Includes, but is not limited to: • Territorial case files (official record of Washington Territory cases prior to statehood); • Articles of incorporation; • Business registers (or assumed business name certificates), trade names, etc.; • Child support ledgers (payments received, to whom funds were disbursed, etc.); • Coroner investigation files; • Delayed birth certificates; • Marriage index, applications, certificates, and/or waivers; • Mother's petition/pension, old age assistance claims, etc.; • Naturalization (declarations, petitions, records, certificates, oaths, correspondence, dockets, etc.); • Professional registers/journals/certificates (medical practitioners, architects, etc.); • Record of wills (not associated with a case file; may be bound volumes); • Tide land appeals; • U.S. Land Office records. Includes indexes and other finding aids.	Retain one copy permanently and Transfer paper/hard and electronic copy to Washington State Archives for permanent retention.	ARCHIVAL (Permanent Retention) ESSENTIAL OPR



INDEXES: ARCHIVAL AND/OR PERMANENT RECORDS

COUNTY CLERK FILINGS AND RECORDINGS	SUPERIOR COURT RECORDS AND EXHIBITS
Bonds – Elected Official	Clerk's Minutes
FINANCIAL RECORDS OF THE SUPERIOR COURT	Exhibits
Trust Fund Ledger	Filed Documents (Miscellaneous)
LEGACY RECORDS	Grand Jury
Bond Dockets	One-Party Consent Orders
Book of Levies	Oral Testimony and Proceedings
Day Books	Special Inquiry Judge
Land Registration Files (Torrens Act)	Superior Court Case Files
Legacy Records – Paper/Hard Copy	Superior Court Case Files (Juvenile Court)

SUPESSENTIAL RECORDS DED

COUNTY CLERK FILINGS AND RECORDINGS	
Bonds – Elected Official	16
LEGACY RECORDS	
Day Books	18
Land Registration Files (Torrens Act)	19
Legacy Records – Paper/Hard Copy	20

SUPERIOR COURT RECORDS AND EXHIBITS

Grand Jury	6
One-Party Consent Orders	8
Search Warrants	
Special Inquiry Judge	9
Superior Court Case Files	
Superior Court Case Files (Juvenile Court)	

Filed Documents (Miscellaneous)......6



INDEX: DISPOSITION AUTHORITY NUMBERS (DANS)

CL2010-085 17	CL2014-016 15	CL65-01-1418	CL65-01-3316
CL2014-009 4	CL50-28-1011	CL65-01-1618	CL65-01-358
CL2014-010 6	CL65-01-034	CL65-01-225	CL65-01-378
CL2014-011 12	CL65-01-06 18	CL65-01-236	CL65-01-389
CL2014-012 12	CL65-01-07 18	CL65-01-2615	CL65-01-4411
CL2014-013 12	CL65-01-08 10	CL65-01-2714	CL65-01-4613
CL2014-014 13	CL65-01-094	CL65-01-2819	
CL2014-015 20	CL65-01-127	CL65-01-3216	

SUPERSEDED



INDEX: SUBJECTS

NOTE: "CORE" refers to the Local Government Common Records Retention Schedule.

Α

abandoned property see CORE unclaimed prope	erty
abstracts (judgments)	. 10
accountingsee Co	ORE
administration (letters of)	
Administrative Office of the Courts (AOC)	
notice of destruction eligibility	. 12
administrative orders	
adoption	
affidavit (search warrant)	
alcohol (cases)	. 10
analog recording (testimony/proceedings)	
appeals from lower courts	
exhibits	5
transcriptions	
appellate court (transcript of proceedings to)	
appointed counsel certification of compliance	
arbitration	4
awards	10
records not in case file	
architect register/journal/certificate	
Archivalsee CORE gloss	
articles of incorporation	
•	
asset managementsee CC	JKE
attendance list	10
juror	
witness	
audio/video recording (testimony/proceedings)	/

