**This schedule applies to: Washington State University**

**Scope of records retention schedule**

This records retention schedule authorizes the destruction/transfer of the public records of Washington State University relating to the unique functions of the University. The schedule is to be used in conjunction with the *State Government General Records Retention Schedule (SGGRRS),* which authorizes the destruction/transfer of public records common to all state agencies.

**Disposition of public records**

Public records covered by records series within this records retention schedule (regardless of format) must be retained for the minimum retention period as specified in this schedule. Washington State Archives strongly recommends the disposition of public records at the end of their minimum retention period for the efficient and effective management of state resources.

Public records designated as “Archival (Permanent Retention)” must not be destroyed. Records designated as “Archival (Appraisal Required)” must be appraised by the Washington State Archives before disposition. Public records must not be destroyed if they are subject to ongoing or reasonably anticipated litigation. Such public records must be managed in accordance with the agency’s policies and procedures for legal holds. Public records must not be destroyed if they are subject to an existing public records request in accordance with chapter 42.56 RCW. Such public records must be managed in accordance with the agency’s policies and procedures for public records requests.

**Revocation of previously issued records retention schedules**

All previously issued records retention schedules to Washington State University are revoked. Washington State University must ensure that the retention and disposition of public records is in accordance with current, approved records retention schedules.

**Authority**

This records retention schedule was approved by the State Records Committee in accordance with RCW 40.14.050 on August 5, 2020.

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| *Signature on File*  *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_*  **For the State Auditor:**  **Al Rose** | *Signature on File*  *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_*  **For the Attorney General:**  **Suzanne Becker** | *Signature on File*  *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_*  **For the Office of Financial Management:**  **Gwen Stamey** | *Signature on File*  *\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_*  **The State Archivist:**  **Steve Excell** |

Revision History

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| --- | --- | --- |
| Version | Date of Approval | Extent of Revision |
| 1.0 | June 29, 2016 | Consolidation of all existing disposition authorities (with some minor revisions). | |
| 1.1 | June 14, 2017 | Minor revisions. | |
| 1.2 | June 5, 2019 | Minor revisions. | |
| 1.3 | August 5, 2020 | General Update. | |

For assistance and advice in applying this records retention schedule,

please contact the Washington State University’s Records Officer

or Washington State Archives at:

[recordsmanagement@sos.wa.gov](mailto:recordsmanagement@sos.wa.gov)

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1. WSU GENERAL SCHEDULE SERIES

| WSU 01: Administrative, Executive Level Records (Dean and Above) | | | |
| --- | --- | --- | --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 00-03-59624  Rev. 0 | ***Accreditation Records***  Documents the accreditation process (arrangements, self-study and site visit) and includes detail and final accreditation report. | **Retain** for 10 years after next accreditation  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OPR |
| 85-09-36077  Rev. 1 | ***Ballots***  Official ballots for Faculty Senate members, officers, and committee members.  *Note: The Faculty Senate office (office #3310) holds primary copies of ballots for Senate officers and committee members. The applicable college dean's offices hold primary copies of ballots related to college-level representation to the Faculty Senate.* | **Retain** for 2 years after end of academic year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 04-06-60693  Rev. 1 | ***Executive Ethics Board of Investigation Reports, University Copy***  University copies of Executive Ethics Board (EEB) reports of allegations of ethics violations as a result of complaints filed with the EEB under chapter 42.52 *RCW*. | **Retain** for 3 years after date of report  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| WSU 02: Administrative, General Office Operations | | | |
| --- | --- | --- | --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 16-06-68981  Rev. 0 | ***Award Files***  Provides record of application and related materials for national, regional, and statewide awards processed by the University. Includes, but is not limited to: awards processed by the Office of the Provost and Executive Vice President (e.g., Faculty Excellence, Carnegie (CASE) Professor of the year, Burlington Northern, Bryn Mawr Awards); awards processed by WSU Extension. | **Retain** for 2 years after award  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |

| WSU 03: Accounting/Fiscal Records | | | |
| --- | --- | --- | --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 79-11-23905  Rev. 3 | ***Advance Payments Listings***  List $100 to $500 advance payments from new students. | **Retain** until replaced  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 85-01-34887  Rev. 2 | ***Audit Reports***  Reports on financial status of Compton Union Building (CUB) and the Associated Students of Washington State University. (Audits are conducted by WSU Auxiliary Services and external auditors.)  Excludes records covered by:   * *External Audits/Reviews of the Agency – Final Reports (DAN GS 04006)*; * *External Audits/Reviews of the Agency – Interactions (DAN GS 04009)*. | **Retain** for 5 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63464  Rev. 1 | ***Authorizations for Direct Deposit of Funds***  Original authorization and/or change request authorizing the University to deposit funds into an individual's bank account. Includes the Authorization for Direct Deposit of Excess Financial Aid Funds and the Travel / Accounts Payable Reimbursement Direct Deposit Authorization. | **Retain** for 6 years after last activity or until superseded  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63471  Rev. 0 | ***Budget Statement***  Used to communicate financial status of University accounts. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63473  Rev. 0 | ***Cash Advance Request (WSU 1060)***  Used to document a cash advance for work on grants or contracts. | **Retain** for 2 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 16-06-68987  Rev. 0 | ***Code Book***  Provides a listing of all University account coding.  (Retained in computer-generated microfiche.) | **Retain** for 6 years after superseded  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 75-02-09214  Rev. 2 | ***Consolidated Endowment Fund Quarterly Financial Reports***  Report financial condition of consolidated endowment fund. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 86-12-38992  Rev. 4 | ***Contractual Delegation File***  Copies of the letters of delegation of the Board of Regents' contractual authority to WSU employees. Used by auditors, vendors, lawyers, and the general public. | **Retain** for 6 years after superseded  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 91-04-47854  Rev. 1 | ***Donation and Fundraising Development Files***  Provides a record of donation and fundraising development activities. May include donor and/or potential donor names and contact information, records of donation activity, refunds of donations, and other information regarding donors and potential donors. | **Retain** for 6 years after end of fiscal year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OPR |
| 07-08-61556  Rev. 1 | ***Emergency and Short-Term Loans to Students***  Provides a record of emergency loans made to students. Includes promissory notes, copies of deposits, payment records. Includes records for McEachern Fund, Robinson Fund, and other short-term and emergency loan funds. | **Retain** for 6 years after final payment  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63483  Rev. 0 | ***Expenditure Transfer Request (WSU 1048)***  Used by budget administrator and principal investigator to transfer identifiable direct charges between funding sources. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 99-03-59039  Rev. 1 | ***Financial Aid System Files***  Provides a record of all student financial aid information, including awards (e.g., Pell grants) and information used in determining and tracking financial aid grants, e.g., work study, housing, income. Includes the Financial Aid Forms Needs Analysis (FAFNAR) database. Files are accessed and maintained as Administrative Information System (AIS) databases. | **Retain** for 4 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 83-05-31923  Rev. 0 | ***Investment Working Files***  Provides a record of investment transactions. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 74-10-07042  Rev. 2 | ***Letter of Credit Files***  Provides a record of authorization and support to draw funds (letters of credit). May include instructions for drawing funds, requests for funds submitted, worksheets summarizing transactions, and quarterly reports. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63501  Rev. 0 | ***Petty Cash Records Documentation***  Records activity of revolving interest accounts used to make miscellaneous or emergency purchases. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 92-09-51052  Rev. 1 | ***Planning Budget Level Records***  Provides a record of financial areas' budget levels for the coming fiscal year. Includes journal vouchers, consideration sheets. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63509  Rev. 0 | ***Request to Serve Food at Meetings and Training Sessions***  Authorizes the use of University monies for the purchase of meals, coffee, and light refreshments at meetings and formal training sessions that are official University business. | **Retain** for 2 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63513  Rev. 1 | ***Risk Assessment Documentation***  Provides a record of identification, review, and management of risks that potentially affect area assets and resources. This documentation includes checklists from departments and supporting spreadsheets, calculations, and/or documentation. These records provide the data for the checklists submitted to Business Services--General Accounting/Revenue. | **Retain** for 3 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63517  Rev. 0 | ***Special Course Fee Request (WSU 1224)***  Used to request establishment of special course fees to pay for goods and services not provided by state appropriated funds or general student fees. | **Retain** for 2 years after fee termination  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| WSU 04: Information Service Records | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 19-06-69367  Rev. 0 | Policy Exceptions  Provides documentation of approvals for:   * Information security policy exceptions (WSU Executive Policy #37), and * University data policy exceptions (WSU Executive Policy #8). | **Retain** for the life of the exception  *then*  **Destroy** | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63649  Rev. 0 | ***Server Logs***  Provides a record of server functions. May include, but is not limited to: system processes, access logs, process tracking, error messages, logs related to any special server functions or roles. | **Retain** for 1 month after date of daily record  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63516  Rev. 0 | ***Software Inventory and Registration File***  Provides a record of computer software programs with version, manufacturer, and purchase order information updated as needed, and software license registration cards for proof of purchase. | **Retain** until software disposed of  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| WSU 05: Payroll and Personnel Records | | | |
| --- | --- | --- | --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 11-12-63535  Rev. 0 | ***Annual Review of Faculty***  Evaluation of job performance. | **Retain** for 6 years after termination of employment  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63537  Rev. 1 | ***Appointing Authority for Faculty, Administrative Professional, and Classified Employees***  Letters of delegation to employees who are authorized to sign employment forms. | **Retain** for 6 years after superseded  *then*  **Destroy**. | NON-ARCHIVAL  **ESSENTIAL**  **(for Disaster Recovery)**  OPR |
| 07-12-61642  Rev. 2 | ***Background Clearance Checks***  Provides a record of background clearance checks for full-time and temporary employees, or adult participants in University activities/programs (e.g. advisors, chaperones, volunteers) not employed by a school district in the state of Washington. May include criminal history checks, disclosure statements, background inquiry results, and record of permission from adult participants for University officials to conduct background clearance checks. | **Retain** for 3 years after termination of employment  *or*  3 years after termination of participation in activity or program  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63538  Rev. 0 | ***Course Evaluation Summaries***  Completion of appraisals and comments from individual course/instructor evaluations. | **Retain** for 3 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63540  Rev. 0 | ***Course/Instructor Evaluations***  A record of individual student or participant evaluations of content and presentation of a course. | **Retain** for 1 year after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63541  Rev. 0 | ***Daily Activity Reports, Time Clock Cards, and Alternative Time/Piece Records***  Used by positive pay employees to record hours of work or completed pieces. An individual record may be either a Daily Activity Report, a time clock card, or a Human Resource Services approved alternative time/piece record. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63544  Rev. 0 | ***Departure Notice***  Notifies the Radiation Safety Office and/or Environmental Health and Safety Office of an impending departure of a user of hazardous and/or radioactive chemicals or materials. | **Retain** for 2 years after termination of employment  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63550  Rev. 0 | ***Employment Record Request (WSU 1238)***  To request leave and benefits records from former state employers. | **Retain** for 6 years after termination of employment  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63553  Rev. 0 | ***Expense Assignment Action (WSU 1260)***  Used for temporary changes in account assignment of personnel charges. | **Retain** for 2 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63557  Rev. 0 | ***Graduate Faculty Appointment Files***  Provides a record of department appointments of faculty members to graduate faculty assignments. Files may include ballots, vita, and Admittance to Graduate Faculty forms. | **Retain** for 1 year after graduate faculty assignment ends  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63559  Rev. 1 | ***HIPAA Security Agreement***  Provides a record of client confidentiality and security agreement signed by health care personnel, e.g., clinic personnel. | **Retain** for 6 years after termination of employment or termination of service  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 06-10-61318  Rev. 0 | ***Liability Insurance***  Proof of student liability insurance policy or certificate from graduate students who serve as clinic personnel. | Retain for 10 years after last client treated  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 02-09-60402  Rev. 1 | ***Medical Insurance Policies***  Copies of medical insurance policies for student/graduate student assistant and other. | **Retain** for 6 years after policy expiration  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63566  Rev. 0 | ***Nonservice Pay Authorization (WSU 1314)***  Authorizes pay to WSU Fellows, trainees, interns, or other nonservice positions. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63569  Rev. 0 | ***Payroll Deduction Forms***  Used to authorize deductions from payroll. | **Retain** for 6 years after last authorized deduction  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63570  Rev. 0 | ***Payroll Expenditure Audit Report***  Department personnel download of payroll expenses indicating status of payroll records that will generate the next payroll. Allows department correction and Payroll follow up. | **Retain** for 4 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63572  Rev. 0 | ***Personnel Action (WSU 1097)***  Used to authorize personnel transactions and communicate employment status of appointed employees to Human Resource Services. | **Retain** for 6 years after termination of employment  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63578  Rev. 3 | ***Personnel – Employment History Files***  Records related to an employee's University employment history. Also includes records relating to a volunteer's service with the University. Includes, but not limited to: Applications, resumes, and appointment letters; position eligibility and position held; eligibility requirements for position ( i.e., certifications, transcripts); disclosure of information to prospective employers in accordance with RCW 4.24.730; employee performance evaluations (i.e., completed and signed evaluations and expectations review and acceptance); appeals/hearings determinations, settlement agreements, Biographical Data Sheets, discontinuation notices—final, faculty questionnaires, presumption of resignation letters, resignation letters, resumes/vitae, retirement letters, and tenure/promotion letters.  Excludes records covered by:   * *Complaints and Grievances – Exonerated (DAN GS 03006)*; * *Complaints and Grievances – Upheld (DAN GS 03003)*; * *Retirement Verification (DAN GS 03032)*. | **Retain** for 40 years after termination of employment  *then*  **Destroy**. | NON-ARCHIVAL  **ESSENTIAL**  **(for Disaster Recovery)**  OFM |
| 11-12-63587  Rev. 0 | ***Position Identifying Description Changes (WSU 1265)***  A record of changes in position description when that is the only action required. | **Retain** until superseded  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63594  Rev. 0 | ***Request for Name Change***  To request a name change in University records. | **Retain** for 1 year after data entry  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63595  Rev. 0 | ***Request for Positive Pay Report (WSU 1256)***  Used to request pay for hours or pieces missed on Unit Pay Report. | **Retain** for 1 year after report generated  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63543  Rev. 1 | ***Temporary Employee Departmental Files***  Documentation related to a temporary employee's departmental employment history. Files include but are not limited to: Conditions for Temporary Employment, Temporary Employment Application (for individuals who are hired), Temporary Employee Orientation Checklist, Parent School Authorization. | **Retain** for 1 year after termination of employment  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63602  Rev. 0 | ***Temporary Employment Application-Not Hired (WSU 1251)***  Used by prospects to apply for temporary employment. (Candidate not hired by WSU.) | **Retain** until position filled  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63603  Rev. 0 | ***Tenure/Promotion Evaluation Documents (denied candidates)***  Includes evaluations, ballots, and resumes used to determine award of faculty tenure and/or promotion. Includes actual tenure and/or promotion consideration as well as third-year and/or promotion review. | **Retain** for 35 years after termination of employment  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63606  Rev. 0 | ***Tenure/Promotion Evaluation Documents (successful candidates***)  Includes evaluations, ballots, and resumes used to determine award of faculty tenure and/or promotion. Includes actual tenure and/or promotion consideration as well as third-year and/or promotion review. | **Retain** for 6 years after award  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63609  Rev. 0 | ***Tenure/Promotion Support Documents (denied candidates)***  May include manuscripts, artwork, slides, or other items used to determine award of faculty tenure and/or promotion. Includes actual tenure and/or promotion consideration as well as third-year and/or promotion review.  *May be returned to the dean and then to the candidate at the discretion of Provost's Office.* | **Retain** for 6 years after denial  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63610  Rev. 0 | ***Tenure/Promotion Support Documents (successful candidates)***  May include manuscripts, artwork, slides, or other items used to determine award of faculty tenure and/or promotion. Includes actual tenure and/or promotion consideration as well as third-year and/or promotion review.  *Returned to the dean and then to the candidate after award.* | **Retain** until award  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63560  Rev. 1 | ***Time and Leave Report Files***  Used to record attendance, overtime, and/or leave activity for overtime-eligible and overtime ineligible faculty, administrative professionals, and classified employees. May include the Leave Report, Time Report, Leave Report for Excepted Classified Employees, Request for Temporary Schedule Change, and Prior Period Time Report. | **Retain** for 6 years after termination of employment (pay-affecting actions)  *and*  5 years after termination of employment and audit (report records file for employee's entire employment career)  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 01-12-60301  Rev. 2 | ***Visiting Scholars File***  Provides a record of visiting scholars who attend or assist with University programs or training seminars. May include trainee files, Nonservice Pay Authorization copies, invoice vouchers, visa information, histories, correspondence, and final reports. | **Retain** for 6 years after termination of contract or termination of service  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |

| WSU 06: Property Management Records | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 11-12-63485  Rev. 1 | ***Federal Excess Property File***  Provides a record of the acquisition, utilization, and disposition of property acquired by WSU from the federal excess property program. Request documentation for federal excess property, using the temporary (for use during the course of a grant contract) or permanent transfer of federal property to WSU, includes Federal Excess Property Request (WSU 1303) and SF-122, Transfer Order — Excess Personal Property. | **Retain** for 6 years after item disposed of (permanent transfer)  *or*  3 years after termination of contract (temporary transfer)  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63492  Rev. 1 | ***Inventory Control Reports (Inventory and Surplus)***  Provides a record of changes in the department's equipment inventory. Includes Inventory Control Reports (WSU 1033) and Surplus Disposal Requests (SDRs). | **Retain** for 6 years after date of document  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 90-03-45979  Rev. 2 | ***Property and Equipment Management File and Preventive Maintenance***  Record of departmental equipment by inventory numbers, surplus stores sales and related documents. Facilities Services storeroom inventory and stock for resale, utility maps, building as builts and building replacement parts. | **Retain** for 2 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| WSU 07: Public Affairs and Public Disclosure Records | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 98-06-58499  Rev. 3 | ***Assumption of Risk and Release of Liability Forms – No Accident Claim (Under 18 Years)***  Provides a record of agreement signed by an individual (affiliated with WSU or visiting) under 18 years of age (with parent/guardian consent) to participate in University programs and activities at their own risk. This record series covers retention of this form when no accident claim has been made. | **Retain** for 3 years + 60 days after 18th birthday  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 98-06-58498  Rev. 3 | ***Assumption of Risk and Release of Liability Forms – No Accident Claim (18 Years or Over)***  Provides a record of agreement by an individual (affiliated with WSU or visiting) 18 years or older to participate in University programs and activities at their own risk. This record series covers retention of this form when no accident claim has been made. | **Retain** for 3 years + 60 days after termination of activity  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 98-06-58500  Rev. 3 | ***Assumption of Risk and Release of Liability Forms – With Accident Claim***  Provides a record of agreement signed by an individual (affiliated with WSU or visiting) to participate in University programs and activities at their own risk. This record series covers retention of this form when an accident claim has been made. | **Retain** for 3 years + 60 days after claim resolved  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 09-07-62063  Rev. 1 | ***Minor Participant Guidelines and Emergency Care Agreements***  Provides as record of acknowledgment of guidelines for participation and emergency medical care agreements for minor participants (under 18 years of age) in University academic, athletic, or recreation activities or events. Includes agreements signed by participants and parent/guardians acknowledging that they have read and understood the guidelines for participation, and permissions from parent/guardians for University officials to seek emergency medical care for the participants. | **Retain** for6 years after 18th birthday  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 09-07-62062  Rev. 1 | ***Participant Guidelines and Emergency Care Agreements (18 Years or Over)***  Provides as record of acknowledgment of guidelines for participation and emergency medical care agreements for participants (18 years of age or over), including advisors and chaperones, in University academic, athletic, or recreation activities or events. May include agreements signed by participants acknowledging that they have read and understood the participation guidelines, and permissions for University officials to seek emergency medical care for participants. | **Retain** 6 years after termination of participation in activity  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63650  Rev. 0 | ***Photograph/Recording Release Files***  Provides a record of authorization by adult models for the University to use photographic images or audio or video recordings for University purposes, including but not limited to, advertising and promotion. | **Retain** for 6 years after disposal of image or recording  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OPR |
| 11-12-63651  Rev. 0 | ***Photograph/Recording Release Files for Minors***  Provides a record of authorization by minor models (under age 18) or parents/guardians for the University to use photographic images or audio or video recordings for University purposes, including but not limited to, advertising and promotion. | **Retain** for 6 years after disposal of image or recording  *or*  6 years after minor reaches age 18, *whichever occurs last*  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OPR |

| WSU 08: Purchasing Records | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 11-12-63404  Rev. 0 | ***Banquet Permit Authorization***  Requests University approval to apply for a Banquet Permit. | **Retain** for 2 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 03-07-60531  Rev. 1 | ***Credit Card Administration Files (Card Issued)***  Provides program administrative documentation related to credit card issuance, account maintenance, and compliance. University credit cards include purchasing cards, fuel credit cards, and Central Travel Accounts. At a minimum, each file includes application, cardholder agreement, and photocopy of card. Depending on the age and usage of the card, file may also include roles and responsibilities forms, account maintenance requests, documentation of exceptions granted, documentation of policy violations, and correspondence with the cardholder, card reconciler, and/or approving official. | **Retain** for 6 years after termination of agreement  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 03-07-60532  Rev. 1 | ***Credit Card Application (Denied or Not Issued)***  Provides a record of a request for issuance of a University credit card (used to purchase items from outside vendors) when the card was denied or not issued. University credit cards include purchasing cards, fuel credit cards, and Central Travel Accounts. | **Retain** until denial  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63410  Rev. 0 | ***Departmental Requisition (WSU 1011)***  Request from departments to WSU Purchasing for purchases outside the institution. | **Retain** for 2 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63412  Rev. 0 | ***Discretionary (17A) Account Exception Justifications***  Provides a record of approved exceptions to University guidelines for discretionary (17A) accounts. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63413  Rev. 1 | ***Expenditure Authority Delegation (WSU 1198)***  A form that delegates authority for approving various documents that obligate departmental funds. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  **ESSENTIAL**  **(for Disaster Recovery)**  OPR |
| 11-12-63415  Rev. 1 | ***Faculty Textbook Order Sheet***  Used by faculty to identify textbook needs for each semester. | **Retain** until administrative purpose served  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63416  Rev. 0 | ***Interdepartmental Requisition and Invoice (WSU 1017)***  Provides a record of approval for interdepartmental purchasing transactions. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63419  Rev. 0 | ***Photocopier Equipment Request (WSU 1340)***  Form used to document copier needs to purchase copy equipment. | **Retain** for 2 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63428  Rev. 0 | ***Telecommunications Service Request***  Provides a record of online request to move, change, disconnect, or add new telecommunications services, including telephone, voicemail, and Ethernet. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |

| WSU 09: Research and Sponsored Projects Records | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 11-12-63429  Rev. 0 | ***Cost Sharing Effort Inquiry Request (WSU 1288)***  Provides information concerning employees who are anticipated to contribute effort toward cost-sharing obligations of sponsored projects. Used for data collection and entry into the effort certification system. | **Retain** until data entered and verified  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 20-08-69552  Rev. 0 | ***Export Control Records***  Provides a record of the documentation required to be retained on the exports of commodities, software, or technology from the United States. It also includes a record of any known re-exports, trans-shipment, or diversions of items exported from the United States as per Export Administration Regulation 762.6. Documentation includes export control documents, memoranda, invitations to bid, financial records, etc. | **Retain** for 5 years after termination of funding period  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 10-02-62201  Rev. 0 | ***Laboratory Notebooks (or Equivalent Records) – Patent Issued***  Provides a record of laboratory notebooks or equivalent records, which support patents in which the University has an ownership interest. | **Retain** for 23 years after patent issued  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63435  Rev. 0 | ***Research Data***  Provides a record of compiled research data gathered during the course of a research project, regardless of whether or not funded by a grant or contract. May include data collection and evaluation, instruments, statistics, questionnaires and similar materials.  NOTE: This records series does not cover records of research that results in protectable intellectual property to which the University may have an ownership interest. (See DAN #10-02-62202, DAN #10-02-62201, and DAN #10-02-62200.) | **Retain** for 3 years after project completion  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 10-02-62202  Rev. 0 | ***Research Data-Potential Protectable Intellectual Property (Patent Application Denied)***  Provides a record of compiled research data gathered during the course of a research project that may result in protectable intellectual property to which the University may have an ownership interest, regardless of whether or not the project is funded by a grant or contract, and for which the patent application was denied. May include data collection and evaluation, instruments, statistics, questionnaires, and similar materials.  NOTE: If a patent application is reviewed again prior to the end of the retention period and a patent is then issued, retention of the research data moves to "Research Data—Protectable Intellectual Property (Patent Issued)" [DAN #10-02-62200] and retention of the laboratory notebooks (or equivalent records) is moved to "Laboratory Notebooks—Patent Issued" [DAN #10-02-62201]. | **Retain** for 6 years after patent application denied  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 10-02-62200  Rev. 0 | ***Research Data-Protectable Intellectual Property (Patent Issued)***  Provides a records of compiled research data gathered during the course of a research project that results in protectable intellectual property to which the University may have an ownership interest, regardless of whether or not the project is funded by a grant or contract. May include data collection and evaluation, instruments, statistics, questionnaires, and similar materials.  NOTE: If a patent is issued, retention of the laboratory notebooks (or equivalent records) is moved to the records series titled "Laboratory Notebooks—Patent Issued" [DAN #10-02-62201]. | **Retain** for 6 years after patent issued  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63438  Rev. 0 | ***Sponsored Project Allocation Notice***  Informs investigators and departments of object allocations and other accounting information regarding grants and contracts. | **Retain** until receipt of notice  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63439  Rev. 0 | ***Unfunded Proposal File***  Provides a record of research proposals sent to sponsors and currently unfunded. | **Retain** for 2 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| WSU 10: Safety Records | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 11-12-63617  Rev. 0 | ***Accident Prevention Program***  Intended to reduce work hazards, promote well-being of personnel, and lower industrial accidents. | **Retain** until superseded  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 00-07-59786  Rev. 1 | ***Air Operating Permit Reports and Associated Data***  Provides a record of monthly, semi-annual, and annual reports and associated data for air emission sources, as required by the Washington Department of Ecology (DOE). (*WAC* 173-401 and *RCW* 70.94)  Length of retention for this records series is in accordance with *WAC* 173-401-615(2)(c). | **Retain** for 5 years after date of report or date of measurement  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 99-08-59215  Rev. 1 | ***Animal Medical and Laboratory Records Files***  Provides a record of requested testing, treatment, and Washington Animal Disease Diagnostic Laboratory (WADDL) reports of sick, injured, or deceased animals. Includes Veterinary Medical Service Request, treatment sheets, clinical pathology reports, laboratory test results, WADDL laboratory worksheets, necropsy (post-mortem) information, bacteriology examinations, and fecal tests conducted by WADDL. NOTE: Does not include WADDL's daily log book. | **Retain** for 7 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 00-08-59867  Rev. 0 | ***Bloodborne Pathogen Program Records***  Provides a record of bloodborne pathogen safety training. (29*CFR*1910.1030(h)(2)(ii)) | **Retain** for 3 years after date of training  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63620  Rev. 1 | ***Chemical Collection Request***  Provides a record of recycled or disposed of hazardous chemical wastes.  *Note: Length of retention is in accordance with WAC 173-303-210.* | **Retain** for 5 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 10-12-62314  Rev. 0 | ***Chemical Exposure Records***  Provides a record of the chemical identity and exposure levels, employee names, locations, and tasks. (*WAC* 296-802) | **Retain** for 70 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63619  Rev. 1 | ***Chemical Spill Management Records***  Documents chemical spill management activity. Includes information about type and amounts of chemicals spilled, any applicable reporting to authorities, any illnesses or injuries as a result of the spill, respondent training, access to medical surveillance, and preventative measures taken. Records are maintained by the area performing the spill control.  NOTE: In case of employee exposure or potential exposure, the applicable records are transferred and retained under the records series Chemical Exposure Records (DAN 10-12-62314). | **Retain** for 30 years after activity  *then*  **Destroy**. | NON-ARCHIVAL  **ESSENTIAL**  **(for Disaster Recovery)**  OPR |
| 10-12-62325  Rev. 3 | ***Compost and Solid Waste Handling Facility Records***  Permits issued by the Whitman County Department of Health to maintain compost and solid waste handling facilities and records of inspections of the University’s compost and solid waste handling facilities. Requirement to retain records and length of retention is in accordance with WAC 173-350-220. | **Retain** for 5 years after permit termination date  *or*  5 years after end of calendar year of inspection  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 99-08-59225  Rev. 1 | ***Controlled Substance Card***  Provides a record of type, size of container, amounts and dates distributed. One card is maintained for each bottle of controlled substance held at the registered location. Card information is periodically copied into the Controlled Substance Logbook [DAN #99-08-59224]. | **Retain** for 2 years after bottle emptied  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 99-08-59224  Rev. 1 | ***Controlled Substance Logbook***  Provides a record of addition and distribution of controlled substances. Includes what controlled substance is used for, who it was signed out to, running inventory, and a copy of the file card information kept on each bottle of drug on hand. | **Retain** for 2 years after end of fiscal year in which book completed  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 99-08-59219  Rev. 1 | ***Controlled Substance Purchase and Tracking Records***  Provides a record of the purchase and tracking of controlled substances used in animal care and research. Includes records of: controlled substance purchases for reporting the purchases to the Drug Enforcement Administration (DEA); annual inventory of controlled substances on hand in all Office of the Campus Veterinarian facilities; sales and distribution of controlled substances from the Office of the Campus Veterinarian or external vendors to WSU animal care facilities (including type, strength, and volume of controlled substance, who delivered and who received). | **Retain** for 2 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 00-07-59791  Rev. 2 | ***Drinking Water Bacteriological Data***  Provides a record of bacteria levels in public water systems.  Requirement to retain records and length of retention is in accordance with Washington Department of Health (DOH) rules, *WAC* 246-290-480(1)(a). | **Retain** for 5 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-02-62415  Rev. 1 | ***Drinking Water Chemical Analysis Data***  Provides a record of chemical levels in public water systems.  Requirement to retain records and length of retention is based upon DOH rules, *WAC* 246-290-480(1)(a). | **Retain** for 3 years after life of system  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 00-07-59788  Rev. 3 | ***Drinking Water Chlorination and Fluoridation Reports***  Provides a record of chlorination and fluoridation levels in public water systems. Requirement to retain records and length of retention is in accordance with DOH rules, *WAC* 246-290-480(1)(g). | **Retain** for 3 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 10-12-62319  Rev. 0 | ***Drinking Water System Plan***  Provides a record of strategic and comprehensive plans for the administration, reorganization, and/or operation of WSU-controlled systems.  (Length of retention in accordance with *WAC* 246-290-100(10). Applicable system criteria specified in *WAC* 246-290-100(2).) | **Retain** for 6 years after superseded or system no longer meets WAC criteria  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  **ESSENTIAL**  **(for Disaster Recovery)**  OFM |
| 00-07-59789  Rev. 2 | ***Drinking Water Systems Surveys and Special Purpose Investigation Reports***  Provides a record of inventory of WSU public water systems issued by the DOH. Includes comprehensive system evaluations, source meter readings, and sanitary surveys. Requirement to retain records and length of retention is per DOH rules, *WAC* 246-290-480(1)(a). | **Retain** for 10 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 99-08-59222  Rev. 1 | ***Drug Enforcement Administration (DEA) Report***  Provides a record of the retention and disposition of drugs used in animal care and testing. | **Retain** for 2 years after report submitted  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63622  Rev. 0 | ***Fall Protection Work Plan***  Documents actions taken to prevent falls. Required of persons when working ten or more feet above the ground, water surface or the level below. | **Retain** for 1 year after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 00-08-59834  Rev. 1 | ***First Aid/Cardiopulmonary Resuscitation (CPR)/Automated External Defibrillator (AED) Training Records***  Provides a record of first aid, and CPR/AED training including class rosters and training exam results. This series includes a tracking database. | **Retain** for 5 years after date of training  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 00-07-59783  Rev. 1 | ***Food Service Establishment Inspection Reports***  Provides a record of EH&S or local area health department inspections of WSU food service facilities for cleanliness and safety. (*WAC* 246-215) (Also see Food Service Establishment Permits, DAN #00-07-59784.) | **Retain** for 6 years after date of inspection  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 00-07-59784  Rev. 1 | ***Food Service Establishment Permits***  Authorizes WSU to operate food service establishments. Permits are issued by the Whitman County or local area health department and are valid for one year. (WAC 246-215) (Also see Food Service Establishment Inspection Reports, DAN #00-07-59783.) | **Retain** for 3 years after termination of permit  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 10-12-62316  Rev. 1 | ***Food Worker Authorizations***  Electronic database, which provides University copy of authorizations from the Whitman County Health Department to University food service employees to handle food. The Whitman County Health Department issues a food worker card to each authorized employee in Whitman County. (*WAC* 246-217, *WAC* 170-295-3170) | **Retain** for 5 years after date of issue  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63633  Rev. 0 | ***Full-Size Van Driver Statements (WSU 1426, 1427)***  Provides a record of driver and manager/supervisor acknowledgment of risks, responsibilities, and recommended safe driving practices for operating passenger vans. Includes the Full-Size Van Driver Safe Driving Practices Acknowledgment Statement and the Full-Size Van Driver Valid License to Drive and Driving Experience Statement. | **Retain** for 3 years after termination of WSU affiliation  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63634  Rev. 0 | ***Full-Size Van Driver Statements--Van Drivers Who Drive Minors (WSU 1426, 1427)***  Provides a record of driver and manager/supervisor acknowledgment of risks, responsibilities, and recommended safe driving practices for operating passenger vans for van drivers who drive minors (individuals under 18 years of age). Includes the Full-Size Van Driver Safe Driving Practices Acknowledgment Statement and the Full-Size Van Driver Valid License to Drive and Driving Experience Statement. | **Retain** for 21 years after termination of WSU affiliation  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-02-62418  Rev. 0 | ***Greenhouse Gas Emission Data and Reports for EPA***  Provides a record of greenhouse gas emission data and reports gathered by the University and submitted to the EPA. Requirement to retain records is in accordance with EPA regulations under 40 *CFR* Part 98 Subpart A. Length of retention is in accordance with EPA regulations, 40 *CFR* 98.3(g). | **Retain** for 3 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63624  Rev. 0 | ***Hazard Communication Program***  Provides a record of department written hazard communication programs and lists of hazardous chemicals present in the workplace. Program is updated as needed to reflect current chemical use. | **Retain** until superseded  *then*  **Destroy**. | NON-ARCHIVAL  **ESSENTIAL**  **(for Disaster Recovery)**  OFM |
| 11-12-63625  Rev. 0 | ***Hazard Notification (WSU 1247)***  Reports of safety hazards or unsafe practices. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63627  Rev. 0 | ***Hazardous Materials Shipping Papers***  Provides a record of the shipment of dangerous goods, which are not waste materials. Includes date of acceptance by the initial carrier or date on the shipment waybill, airbill, or bill of lading, shipping name, identification number, quantity transported, and date of shipment. May include Dangerous Goods Declarations.  Records retention is in accordance with 49*CFR*172.201(e). | **Retain** for 2 years after date of shipment  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63628  Rev. 0 | ***Incident Report (WSU 1131)***  Used to report injury, illness, or workplace incident involving WSU personnel or third parties (e.g., student, off-duty employee, visitor, volunteer). | **Retain** for 6 years after claim resolved  *then*  **Destroy**. | NON-ARCHIVAL  **ESSENTIAL**  **(for Disaster Recovery)**  OPR |
| 11-12-63631  Rev. 0 | ***Industrial Accident Witness Statement***  Used by a witness to provide a written account of an accident involving WSU personnel. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 00-08-59871  Rev. 1 | ***Laboratory and Clinic Safety Program Records***  Provides a record of laboratory and clinic safety programs. Includes, but is not limited to, safety audits; logs regarding mechanical, chemical, and biological monitoring of equipment (e.g., autoclaves, refrigerators); training; and reports. (32*CFR*627.7(g)) | **Retain** for 3 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 10-12-62323  Rev. 0 | ***Medical Surveillance Files***  Provides a record of the physical condition of certain University employees over time; provides health benchmarks and data regarding possible exposures. | **Retain** for 70 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  **ESSENTIAL**  **(for Disaster Recovery)**  OPR |
| 00-07-59787  Rev. 1 | ***Notice of Construction Permits for New Air Emission Sources***  Provides a record of permits required by Washington DOE in order to obtain an Air Operating Permit for construction and operation of new air emission sources. Requirement to retain records in this series is in accordance with *WAC* 173-400-110. Cutoff is in accordance with valid period of the permit, as per the Air Quality Notice of Construction (NOC) Permit section of the DOE and Washington State Governor’s Office of Regulatory Assistance *Environmental Permit Handbook*. | **Retain** for 5 years after life of facility  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 16-06-68983  Rev. 0 | ***Nuclear Reactor Facility Records***  Provides records of the operation, maintenance, and monitoring of WSU's nuclear reactor facility. Primary documentation includes records of radiation surveys, radiation exposures, and radioactive releases, and nuclear facility drawings. (Secondary copy documentation includes reactor operations logs, maintenance logs, abnormal occurrence logs, radioactive material transfers, and irradiation records.)  NOTE: This records series does not include reactor fuel records (see DAN #89-02-43760). | **Retain** for 10 years after facility ceases in operation  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |
| 00-07-59785  Rev. 2 | ***On-Site Sewage System Permits***  Provides a record of permits issued by local health jurisdictions for on-site disposal of sewage. | **Retain** for 3 years after life of system  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 16-06-68984  Rev. 1 | ***Radiation Safety Records***  Provides various records regarding the University departmental use of radioactive materials and machines. Includes, but is not limited to, records of use applications, authorizations, assignments, and protection; radioactivity exposure hazard acknowledgments, history, and monitoring; incidents and accidents; air, water, and solid waste documentation; equipment registrations and maintenance; and shipment and delivery records. Length of retention is in accordance with WAC 246-221-230(7). | **Retain** for 30 years after termination of WSU radioactive materials license  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-02-62360  Rev. 0 | ***Registered Pesticide Application Records***  Provides a record of the application of registered pesticides for registered uses by all licensed University applicators and all University personnel applying pesticides to more than one acre of agricultural land in a calendar year. Includes Washington State Department of Agriculture (WSDA) recordkeeping forms or departmentally-designed recordkeeping forms and WSDA approval letters.  Length of retention is in accordance with *WAC* 16-228-1320(3). | **Retain** for 7 years after date of application  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 10-12-62324  Rev. 1 | ***Respirator Program Records***  Provides a record of authorization for respirator use based on any employee medical conditions requiring prior physician approval, workplace/hazard exposure information, respirator selection, respirator fit-testing, and employee training for respirator use. Includes Respirator Authorization/Respirator Fit-Testing and Training Record and copies of written responses by physician/licensed health care practitioners to Labor & Industries medical questionnaires. (WAC 296-842, WAC 296-802) | **Retain** for 70 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63636  Rev. 1 | ***Safety and Fire Inspection Checklist***  Used to conduct self-inspection of department/work area.  Note: Any problems found are reported to the applicable supervisors and Environmental Health and Safety (EH&S) using Self-Inspection Worksheet or Hazard Notification forms. | **Retain** until superseded  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63640  Rev. 0 | ***Safety Orientation Checklist (WSU 1249)***  Documents review of safety procedures. | **Retain** for 2 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63641  Rev. 0 | ***Self-Inspection Worksheet***  Documents findings and corrective actions resulting from self-inspection of the work area. | **Retain** for 6 years after end of fiscal year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OPR |
| 00-07-59793  Rev. 1 | ***State Waste Water Discharge Permit Reports***  Provides a record of Washington State Department of Ecology (Ecology)-required reports for disposal of waste water. (*WAC* 173-216) Length of retention is in accordance with WAC 173-220-210(2)(c)). | **Retain** for 3 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 00-07-59776  Rev. 1 | ***State and Higher Education Hazardous Waste Contracts***  Provides a record of contracts for hazardous waste disposal and/or treatment. This series includes contracts between WSU and environmental disposal companies for hazardous waste disposal; between the state of Washington and ETSC, Inc. (not an acronym), a hazardous waste disposal facility, for reactive waste treatment for state higher education facilities; and between the state of Washington and hazardous waste disposal companies or vendors for hazardous waste treatment for state facilities. (Retention period length requested per liability as discussed in 40*CFR*35.6015, 40*CFR*35.6705, 42*USC*103.9603 and 42*USC*103.9607.) | **Retain** for 50 years after termination of contract  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 17-06-69108  Rev. 1 | ***Sterilization Equipment Logs***  Provides a record of sterilization equipment (e.g., autoclaves) monitoring to ensure no bacterial growth from the equipment. Log information may include, but is not limited to:   * Chemical and biological indicator test results; * Sterilizer maintenance and wrapping; * Load numbering of packs.   Length of retention is in accordance with *WAC* 246-145-030. | **Retain** for 3 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 00-07-59794  Rev. 2 | ***Stormwater Permit Files***  Department of Ecology monitoring data and permits to discharge stormwater associated with construction site and municipal activities. Includes Construction Stormwater Permits and Eastern Washington Phase II Municipal Stormwater Permits.  Requirement to retain records is in accordance with DOE rules, *WAC* Chapter 173-226, and length of records retention as specified in *WAC* 173-226-090(2)(c). | **Retain** for 5 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63643  Rev. 0 | ***Supervisor's Accident Investigation Report (WSU 1246)***  Records accident investigation by supervisor. | **Retain** for 5 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 00-07-59795  Rev. 2 | ***Swimming Pool Records***  Includes the following records required under DOH rules in accordance with *WAC* 246-260:   * Permits allowing the University to operate swimming pools (*WAC* 246-260-101(3)(a)) * Water quality data and samples; swimming pool sanitation inspections; and safety and water quality surveys performed by EH&S.   Length of retention is in accordance with *WAC* 246-260-121(3)(a). | **Retain** for 3 years after end of calendar year  *or*  termination of permit  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |

| WSU 11: Security Records | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 11-12-63615  Rev. 0 | ***Key Assignment Record (WSU 1210)***  Provides a record of all keys assigned to an individual. | **Retain** for 1 year after termination of employment  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63616  Rev. 0 | ***Key Roster***  Provides a record of all key holders assigned a specific key. | **Retain** for 1 year after key removed from service  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| WSU 12: Student Records | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 98-10-58845  Rev. 2 | ***Academic Catalog and Class Schedule***  Provides a record of classes offered by the University for the specified time period. Includes a record of the schedules of classes at each WSU campus, listed by term. Published online, class information grouped by subject, and includes locations, times, instructor names, number of credits per class, and enrollment limit. | **Retain** for 50 years after end of academic year or summer session  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OPR |
| 07-12-61638  Rev. 3 | ***Academic Counseling Files***  Files containing client intake sheets, notes from advising center counselors, assessment results, student reinstatement requests, records of advisor assignment, certification of major, enrollment status, and supporting documentation for reinstatement requests, as applicable. | **Retain** for 4 years after end of academic year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 98-06-58518  Rev. 3 | ***Activity/Club/Trip Records***  Provides a record of activity, club, and/or trip participant names, permanent addresses, phone numbers, and emergency contact information for classes, trips, sport clubs, intramural sports, and athletic summer camps. May include rosters, process and proceedings, and coach and/or chaperone assignments. | **Retain** for 2 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 02-09-60409  Rev. 0 | ***Admission Application Materials – Not Enrolled***  Provides a record of admission application and supporting documentation for students who do not enroll at WSU.  Paper originals may be destroyed after scanned and verified plus 1 year. | **Retain** for 3 years after end of semester  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63441  Rev. 1 | ***Class Examinations, Papers, Assignments, Agreements, Correspondence***  Provides a record of student examination, papers, projects, and other assignments completed by students in fulfillment of course or degree requirements. Includes, but is not limited to, agreements or contracts between students and professors for design and implementation of special projects, independent study, and/or research; hardcopy materials not retrieved by the students after they are graded; electronically-stored student materials retained by the instructor or department; class materials, online discussions and e-mail, generated by or maintained in the electronic learning management system. | **Retain** for 1 year after end of term  *then*  **Destroy** . | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 98-05-58469  Rev. 1 | ***College and University Residence Questionnaire (Undergraduate Students)***  Provides a record of students' requests and processing for state of Washington residency for purposes of obtaining in-state tuition. | **Retain** 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 92-10-51358  Rev. 1 | ***Complaint File***  Provides a record of all student-related complaints initiated by students, parents, faculty, public, etc., and directed to the campus office of student affairs or subsidiary. Includes the content of the complaint, referrals, and any resolution. | **Retain** for 3 years after complaint resolved  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 91-04-47849  Rev. 1 | ***Continuing Education***  Records of each offering and program including budget, class roster, summary, and handouts. Including, but not limited to, record of certification of completion of continuing education credits by pharmacists as required by the American Council of Pharmacy Education. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63442  Rev. 0 | ***Course History Files***  Provides a record of the content of approved academic courses. May include course descriptions, sample examinations, textbook lists, bibliographies, syllabi, class presentation material, etc. | **Retain** until course discontinued  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |
| 11-12-63443  Rev. 0 | ***Curriculum Development File***  Committee minutes, annual reports, faculty development reports, major and minor course changes, and related information. | **Retain** for 5 years after end of fiscal year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |
| 98-10-58849  Rev. 0 | ***Daily Eligibility Reports***  Provides a record of daily eligibility status for each current student-athlete. Used to inform various offices and individuals of the participation status of each student athlete. | **Retain** for 1 year after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 95-09-55849  Rev. 3 | ***Disabled Student Folders***  A record of confidential test results which document disabilities requiring accommodation. Includes: letters/test results from physicians and other health providers, accommodation forms, recommendation memos for students by campus disability support services or campus student affairs, counseling notes, file face sheet of personal information. | **Retain** for 10 years after the last date the student was in contact with Disability Service office  *or*  4 years after student’s graduation date from WSU  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 09-09-62112  Rev. 1 | ***Election Records-Associated Students of Washington State University***  Provides a record of election processes and results of voting by WSU students for student government officers. | **Retain** for 5 years after end of academic year  *then*  **Destroy** . | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 02-07-60395  Rev. 3 | ***Electronic Prospective Student Data***  Database provides a record of prospective student data for recruitment purposes only.  Secondary copies of this series include records of interaction with community colleges for purposes of recruitment; departmental lists of prospective student information showing fields of interest, scholarships, and awards; and background information obtained from non-WSU sources for import into the prospective student database. | **Retain** for administrative purpose served  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 00-07-59805  Rev. 0 | ***Emergency Notification***  Provides a record of notification to professors by memorandum when students will be missing class due to an emergency situation. | **Retain** for 1 year after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 00-08-59837  Rev. 2 | ***Employee Tuition Waiver Files***  Provides a record of authorization for WSU employees, state employees, and senior citizens to take WSU classes during fall, spring, and summer semesters without paying tuition. Includes Tuition Fee Waiver Request (WSU 1082) and Summer Educational Benefit Request (WSU 1365) forms. | **Retain** for 4 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 92-10-51357  Rev. 2 | ***Enrollment and Status Changes***  Provides a record of changes to enrollment and status information. Primary copies include documentation of University enrollment or withdrawal, documentation of payment of petition fees, and support for cancellations of enrollment without refund.  Reference copies include records of student requests for class registration, course drop or add, and exceptions to the academic calendar based on extenuating circumstances, student petitions for course requirement waivers or substitutions; student permissions to provide student information to third parties; and permissions to audit courses.  *Note: This series does not include VA and GI Bill enrollment and enrollment certification (retained by the campus registrar/admissions offices under Veterans’ Records [DAN #96-02-56464]).* | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 92-09-51051  Rev. 1 | ***Fee Waiver File***  Provides a record of allocated tuition fee waivers for undergraduates. Includes applications, approved allocations, statistical reports, and copies of Temporary Employment Applications. | **Retain** for 2 years after end of fiscal year  *then*  **Destroy** . | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63444  Rev. 1 | ***Grade Files***  Provides a record of student's class performance for the purposes of evaluation and calculation of transcript grades. Includes, but is not limited to, grade books, instructor's grade data, electronic and paper records of midterm and final grades, changes submitted after grade books are completed, e.g., incomplete grades, supplemental grade changes, college petitions to change already-posted grades, course challenge forms, special exam forms, associated memoranda, instructor's grade data generated by or maintained in an electronic learning management system. Reference copy information includes authorizations from students to release grades to specified individuals. | **Retain** for 5 years after end of fiscal year  *then*  **Destroy** . | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 16-06-68982  Rev. 2 | ***Health Client Files (Age 18 and Over)***  Provides a record of University health care practitioner consultation and treatment of clients 18 years or older. University health care practitioners include, but are not limited to, physicians, mental health counselors, Psychology Clinic therapists, certified athletic trainers, personal trainers, speech/language pathologists, and audiologists. Records may include, but are not limited to, informed consent forms; HIPAA notice of privacy acknowledgement records; appointment and/or training schedules; test results; interview, consultation, and/or examination notes and assessments; treatment plans and reports; records received from other health care practitioners. (Retained in accordance with *RCW* 70.41.190, *RCW* 70.02.030, and *WAC* 246-320-166.) | **Retain** for 10 years after last activity  *then*  **Destroy** . | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 20-08-69553  Rev. 0 | ***Health Client Files (Under Age 18)***  Provides a record of University health care practitioner consultation and treatment of clients under age 18. University health care practitioners include, but are not limited to, physicians, mental health counselors, Psychology Clinic therapists, certified athletic trainers, personal trainers, speech/language pathologists, and audiologists. Records may include, but are not limited to, informed consent forms; notice of privacy acknowledgement records; appointment and/or training schedules; test results; interview, consultation, and/or examination notes and assessments; treatment plans and reports; records received from other health care practitioners. (Retained in accordance with *RCW* 70.41.190, *RCW* 70.02.030, and *WAC* 246-320-166.) | **Retain** for 10 years after last activity  *or*  3 years after patient attains age 18, *whichever is longer*  *then*  **Destroy** . | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 75-08-13202  Rev. 2 | ***Internship Program File***  Intern and sponsor data relating to selection and work of student interns. | **Retain** for 3 years after termination of project  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 85-01-34896  Rev. 3 | ***Program Advisor File – Associated Students of WSU***  Documents the activities of student organizations. | **Retain** for 5 years after end of academic year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 98-05-58484  Rev. 3 | ***Registered Student Organization Files***  Files containing approved constitutions, past officer cards, and yearly Organization Report forms. | **Retain** for 6 years after end of academic year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63452  Rev. 0 | ***Scholarships Awarded by Departments – Recipient Records***  Provides a record of recipients of departmentally-administered scholarship funds. May include applications, departmental scholarship authorization forms, rating forms, award notifications, etc. | **Retain** for 6 years after award  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63451  Rev. 1 | ***Scholarship Program Administration Files***  Provides a record of a scholarship program administered by a University department. May include establishment of fund, correspondence, list of recipients, etc. | **Retain** for 6 years after termination of program  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OPR |
| 79-11-23869  Rev. 2 | ***Scholarship Transaction Files***  Provides a record of transactions concerning WSU and non-WSU originating scholarship programs. May include acknowledgements of receipt of donations for specific scholarships, applications, applicant scores, selection process, recipient of each award, and authorization to transfer funds to recipient's student account. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 98-10-58846  Rev. 3 | ***Student Admissions and Registration Records – Enrolled***  Provides a record of the student's admissions information and supporting documentation. Includes: transfer credits, University application, citizenship information, transcripts from other institutions, test scores, subpoenas for student records, Affidavit/Declaration/ Certification forms, Education Abroad transcript update memoranda and foreign credentials, updated transfer credit reports, changes in reported ethnic origin, correspondence, narrative responses, and promise notes.  *Note:**Paper originals may be destroyed after scanned and verified plus 1 yr.* | **Retain** for 50 years after last activity  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 02-09-60404  Rev. 1 | ***Student and Graduate Student Assistant (GSA) Medical Insurance Enrollment Records***  Record of who is enrolled in student and graduate student assistant medical plans. | **Retain** for 3 years after end of plan year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 98-10-58862  Rev. 2 | ***Student Athlete Eligibility Files***  Provides a record of academic, eligibility, and activity information for student-athletes. Includes academic and eligibility information, documentation of student-athletes' addition or removal from participation rosters, playing and practice schedules, and other documentation as required by the Pacific 12 Conference (PAC 12), the National Collegiate Athletics Association (NCAA), sport sponsorships, and other applicable rules and regulations. | **Retain** for 10 years after end of fiscal year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OPR |
| 11-12-63454  Rev. 0 | ***Student Data Warehouse***  Provides a record of demographic information about students, courses enrolled, and transcript data. Also includes enrollment statistics for each course offering. | **Retain** for 45 years after end of academic year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 92-10-51356  Rev. 2 | ***Student Disciplinary Records***  Provides a record of student discipline cases, including cases involving Title IX violations. Includes, but is not limited to, filed complaints and grievances, police reports, hearing questions and notes, sanctions invoked, data on others involved, final resolutions.  NOTE: If the sanction invoked is expulsion of the student, the final decision letter is transferred to the series Student Disciplinary Records – Expulsion – Final Decision Letter (DAN 17-06-69109). | **Retain** for 7 years after case resolved  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69109  Rev. 0 | ***Student Disciplinary Records – Expulsion – Final Decision Letter***  Provides a record of student discipline cases in which expulsion is the sanction invoked. Includes, but is not limited to, police reports, hearing questions and notes, and data on others involved. | **Retain** for 25 years after case resolved  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 99-03-59042  Rev. 2 | ***Student Financial Aid Records***  Documents financial aid data for individual students. Includes income and work study information, computations, letters, assignments, evaluations, and records of allocation of tuition fee waivers. Retention length is in accordance with *RCW* 40.14.060. | **Retain** for 6 academic years  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63455  Rev. 0 | ***Student Folders***  Provides a reference record of each student's progress towards a degree. May include photographs, previous transcripts, application, final performance summary, resume, and exit questionnaire. | **Retain** for 1 year after student graduates, transfers, quits  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-12-63448  Rev. 2 | ***Student Information System (SIS) – Enrollment Data***  Automated system (database) used to provide transaction-based enrollment data including date and time stamp information on electronic adds, drops, course withdrawals, and related information. | **Retain** for 10 years after end of academic year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63449  Rev. 2 | ***Student Information System (SIS) – Student Data***  Automated system (database) used to provide demographic and summary academic information on each student, including visa type, residency status, major, class standing, grade point averages, credit totals, and academic standing. | **Retain** for 60 years after individual graduates, transfers, quits  *then*  **Destroy** . | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-12-63458  Rev. 2 | ***Student Transcripts***  A student's grade record towards a degree. | **Retain** permanently. | NON-ARCHIVAL  **ESSENTIAL**  **(for Disaster Recovery)**  OPR |
| 99-12-59548  Rev. 1 | ***Summer Session Information***  Provides a record of summer activity fees for allocations for summer events, a listing of course assignments, course schedule and summer session salary calculations, and related correspondence. | **Retain** for 4 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 96-02-56474  Rev. 1 | ***Veterans' Records***  Provides a record of documents affecting a veteran's status, e.g., letters and forms from Veterans Affairs, work-study documentation. | **Retain** for 5 years after last activity  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 95-11-56151  Rev. 2 | ***Writing Assessment Files***  Provides a record of student writing skills. Used for assessment and class placement of undergraduates into first year and upper division writing courses. Includes writing examinations and portfolios, related billing and academic data, and summary databases. Provides research data for writing studies when authorized by the student. | **Retain** for 5 years after timed writing date or last activity  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| WSU 13: Travel Records | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 16-06-68990  Rev. 0 | ***Rental Vehicle Credit Card Log***  PC-based program tracking department credit cards. | **Retain** for 1 year after cancellation of card  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