В	
bench warrant (jury/juror)see billingsee also CORE GS2012	CORI 1-184
trust fund ledgerbiographical data (jury/juror)	
birth (delayed)	
birth parent search see CL65-01-08 or CL50-2	28-10
block grantssee boards/councils/committeessee	CORE
boards/councils/committeessee	CORI
dockets	18
elected official	
projectssee	CORE
book of levies	18
business registers (assumed business name	
certificates)	20
С	
case files	
adult	10
Juvenile Court	
territorial	
certificates (delayed birth)	
certification of compliance (appointed counsel)	
change of name	10

charging information 10, 1	1
child custody 1	0
citizenship (U.S.)2	0
civil judgments 1	0
clerk's minutes	
book/journal/record	4
in case file 10, 1	1
collections (financial)see COR	Ε
community relations see COR	Ε
consent orders (one-party)	8
conservatorship (letters of) 1	0
construction see COR	Ε
contracts see COR	Ε
coroner investigation files (other than inquest) 2	
cost bill (juror/witness)see CORE GS2011-18	4
court calendarsee also CORE GS50-04B-2	9
clerk's minutes	
Court of Appeals (transcript of proceedings) 1	
court order10, 1	
juvenile records destruction 1	
served on the agencysee CORE GS2011-17	
court reporter	
custody (child)1	0
D	_
_	
daily attendance list	
juror1	
witness 10, 1	1
day books 1	8



County Clerks and Superior Court Records Retention Schedule Version 7.0 (June 26, 2014)

death penalty	expenses (juror/witness)see CORE GS2011-184
exhibits	
oral testimony and proceedings	F
	•
delayed birth certificates	failure to appear 10, 11
dependency (juvenile)10	family/domestic
depositions	filed documents
published 10, 11	
unpublished/unopened18	appointed counsel certification of compliance 4
Dept. of Revenue (unclaimed property) see CORE	Juvenile Court cases
design/constructionsee CORE	master/source jury lists
destruction of juvenile records (early) 12	miscellaneous 6
digital recording (testimony/proceedings) 7	Superior Court cases
disqualifications (jury/juror)14	financial assistance (old age)20
diversion (juvenile record)11	foreclosure (tax)10
docket	foreign judgments10
bond18	
Juvenile Court	
record of petitions (naturalization) 20	G
Superior Court 10	
domestic violence	general orders (not related to a case) 6
case files10	general questionnaires (jury)14
order delete report see CORE GS50-02-03	glossary of termssee CORE
57 del delete report	governing bodiessee CORE
	Governor's pardon (juvenile)12
E	grand jury6
	grants see CORE
elected officials	guardian ad litem (GAL) report
bonds16	guardianship 10
oaths of officesee CORE	
electronic information systemssee CORE	
Essential see CORE glossary	Н
evidence	
executive communications see CORE	human resourcessee CORE
exhibits	
EXIIINITS	

failure to appear 10,	11
family/domestic	10
filed documents	
appointed counsel certification of compliance	1
• • • • • • • • • • • • • • • • • • • •	
Juvenile Court cases	
master/source jury lists	15
miscellaneous	. 6
Superior Court cases	10
financial assistance (old age)	
foreclosure (tax)	10
	10
Toreign judgments	10
G	_
G	
general orders (not related to a case)	. 6
general questionnaires (jury)	14
glossary of termssee COI	RE
governing bodiessee COI	
Governor's pardon (juvenile)	
Governor a paraori (javernie)	

inquiry (special)intentions (declaration of)	
inventorysee also	
of property (search warrant)	
o. p. opercy (see o	
J	
judg <mark>e</mark> (spec <mark>i</mark> al inquiry)	9
judgments	. 10, 11
judicial resolutions	6
jury/juror	
attendance list	
cost billsee CORE GS20	11 - 184
grand	6
lists (master and source)	15
special questionnaires	15
summons/questionnaires/waivers	14
term & show cause orders/bench warrant	6
juvenile	
dependency	10
tried as adults	10
Juvenile Court	
case files/indexes	13
records destruction (early)	

inclement weather orders 6 index 11 Juvenile Court cases 11 Superior Court cases...... 10



County Clerks and Superior Court Records Retention Schedule Version 7.0 (June 26, 2014)

L

land registration (Torrens Act)
ledger
agency financialsee CORE
trust fund13
legacy records
legal (advice, litigation, legal affairs)see CORE
letters of administration/conservatorship/
guardianship/testamentary10
levies (book of)
lower court/administrative forum (appeal)
exhibits 5
transcription/verbatim report of proceeding 10

M

maintenance	mail/deliverysee CORE
master jury list	maintenancesee CORE
medical practitioner register/journal/certificate 20 meetings	marriage index/application/certs/waivers 20
meetings	master jury list15
mental illness	medical practitioner register/journal/certificate 20
mileage (juror/witness)see CORE GS2011-184 minutessee also CORE clerk's4, 10, 11 miscellaneous filed documents (unrelated to a case)6 mother's petition/pension20	meetingssee CORE
minutes	mental illness 10
clerk's	mileage (juror/witness)see CORE GS2011-184
miscellaneous filed documents (unrelated to a case)	minutessee also CORE
filed documents (unrelated to a case)	clerk's4, 10, 11
mother's petition/pension	miscellaneous
	filed documents (unrelated to a case)6
motion 10, 11	mother's petition/pension20
	motion 10, 11

N

name change	10
naturalization records	20
no-contact order	10, 11
Non-Archivalsee CORE	glossary
notary register transfer to WA Dept of	Licensing
notice of appearance	10, 11
notice of destruction eligibility (from AOC)	12

\boldsymbol{C}

oaths of office	
elected officialssee	
staff & contractors	16
official bond files	
OFMsee CORE gl	ossary
old age assistance claims	20
one-party consent orders	8
OPRsee CORE glo	ossary
oral testimony/proceedings	7
order delete reportsee CORE GS50-	-02-03
orders	10, 11
administrative	6
jury/juror show cause	6
miscellaneous	6
one-party consent	8

\overline{P}

pardon (Governor's)	12
parentage	10
payroll	see CORI

pen registers (one-party consent) 8
per diem (juror/witness)see CORE GS2011-184
pesticide applicationsee CORE
probate/wills10
proceedings (oral)7
professional register/journal/cert20
property (unclaimed)see also CORE
restitution (victim dead/not found) 13
property managementsee CORE
public disclosuresee CORE
Public Recordssee CORE glossary

G

que	e <mark>st</mark> ionna <mark>ir</mark> es (jury)	
٤	general	1
	pecial	1

R

recording (oral testimony/proceedings)	7
records conversion (non-archival)	17
records management	see CORE
register	10, 11
reimbursable expenses (juror/witness)	see CORE
GS2011-184	
reproduced records (Superior Court)	17
resolutions (judicial)	6
restitution (unclaimed/undeliverable)	13
return (search warrant)	8



County Clerks and Superior Court Records Retention Schedule Version 7.0 (June 26, 2014)

S

sealed records
adult 10
juvenile 11
search warrants 8
securitysee CORE
shorthand notes (testimony/proceedings)7
source list (jury)
source records (reproduced) 17
special inquiry judge9
special questionnaires (jury)15
staff records see CORE
stenographic notes (testimony/proceedings)7
summons 10, 11
jury/juror 14
sworn testimony (search warrant)8

T

tax warrant/foreclosure	10
term orders (jury/juror)	
territorial case files	
testamentary (letters of)	10

testimony (oral)	7
tide land appeals	20
Torrens Act (land registration)	19
trade names	20
transcription of proceedings	
received from lower court/admin. forum	10
transferred to appellate court	11
transcripts of judgment	10
trap & trace devices (one-party consent)	8
travel expenses (juror/witness)see CORE GS20)11-184
trial exhibits	5
trust funds/accounts	13

\overline{V}

verbatim report of proceedings

received from lower court/admin. forum 10	0
transferred to appellate court12	1
verdicts10	0
victim restitution13	3
video/audio recording (testimony/proceedings)	7
voir dire (questionnaires)15	5

W

• •	
waivers (jury/juror)	14
warrant	
bench	10, 11
search	8
tax	10
Washington territorial case files	20
weather (inclement)	
wills/probate	
wiretaps (one-party consent)	
witness	
cost billsee CORE GS.	2011-184
daily attendance list	

For assistance and advice in applying this records retention schedule, please contact Washington State Archives at: recordsmanagement@sos.wa.gov or contact your Regional Archivist.