2. DEPARTMENTAL SERIES

| 0006: College of Nursing – Finance and Administrative Services | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 91-04-47846  Rev. 1 | ***Nursing Student Folders***  Provides a reference record of each nursing student's progress towards a degree. For undergraduates includes: photograph, departmental and transfer transcripts, application, immunization forms, WSP forms, post-admission questionnaire, evaluations, final performance summary, resume, and exit questionnaire. For graduates includes: application packet, program of study, GREs, thesis abstract, departmental transcripts, evaluations. NOTE: After folder contents are microfilmed and verified, the microfilm copy is retained under Purged Nursing Student Folders [DAN 16-06-68989]. | **Retain** for 5 years after student graduates, transfers, quits  *then*  **Microfilm** and verify  *then*  **Destroy, as noted**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 16-06-68989  Rev. 0 | ***Purged Nursing Student Folders***  Microfilm copy reference record of each student's progress towards a degree. For undergraduate includes: photograph, final transcript with degree posted, application, post-admission questionnaire, final performance summary, resume, and exit questionnaire. For graduates includes: final transcript with degree posted, application packet, approved program of study, transfer work, GREs, thesis abstract, evaluations. | **Retain** for 30 years after student graduates, transfers, quits  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 0008: Elson S. Floyd College of Medicine | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 84-12-34784  Rev. 3 | ***Willed Body Program – Donor Files***  Used to monitor status of active body donations and inactive donors whose bodies have been cremated. These files are housed in the Elson S. Floyd College of Medicine, WSU Spokane. Cadavers are usually kept up to four years. Some cadavers are leased to other learning institutions. File may include:   * Donation consent forms. * Donor personal information and health history. * Information about disposition of remains after cremation. * Documentation of agreement with other institutions if cadavers are loaned. * Documentation for monitoring status of prosected parts from selected cadavers. | **Retain** for 6 years after cremation  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |

| 0012: Carson College of Business | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 83-01-31118  Rev. 1 | ***Masters Papers File***  Projects completed by students in partial fulfillment of master's requirement for non-thesis degrees. Includes business plans, portfolio or other documents related to the "final program requirement," but does not include papers for course work outside of the graduation requirement. | **Retain** for 5 years after departure of student  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 0014: Murrow College of Communication | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 02-01-60318  Rev. 2 | ***Annual Radio Employment Report***  Provides a report of employment per radio station, as per FCC regulations. (47*CFR*73.2080) | **Retain** for 5 years after end of calendar year  *or*  until station license is renewed  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 02-01-60320  Rev. 2 | ***Annual TV Employment Report***  Provides a report of employment per television station, as per FCC regulations. (47*CFR*73.2080) | **Retain** for 7 years after end of calendar year  *or*  until station license is renewed  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 02-01-60322  Rev. 0 | ***Daily Operation Report***  Documents daily radio operations.  *Note: Retention length due to functional use of the information by the department.* | **Retain** for 6 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 02-01-60324  Rev. 0 | ***Emergency Alert System Records***  Documents Emergency Alert System (EAS) operations and readiness, as per FCC regulations. (47*CFR*73.1250 and .1840) | **Retain** for 2 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 75-12-16236  Rev. 0 | ***Equipment Receipts***  Record of loaned items. | **Retain** for 1 year after end of academic year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 02-01-60326  Rev. 2 | ***FCC Authorization File***  Provides a record of the radio and television stations' licenses to operate, issued by the FCC, and related documentation, as per FCC regulations. CFR states that the FCC authorizations (licenses) must be kept until stations cease operations.  *Note: NWPB radio station license lasts 8 yrs., television station license lasts 8 yrs.  (47 CFR 73.3526)* | **Retain** until stations cease operations  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 02-01-60327  Rev. 1 | ***FCC Investigations or Complaints***  Provides a record of materials on any report to the licensee concerning FCC investigations or complaints, as per FCC regulations. (47*CFR*73.3526) | **Retain** for 1 year after investigation complete and written notification sent by FCC to station director  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 02-01-60328  Rev. 1 | ***Political Files***  Provides a record of all requests for broadcast time made by or on behalf of candidates for public office. Also, records disposition of such requests, including scheduling and rates charged, as per FCC regulations. (47 *CFR* 73.1943, *RCW* 42.17.110) | **Retain** for 3 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 02-01-60329  Rev. 0 | ***Public Broadcasting Manual***  Manual of FCC rules and regulations covering the operation of public broadcasting radio and television stations. (47*CFR*73.3526) | **Retain** until superseded  *or*  until stations cease operations  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 02-01-60330  Rev. 2 | ***Radio Issues/Program List***  Documents the radio station’s treatment of community issues, as per FCC regulations. Includes promotional and program data, as well as tape recordings of programs for broadcast. (47*CFR*73.3526) | **Retain** for 5 years after end of calendar year  *or*  until station license renewed  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |
| 02-01-60331  Rev. 0 | ***Radio Local Public Notice Announcements***  Provides proof of pre- and post-filing notices for public notice announcements of the station’s intent to file for operating license. Announcements to be aired over local and cable television, as per FCC regulations. (47*CFR*73.3526 and .3580) | **Retain** for 5 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 84-10-34650  Rev. 2 | ***TV and Radio Program and Engineering Logs***  Documents operating parameters of television and radio stations, as per FCC regulations. (47*CFR*73.1840) | **Retain** for 2 years after end of calendar year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |
| 84-10-34651  Rev. 3 | ***TV Issues/Program List***  Documents TV station’s treatment of community issues, as per FCC regs. (47*CFR*73.3526) Includes promotional and program data, and tape recordings of programs for broadcast. | **Retain** for 7 years after end of calendar year  *or*  Until station license renewed  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |
| 02-01-60333  Rev. 0 | ***TV Local Public Notice Announcements***  Provides proof of pre- and post-filing notices for public notice announcements of the station's intent to file for operating license. Announcements to be aired over local and cable television, as per FCC regulations. (47*CFR*73.3526 and .3580) | **Retain** for 7 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 02-01-60334  Rev. 1 | ***TV Must-Carry Requests***  Provides a record of requests by noncommercial television station for local cable TV providers to "must carry" the station as part of their offered services, as per FCC regulations. Request period is three years (47*CFR*73.3525 and .3527; 47*CFR*76.64 and .1608) | **Retain** until request period completed  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 0016: Graduate School | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 06-07-61218  Rev. 0 | ***Waiver of Tuition for Graduate School***  Provides authorization for graduate students to take WSU classes without paying tuition. | **Retain** for 6 years after end of academic year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |

| 0017: International Programs | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 10-08-62294  Rev. 1 | ***Foreign Faculty Files***  Provides a record of foreign faculty (typically those with J-1, H-1B, or TN immigration status) compliance with U.S. Citizenship and Immigration Services (USCIS) requirements. May include copies of the following documents: Form DS-2019 Certificate of Eligibility for Exchange Visitor Status (J nonimmigrant), I-797 Notice of Action, Employment Authorization Document, Form I-94 Arrival-Departure Record, financial and employment documentation related to applicable immigration status, separation/departure/ termination record, and other documents as requested or required. | **Retain** for 6 years after last activity  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 10-08-62295  Rev. 1 | ***Foreign Student Files***  Provides a record of foreign student (typically those with J-1 and F-1 immigration status) in compliance with USCIS requirements and related requirements. May include copies of the following documents: Form DS-2019 Certificate of Eligibility for Exchange Visitor Status, Form I-20 Certificate of Eligibility for Nonimmigrant Student Status (F nonimmigrant), Form I-94 Arrival/Departure Record, financial and employment documentation related to applicable immigration status, separation/departure/termination record, and other documents as requested or required. | **Retain** for 6 years after last activity  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |

| 0020: Vice President for Finance and Administration | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 93-09-52936  Rev. 0 | ***External/Professional Organizations***  Provides a record of associations with external organizations. | **Retain** for 2 years after end of fiscal year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |

| 0021: Facilities Services | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 07-05-61517  Rev. 0 | ***Backflow Incidents and Cross-Connection Control Annual Reports***  Provides a record of drinking water system backflow incidents and annual cross-connection program summary reports.  (Length of retention is in accordance with *WAC* 246-290-490(8)(a)(iii)) | **Retain** for 5 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 07-05-61518  Rev. 0 | ***Cross-Connection Control Inventory Information***  Provides a record of inventory information on approved air gaps installed in lieu of approved public water supply assemblies, approved backflow assemblies, approved atmospheric vacuum breakers (AVBs) used for irrigation system applications, and associated information in accordance with *WAC* 246-290-490. (Length of retention is in accordance with *WAC* 246-290-490(8)(a)(ii)) | **Retain** for 5 years after end of fiscal year  *or*  Until end of life of approved backflow preventer  (whichever is shorter)  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 07-05-61519  Rev. 0 | ***Daily Source Meter Readings***  Provides a record of daily water source meter readings for WSU-controlled drinking water systems. (A daily source meter measures total output of a water source over the period of one day, in accordance with *WAC* 246-290-010.) (Length of retention is in accordance with *WAC* 246-290-480(1)(a)) | **Retain** for 10 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 07-05-61520  Rev. 0 | ***Master List of Service Connections and/or Consumer's Premises***  Provides a master list of water system service connections and/or consumer's premises where WSU relies upon approved backflow preventers to protect the public water system from contamination. Includes the assessed hazard level of each and the required backflow preventer. (Length of retention is in accordance with *WAC* 246-290-490(8)(a)(i).) | **Retain** for 2 years after service connections and premises no longer pose a cross-connection hazard to WSU controlled drinking water system  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 0035: Office of Research | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 06-07-61217  Rev. 1 | ***Research Misconduct Files***  Provides a record of inquiries, investigations, and actions regarding complaints of research misconduct. Includes records of inquiry and investigation and copies of all documents furnished to the Office of Research. (42*CFR*93.317(5)(b)) | **Retain** for 7 years after case closed  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |

| 0041: WSU Extension | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 91-04-47840  Rev. 1 | ***Federal Plan of Work***  State and county report describing activities to be undertaken. | **Retain** for 8 years after end of calendar year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |
| 75-10-15939  Rev. 2 | ***Federal Reports of Accomplishment***  A summary of activities conducted by state and county offices. | **Retain** for 2 years after end of calendar year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |
| 08-03-61757  Rev. 0 | ***Marketing Materials***  Brochures, CDs, and DVDs prepared and distributed to the public to promote the services of WSU Extension in the community. | **Retain** for 1 year after end of calendar year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |

| 1220: Alumni Association | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 79-01-21899  Rev. 0 | ***Alumni Board Meeting Minutes***  Documents actions of board. | **Retain** for 20 years after end of calendar year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OPR |

| 1250: Department of Anthropology | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 83-11-33254  Rev. 0 | ***Archeological Research Data***  Provides a record of information collected by University archeological research teams. | **Retain** permanently. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 83-11-33255  Rev. 0 | ***Theses and Dissertations***  Provides a record of projects prepared for completion of requirements for graduate degrees in the Department of Anthropology. | **Retain** permanently. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 1320: Athletics | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 98-07-58630  Rev. 0 | ***Medical Insurance Records***  Billings and reimbursements for athletically related injuries and illnesses. Parent medical insurance information to support claims. | **Retain** for 6 years after claim resolved  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 96-11-57170  Rev. 0 | ***NCAA Forms***  Provides a record for NCAA membership and compliance purposes. | **Retain** for 5 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 75-12-16352  Rev. 0 | ***Ticket Applications***  Requests for season or single game tickets. | **Retain** for 1 year after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 1470: Procedures, Records, and Forms | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 05-02-60803  Rev. 0 | ***Forms Users Database***  Database used to generate number series for tracking WSU Invoice vouchers. This database includes the WSU Invoice (Receivables System) Number File. | **Retain** for 6 years after superseded  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 05-02-60804  Rev. 0 | ***Review Record***  Database summary of policies and procedures manuals revision drafts sent for review and approval. | **Retain** for 6 years after new section or revision published  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 1640: Academic Outreach and Innovation – Online Degree Programs | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 88-04-41995  Rev. 0 | ***Course File***  Includes a course description, registrations, permanent class list, grade forms, course approval forms, calendar of class dates, and billing information to students. | **Retain** for 5 years after superseded  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 1930: Student Financial Services | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 99-03-59041  Rev. 1 | ***Federal Student Aid Programs Applications and Reports***  Provides a record of reapproval/recertification applications and associated reports required for the University to participate in federal student financial aid programs. Includes the Fiscal Operations Report and Application to Participate (FISAP) and the Application for Approval to Participate in Federal Student Financial Aid Programs (E-App). Records are required in accordance with 34*CFR*600.31 and U.S. Department of Education rules. (Length of retention is based on functional need, as retention period is not stipulated in federal rules or regulations.) | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 1970: Dining Services | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 03-11-60605  Rev. 1 | ***Dining Services Employee Acknowledgment Files***  Provides a record of Dining Services employee acknowledgments of receipt of position manuals (e.g., cashier manual, student employee handbook, work area lead manual), understanding of position responsibilities, and training in equipment use. | **Retain** for 6 years after termination of employment  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 96-05-56648  Rev. 0 | ***Dining Services Incident Reports***  Provides a record of incidents occurring within unit, e.g., theft, vandalism, and disruptions. | **Retain** for 4 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 96-05-56656  Rev. 1 | ***Interunit Transfer Vouchers***  Provides a record of transfers of products or labor between Dining Service units. | **Retain** for 1 year after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 96-05-56678  Rev. 1 | ***Student Employee Earned Meal Slip (unit office)***  Provides verification that a student employee is eligible for a meal during the work shift. | **Retain** until end of activity  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 2110: Business Services – General Accounting/Revenue | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 74-10-07079  Rev. 1 | ***Transmittal Letters to Bank***  Support for disbursement of payroll to bank. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |

| 2120: Office of Research Support and Operations (ORSO) | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 09-10-62138  Rev. 1 | ***Conflict of Interest Database***  Database that tracks research employee's conflict of interest cases (re: EP27). | **Retain** for 3 years from termination of case  then  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 19-06-69366  Rev. 0 | ***MyResearch Portal for ORSO Mandatory Trainings***  Daily list of WSU personnel who completed mandatory trainings through the ORSO MyResearch online Portal. Trainings include, but are not limited to, Responsible Conduct of Research and Financial Conflict of Interest. | **Retain** for 5 years from completion of training  then  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 2210: Department of Horticulture | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 87-08-40688  Rev. 0 | ***State Commodity Commissions***  Research and other correspondence with the state's commodity commissions. | **Retain** for 10 years after end of fiscal year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |

| 2300: Institutional Research | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 05-02-60806  Rev. 1 | ***Historical Records***  Statistical data collected from many sources and primarily in paper format, used for analysis of past and present data as well as future projections. Data topics include all aspects of university functions, e.g., degrees granted; average ages of faculty, students, personnel; facilities data; comparisons with peer institutions. | **Retain** for 20 years after end of fiscal year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |

| 2360: Office of the Campus Veterinarian | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 99-08-59213  Rev. 0 | ***Animal Census Report Files***  Provides a record of monthly reporting from all WSU animal care facilities concerning how many animals used, received, and on-hand. Used to prepare annual and semi-annual reports to state and federal agencies. Includes Office of the Campus Veterinarian Monthly Animal Census and departmental versions of this report. | **Retain** for 3 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 99-08-59214  Rev. 1 | ***Animal Facilities Site Visit Records***  Provides a record of informal visits by Office of the Campus Veterinarian personnel to WSU animal laboratory/care facilities to evaluate animal care and use and semi-annual site visits by the Institutional Animal Care and Use Committee (IACUC) to each WSU animal laboratory/care facility. (IACUC is a WSU presidential committee required by federal law for all animal research institutions.). Includes e-mail communications between Office of the Campus Veterinarian, IACUC, and animal care facilities. | **Retain** for 2 years after date of visit  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 99-08-59231  Rev. 2 | ***Animal Health Certificates***  Provides an official record endorsed by a licensed veterinarian, which state that the animal is free of any infectious disease, pests, or physical abnormality which would endanger the animal or other animals or endanger the public health. Includes United States Department of Agriculture (USDA) Health Certificate (for large animals) and United States Interstate and International Certificate of Health Examination for Small Animals. | **Retain** for 1 year after disposition of animal  *or*  3 years after project completed  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 99-08-59221  Rev. 1 | ***Animal Identification and Disposition Files***  Provides a record of receipt, ownership, and disposition of dogs and cats and record of disposition of animals other than dogs and cats, in accordance with USDA regulations and the Washington State Pet Theft Law. Includes USDA Record of Acquisition of Dogs and Cats on Hand, True Owner Certificate, USDA Record of Disposition of Dogs and Cats, and USDA Record of Disposition of Animals Other Than Dogs and Cats. | **Retain** for 3 years after disposition  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 99-08-59216  Rev. 0 | ***Animal Subject Approval Form (ASAF) Files***  Provides a record of how the Institutional Animal Care and Use Committee (IACUC) looks at research proposals to determine whether to approve or reject. Files are maintained by project as required by USDA regulations. Includes confidential grant information, project details, research methods, length of study, species of animals, personnel, funding agencies, history of project, annual and third year project renewal forms, communications between IACUC and project teams concerning problems, questions, and researcher responses. | **Retain** for 3 years after completion of project  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 99-08-59217  Rev. 0 | ***Association for the Accreditation and Assessment of Laboratory Animal Care, International (AAALAC) Annual Report***  Provides a record of annual report submitted to the AAALAC concerning Office of the Campus Veterinarian program operations for the year. | **Retain** for 3 years after report submitted  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 99-08-59218  Rev. 0 | ***Association for the Accreditation and Assessment of Laboratory Animal Care, International (AAALAC) Program Descriptions***  Used to provide laboratory animal care peers with all details concerning what happens to each animal while at WSU and at disposition. Documentation prepared every three years as part of a voluntary accreditation process. Includes correspondences between WSU and the AAALAC, as well as evaluation and recommendations by the AAALAC. | **Retain** for 3 years after report submitted  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 99-08-59226  Rev. 0 | ***Public Health Service (PHS) Annual Report***  Provides a record of annual report submitted to the Public Health Service (PHS) describing Office of the Campus Veterinarian program operations for the year, including changes made from proposed operations as outlined in the Public Health Service (PHS) Assurance. | **Retain** for 3 years after report submitted  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 99-08-59227  Rev. 0 | ***Public Health Service (PHS) Assurance***  Provides a record of proposal submitted every three years to the Public Health Service (PHS) describing how the Office of the Campus Veterinarian will operate its programs. | **Retain** for 3 years after proposal period completed  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 99-08-59228  Rev. 0 | ***Serology Reports***  Provides a record of animal bodily fluids testing (serology) conducted for WSU by various companies, most often related to rodent health monitoring. | **Retain** for 3 years after completion of project  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 99-08-59230  Rev. 0 | ***United States Department of Agriculture (USDA) Annual Report***  Provides a record of annual report submitted to the USDA concerning Office of the Campus Veterinarian program operations for the year. | **Retain** for 3 years after report submitted  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 99-08-59233  Rev. 0 | ***United States Department of Agriculture (USDA) Inspection Report***  Provides a record of USDA inspections conducted annually or semi-annually to comply with the Animal Welfare Act. | **Retain** for 2 years after inspection  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 99-08-59238  Rev. 0 | ***Washington State University Institutional Animal Care and Use Committee Review of Animal Facilities and Programs***  Provides a record of semi-annual report prepared by IACUC for the Graduate School and Vice Provost for Academic Affairs, in compliance with federal law. | **Retain** for 3 years after report submitted  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 2430: Manuscripts, Archives, and Special Collections (MASC) | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 03-11-60597  Rev. 1 | ***Registration Forms and Daily Register***  In-office registration forms and daily register for patron's onsite visits to MASC. Created manually in hard copy and maintained only in hard copy. Record includes patron's name, address, phone number, e-mail address, research topic, publication plans, photo ID number, signature, date, and time in and out. | **Retain** for 10 years after end calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 2580: Museum of Art | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 75-09-14243  Rev. 3 | ***Exhibition Folders***  Documents the development and operation of each exhibit. Includes gallery exhibit descriptions, and gallery attendance documents and summary graphs. | **Retain** permanently. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 17-06-69099  Rev. 0 | ***Museum of Art and Fine Arts Department Historical Documents***  Documents the history of the Museum of Art and Fine Arts Department. | **Retain** permanently. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 75-09-14246  Rev. 0 | ***University Art Collection/Accession Data***  Documents acquisition and use of University's art holdings. | **Retain** permanently. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 2630: Nuclear Radiation Center | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 89-02-43760  Rev. 2 | ***Reactor Fuel Records***  Semiannual inventory of special nuclear materials (SNM) on site and records of all SNM received or shipped off site. (Federal regulations require special protection of these records.) | **Retain** for 10 years beyond life of the facility  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 2690: University Ombudsman | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 92-03-50218  Rev. 1 | ***University Ombudsman Annual Report***  Provides a summary of the Ombudsman's activity for a given academic year. | **Retain** until for 25 years after end of academic year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |
| 92-03-50217  Rev. 0 | ***Case Files***  Provides a record of complaint investigation. | **Retain** until after claim resolved  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 2710: Payroll Services | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 16-06-68985  Rev. 0 | ***Accumulative Calendar Year-To-Date Expense Microfiche***  Computer-generated microfiche providing year-end accumulation of earnings statement information. Earnings statements are produced each pay cycle in alphabetical order showing employee gross pay and net pay, employer contributions, and funding sources. The year-end copy is retained. | **Retain** for 100 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  **ESSENTIAL**  **(for Disaster Recovery)**  OFM |
| 16-06-68988  Rev. 0 | ***Earnings Statement Microfiche***  Computer-generated microfiche produced each pay cycle in alphabetical order showing an employee's pay cycle and year-to-date current payment details of hours, gross pay, deductions and reductions, net pay, bank account, employer contributions, employee address. | **Retain** for 100 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  **ESSENTIAL**  **(for Disaster Recovery)**  OFM |
| 75-02-09276  Rev. 1 | ***Master File Maintenance Input***  Manual input sheets used to make temporary or permanent changes in the payroll system from MANTRAN (Entity Data Change forms), TEAs (Temporary Employment Authorizations), expense transfers, and/or RAs (retroactive adjustments). | **Retain** for 6 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 00-07-59807  Rev. 0 | ***Relocation Expense Request***  To request payment of taxable relocation expenses. | **Retain** for 6 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 99-12-59517  Rev. 0 | ***Social Security Number Changes***  File may include employee name, job type, incorrect and correct social security number, and copy of social security card. | **Retain** for 6 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 99-12-59507  Rev. 0 | ***State Retirement Reporting***  May contain working papers, preliminary reports, final transmittals used in accounting for employee and employer contributions for all state retirement plans, such as Public Employees Retirement Systems, Teachers and Law Enforcement Officers and Firefighters. | **Retain** for 6 years after termination of employment  *then*  **Destroy**. | NON-ARCHIVAL  **ESSENTIAL**  **(for Disaster Recovery)**  OPR |
| 99-12-59508  Rev. 0 | ***Teachers Insurance Annuity Association (TIAA) and Investment Retirement Reporting***  Computer-generated report, which may include employee name, SSN, amount of deduction, year-to-date amount of deduction per pay cycle. May also include balancing and working documents. | **Retain** for 6 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  **ESSENTIAL**  **(for Disaster Recovery)**  OFM |
| 75-02-09307  Rev. 1 | ***Unemployment Compensation Expense Files***  Calculate distribution of unemployment insurance expense. | **Retain** for 7 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 2870: President’s Office | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 16-06-68986  Rev. 0 | ***Board of Regents' Minutes, Archival Microfilm Copy***  Microfilm copy of Board of Regents' minutes. | **Retain** permanently. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |

| 2900: Psychology | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 92-09-51054  Rev. 0 | ***Experiment Signup Sheets***  Record of individuals who sign up for various experiments conducted during each semester. | **Retain** for 6 months after end of semiannual period  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 2909: Housing and Conference Services | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 01-02-60036  Rev. 0 | ***Custodial Report of Damages***  Form used to report all damages to residence hall common areas. Used to assess responsibility to student, floor, or hall, including damage costs. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |

| 2930: Radiation Safety Office | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 19-06-69365  Rev. 0 | ***Compliance Records***  Records related to, or which may help establish, compliance with Chapter 246-247 WAC, such as:   * Reports of air sampling, surveys, annual reports, etc. (License Condition 37.D. and 40 CFR 61.95); * Records of the quality assurance program to assure the reliability and accuracy of Liquid Scintillation Counters used by Radiation Safety for analyzing wipe samples (License Condition 29); * Survey results from surveys performed by RSO staff, authorized users, and radiation workers and laboratory audits which include surveys (License Condition 27). WAC 246-247-080(8). | **Retain** for 5 years after record creation  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 19-06-69368  Rev. 0 | ***Radiation Program Records – Short Term***  Records specified under WAC 246-221-230(9) and other related documents. Includes, but not limited to:   * Records created by authorized users and kept in laboratory, including: inventories, users log sheets, and user surveys. WAC 246-221-230 (9)(e); * Records related to annual calibration of radiation detection instruments. WAC 246-221-230 (9)(d) and WAC 246-240-566; * Records related to the decommissioning funding plan and financial assurity, updated every three years. WAC 246-235-075; * Records related to the results of sealed source leak tests. WAC 246-240-113. Length of retention in accordance with WAC 246-240-572.; * Records of purchase approvals for radioactive materials or radiation producing machines. WAC 246-221-230 (9)(e); * Documents radioactive waste held for decay in storage by laboratories and RSO. WAC 246-221-230 (9)(f) and 246-240-128; * Includes audits, where required, and other reviews of program content and implementation including annual audits of RSO by RSC. Also includes RSC meeting agendas and minutes. WAC 246-221-230 (9)(e); * Documents a record of sealed source leak tests and inventory. WAC 246-221-080 and WAC 246-240-113. Records retention requirement found in WAC 246-240-572.; * Documents the surveys performed on radioactive material when it arrives on WSU property. WAC 246-221-230(9)(c). | **Retain** for 3 years after record creation  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 19-06-69369  Rev. 0 | ***Radiation Protection Plan Policies, Procedures, and Authority Records***  Records related to the management of a radiation protection plan, such as:   * Policies and procedures of the Radiation Protection Program. WAC 246-221-230 (8)(c); * Delegation of authority to the Radiation Safety Officer from the institutional official. WAC 246-240-051; * Documents related to applications for use of radioactive materials or machines at WSU and all successive records regarding authorization. WAC 246-221-230 (8)(c).   *Note: Length of retention is in accordance with WAC 246-221-230 (8).* | **Retain** for lifetime of license  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 19-06-69370  Rev. 0 | ***Radioactive Material Inventory, Licenses, and Waste Management***  Records related to radioactive material management, such as:   * Database which tracks all radioactive orders, storage and decay and the eventual disposal of the radioactive material. WAC 246-221-230 (8)(a); * Radiation license, application, and correspondence regarding Radioactive Materials License (WN-C003-1). WAC 246-221-230 (8)(c).   Records related to the management of radioactive waste, such as:   * Records of Radioactive Waste disposed of via sewer release or air release. WAC 246-221-230 (8)(a); * Records that documents radioactive waste shipped off-site for disposal. WAC 246-221-230 (8)(a) and License Condition 35.   *Note: Length of retention is in accordance with WAC 246-221-230 (8).* | **Retain** for lifetime of license  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 19-06-69373  Rev. 0 | ***Shipping Records – Licensed Materials***  Includes documents of shipments of radioactive materials to other licensed users (length of retention in accordance with WAC 246-231-136) and to authorized users within the WSU system. 49 CFR 172.201. | **Retain** for 3 years after shipment  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |

| 2970: Registrar | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 98-10-58847  Rev. 0 | ***Census Reports***  Demographic information about students, including enrollment statistics and student names. | **Retain** for 12 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 98-10-58848  Rev. 2 | ***Commencement Program and Diploma Books***  Provides a record of graduating students for each graduation ceremony. Includes lists of all candidates, student names and addresses, and degrees received for each particular graduation date. | **Retain** for 50 years after end of fiscal year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OPR |
| 98-10-58858  Rev. 0 | ***Name Change***  Provides a record of name changes authorized by students. | **Retain** for 50 years after end of fiscal year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OPR |
| 05-10-61052  Rev. 0 | ***Transcript Requests***  Provides a record of request by students for copies of transcripts to be sent to themselves and/or third parties. Includes transcript given to federal investigators with consent forms. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 09-06-62033  Rev. 0 | ***Undergraduate Change of Major and Certification of Second Major and Minor Forms***  Provides a record of certification of second major or minor, change of major, and completion of minor or second minor forms. | **Retain** for 4 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 98-10-58864  Rev. 1 | ***Undergraduate or Professional Applications for Degrees or Certificate***  Provides a record of official requests for graduation by students. Used to generate a to-do list of requirements for graduation. Includes applications for undergraduate certificates and Certification of Completion of Undergraduate Certificate forms. | **Retain** for 5 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 2980: Business Services – Sponsored Programs | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 95-07-55765  Rev. 1 | ***Daily Cash Balance/Revenue Pool Accounts***  Daily cash balance report used in the preparation and submission of daily cash draws to sponsoring agencies. | **Retain** for 1 year after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 95-07-55767  Rev. 0 | ***Federal Indirect Cost Rate Agreement***  Contains the indirect cost rate, period, base and application for charging federally sponsored projects both on- and off-campus. | **Retain** for 6 years after superseded  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |

| (3020): Public Safety Administration – Fire and Safety Compliance | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 19-06-69364  Rev. 0 | ***Access Logs***  Includes:   * Written log of all instances of access to, or use of, surveillance records; and * Electronic log of camera access and use. | **Retain** for 3 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69074  Rev. 0 | ***Daily Record or Log of Fire Services***  Records relating to information received from courts or other law enforcement agencies regarding the disposition of a court process in accordance with RCW 10.97.045. | **Retain** for 7 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69081  Rev. 0 | ***Fire Code Violation Notification***  Record of official notice of violation and statement of required corrective action. | **Retain** for 6 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69082  Rev. 0 | ***Fire Incident Reports***  Includes basic information collected to document each fire incident. | **Retain** for 6 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69083  Rev. 0 | ***Fire Investigation Documentation – Closed Investigations***  Provides a record of investigations of all fire incidents **which do not result in loss of human life** and which are resolved as accidents or result in arson convictions.  Excludes records covered by *Fire Investigation Documentation – Incidents That Result in Loss of Human Life (DAN 17-06-69084)*.  Excludes investigations involving hazardous materials, which are covered by *Chemical Spill Management Records (DAN 11-12-63619)*, *Chemical Exposure Records (DAN 10-12-62314)*, and/or *Radiation Safety Records (DAN 16-06-68984)*.  *Note: The primary record copy may be held by the city or county fire marshal, law enforcement agency, or the State Fire Marshall's Office.* | **Retain** for 6 years after conviction  *or*  10 years after decision not to proceed with investigation  *then*  **Transfer** to Archives for permanent retention. | **ARCHIVAL**  **(Permanent Retention)**  NON-ESSENTIAL  OPR |
| 17-06-69084  Rev. 0 | ***Fire Investigation Documentation – Incidents That Result in Loss of Human Life***  Provides a record of investigations of **all incidents that result in loss of human life**, including investigations of arson and fires for which a cause has not been determined and those that have not been resolved by a conviction.  *Note: Reference RCW 9A.04.080, which provides an unlimited amount of time to file criminal charges in cases of arson that result in the loss of human life.* | **Retain** for 6 years after conviction  *or*  10 years after decision not to proceed with investigation  *then*  **Transfer** to Archives for permanent retention. | **ARCHIVAL**  **(Permanent Retention)**  NON-ESSENTIAL  OPR |
| 17-06-69085  Rev. 0 | ***Fire Investigation Documentation – Open Investigations of Incidents That Do Not Result in Loss of Human Life***  Provides a record of investigations of arson and fires for which a cause has not been determined, that have not been resolved by a conviction.  Excludes investigations involving hazardous materials, which are covered by *Chemical Spill Management Records (DAN 11-12-63619)*, *Chemical Exposure Records (DAN 10-12-62314)*, and/or *Radiation Safety Records (DAN 16-06-68984)*.  Excludes records covered by *Fire Investigation Documentation – Incidents That Result in Loss of Human Life (DAN 17-06-69084)*.  *Note: Reference RCW 9A.04.080, which requires that criminal charges must be filed within 10 years after the occurrence of an arson that does not result in the loss of human life.* | **Retain** for 10 years after decision not to proceed with investigation  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |

| 3040: WSU Police Department | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 98-09-58724  Rev. 0 | ***Building Security Reports***  Provides a record of security done of campus buildings. Includes a record of routine walkthroughs of a facility by the WSUPD, reporting any problems discovered. Used by departments to update/enhance their security measures. | **Retain** for 3 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 84-12-34782  Rev. 5 | ***Case Files – All Other Cases***  Case reports and files assembled by law enforcement in the course of investigating any incident that is not covered by a more specific records series.  Includes, but is not limited to:   * Bond and bail information; * Latent print evidence (Latent Print Cards, photographic negatives, digital or photographic images, etc.); * Planning related to special events; * Incidents that occurred during the events; * Items of general interest to employees; * Internal office memos containing information of a temporary nature.   Excludes records covered by:   * *Case Files – Notorious/Historically Significant Cases (DAN 17-06-69056)*; and *Case Files – Operational Orders (DAN 98-09-58741)* * *Criminal History Record Information – Felonies and Gross Misdemeanors (DAN 17-06-69070)*; *Criminal History Record Information – Misdemeanors (with Fingerprints) (DAN 17-06-69071)*; *Criminal History Record Information – Misdemeanors (without Fingerprints) (DAN 17-06-69072)*; or *Criminal History Record Information – Non-Conviction Data (DAN 17-06-69073)*. | **Retain** for 5 years after conclusion of investigation  *and*  until exhaustion of appeals process  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69055  Rev. 0 | ***Case Files – Homicides (Solved)***  Case reports and files assembled by law enforcement in the course of investigating homicides that have been solved.  Includes, but is not limited to:   * Bond and bail information; * Latent print evidence (latent print cards, photographic negatives, digital or photographic images, etc.).   Excludes records covered by:   * *Case Files – Notorious/Historically Significant Cases (DAN 17-06-69056)*; * *Criminal History Record Information – Felonies and Gross Misdemeanors  (DAN 17-06-69070)*; *Criminal History Record Information – Misdemeanors (with Fingerprints) (DAN 17-06-69071)*; *Criminal History Record Information – Misdemeanors (without Fingerprints) (DAN 17-06-69072)*; or *Criminal History Record Information – Non-Conviction Data (DAN 17-06-69073)*. | **Retain** for 20 years after case opened  *and*  until no longer needed for University business  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OPR |
| 98-09-58738  Rev. 1 | ***Case Files – Homicide (Unsolved), Missing Persons (Not Found), and Unidentified Bodies***  Case reports and files assembled by law enforcement in the course of investigating unsolved homicides, unidentified bodies or unfound missing persons where the case has not been solved.  Includes, but is not limited to:   * Bond and bail information; * Latent print evidence (latent print cards, photographic negatives, digital or photographic images, etc.).   Excludes records covered by:   * *Case Files – Notorious/Historically Significant Cases (DAN 17-06-69056)*; * *Criminal History Record Information – Felonies and Gross Misdemeanors (DAN 17-06-69070)*; *Criminal History Record Information – Misdemeanors (with Fingerprints) (DAN 17-06-69071)*; *Criminal History Record Information – Misdemeanors (without Fingerprints) (DAN 17-06-69072)*; or *Criminal History Record Information – Non-Conviction Data (DAN 17-06-69073)*. | **Retain** for 75 years after case opened  *and*  until no longer needed for University business  *then*  **Transfer** to Archives for permanent retention. | **ARCHIVAL**  **(Permanent Retention)**  **ESSENTIAL**  **(for Disaster Recovery)**  OPR |
| 17-06-69056  Rev. 0 | ***Case Files – Notorious/Historically Significant Cases***  Case reports and files assembled by law enforcement in the course of investigating cases which have gained contemporary public notoriety or significance.  Includes, but is not limited to:   * Bond and bail information; * Latent print evidence (latent print cards, photographic negatives, digital or photographic images, etc.).   Excludes:   * Records pertaining to sex offenders as defined in chapter 9A.44 RCW or sexually violent offenses as defined in RCW 71.09.020 that are transferred to the Washington Association of Sheriffs and Police Chiefs in accordance with RCW 40.14.070(2)(b). * Records covered by *Criminal History Record Information – Felonies and Gross Misdemeanors (DAN 17-06-69070)*; *Criminal History Record Information – Misdemeanors (with Fingerprints) (DAN 17-06-69071)*; *Criminal History Record Information – Misdemeanors (without Fingerprints) (DAN 17-06-69072)*; or *Criminal History Record Information – Non-Conviction Data (DAN 17-06-69073)*.   *Note: For guidance on how to identify notorious or historically significant cases, please refer to Washington State Archives' published advice sheet* *Notorious/Historically Significant Case Files and Inmate Custody Records.* | **Retain** until no longer needed for University business  *then*  **Transfer** to Archives for permanent retention. | **ARCHIVAL**  **(Permanent Retention)**  NON-ESSENTIAL  OPR |
| 17-06-69057  Rev. 0 | ***Case Files – Sex Offenders and Sexually Violent Offenses***  Case reports and files assembled by law enforcement in the course of investigating criminal sex or kidnapping offenses as defined in chapter 9A.44 RCW, sexually violent offenses as defined in RCW 71.09.020(17), or pertaining to a sex offender as defined in chapter 9A.44 RCW.  Includes, but is not limited to:   * Bond and bail information; * Latent print evidence (latent print cards, photographic negatives, digital or photographic images, etc.).   Excludes records covered by:   * *Criminal History Record Information – Felonies and Gross Misdemeanors  (DAN 17-06-69070)*; *Criminal History Record Information – Misdemeanors (with Fingerprints) (DAN 17-06-69071)*; *Criminal History Record Information – Misdemeanors (without Fingerprints) (DAN 17-06-69072)*; or *Criminal History Record Information – Non-Conviction Data (DAN 17-06-69073)*.   *Note: Records of any investigative reports pertaining to sex offenders as defined in Chapter 9A.44 RCW or sexually violent offenses as defined in RCW 71.09.020 that are not required in the current operation of the law enforcement agency or for pending judicial proceedings shall be transferred to the Washington Association of Sheriffs and Police Chiefs in accordance with RCW 40.14.070(2)(b).* | **Retain** for 5 years after conclusion of investigation  *and*  until exhaustion of appeals process  *then*  **Transfer** to Washington Association of Sheriffs and Police Chiefs for permanent retention. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69058  Rev. 0 | ***Case Logs***  Logs documenting case tracking information within the WSUPD. | **Retain** until all inclusive case files have been destroyed/transferred  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 17-06-69059  Rev. 0 | ***Citations/Notices of Infraction – Issued to Officer***  Records documenting the issuance of blank, pre-numbered citations/notices of infraction to officers in accordance with RCW 46.64.010.  Includes, but is not limited to:   * Voided citations/tickets; * Receipts for books and/or devices issued.   *Note: Unissued/blank citation forms are covered by Forms – Accountable (DAN GS 12004).* | **Retain** for 3 years after date of issuance  *or*  until completion of State Auditor's report,  *whichever is sooner*,  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69060  Rev. 0 | ***Citations/Notices of Infraction Issued – All Others***  Records relating to criminal or non-criminal citations issued to alleged violators (such as traffic and non-traffic citations).  Includes, but is not limited to:   * Originals and/or duplicates of issued citations; * Documentation of disposition of the charge when applicable.   Excludes:   * Citations/Notices of Infraction generated using Washington State Patrol's Statewide Electronic Collision and Ticket Online Records (SECTOR) transmitted to Washington State Patrol; * Records covered by *Citations/Notices of Infraction Issued – Driving Under the Influence (DAN 17-06-69061)*. | **Retain** for 3 years after date of issuance  *or*  until completion of State Auditor's report,  *whichever is sooner*,  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69061  Rev. 0 | ***Citations/Notices of Infraction Issued – Driving Under the Influence***  Records relating to citations issued to alleged violators for driving while under the influence of intoxicating liquor or drugs as defined in RCW 46.61.502.  Excludes:   * Citations/Notices of Infraction generated using Washington State Patrol’s Statewide Electronic Collision and Ticket Online Records (SECTOR) transmitted to Washington State Patrol; * Records covered by *Citations/Notices of Infraction – All Others (DAN 17-06-69059)*.   *Note: RCW 46.61.502 allows individuals who have had four or more prior offenses within 10 years to be charged with a gross misdemeanor, necessitating a minimum 10-year retention period.* | **Retain** for 10 years after date of issuance  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69062  Rev. 0 | ***Clery Reporting Files***  Provides a record of annual security reporting to comply with Clery Act requirements and supporting records used in compiling the reports. Includes, but is not limited to, data from case files, daily activity logs, and internal review/investigation files; correspondence involving WSUPD personnel and also external law enforcement agencies; communications to campus community members. | **Retain** for 7 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69063  Rev. 0 | ***Collision Reports***  Reports documenting vehicle collisions resulting in injury, death, or damage to property, created in accordance with RCW 46.52.070 and submitted to Washington State Patrol in accordance with RCW 46.52.030. | **Retain** until submitted to Washington State Patrol  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69064  Rev. 0 | ***Court Disposition Information***  Records relating to information received from courts or other law enforcement agencies regarding the disposition of a court process in accordance with RCW 10.97.045. | **Retain** until no longer needed for University business  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69065  Rev. 0 | ***Court Orders/Warrants/Writs – Acted Upon***  Records relating to the tracking and delivery of official documents requiring a process to be served by the WSU Police Department (WSUPD), including action taken on orders, warrants and writs not originally filed with the WSUPD.  Includes, but is not limited to:   * Domestic violence information sheets; * Service slips and logs (including face sheets and day tickets); * Returns of service; * Documentation that order, warrant, or writ information was reported or forwarded to an outside agency or organization such as the National Instant Criminal Background Check System (NICS).   Excludes summonses that become part of a case file. | **Retain** for 6 years after last entry or action  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 17-06-69066  Rev. 0 | ***Court Orders/Warrants/Writs – Not Acted Upon***  Orders issued by a court and filed with the WSUPD where the WSUPD has taken no subsequent action.  Includes, but is not limited to:   * Anti-harassment, no contact, protection, restrictive area, SODA/SOAP orders * Documentation that order, warrant, or writ information was reported or forwarded to an outside agency or organization such as the National Instant Criminal Background Check System (NICS).   Excludes court orders served on the WSUPD covered by *Compulsory Process Served on the Agency (Not Party to Litigation) (DAN GS 18006)*. | **Retain** until expired, vacated, terminated, or superseded  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69067  Rev. 0 | ***Crime Prevention Programs***  Records relating to the management of crime prevention programs.  Includes, but is not limited to:   * Crime Stoppers * Block, business, and/or house watches * Active shooter * Public programs   Excludes records covered by *Ride-Along Program (DAN 98-09-58747)*. | **Retain** for 1 year after obsolete or suspended  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |
| 17-06-69068  Rev. 0 | ***Criminal History Audit Reports***  Final reports of Washington State Patrol audit findings.  *Note: Criminal history audit reports are retained by Washington State Patrol in accordance with the Washington State Patrol records retention schedule.* | **Retain** for 6 years after completion of Washington State Patrol audit  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |
| 17-06-69069  Rev. 0 | ***Criminal History Audit Trail Files***  Records documenting the receipt and entry of disposition information to criminal offender record information in accordance with RCW 10.98.100. | **Retain** for 1 year after completion of Washington State Patrol audit  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 17-06-69070  Rev. 0 | ***Criminal History Record Information – Felonies and Gross Misdemeanors***  Criminal history record information (as defined by RCW 10.97.030(1)) on individuals charged with a felony and/or gross misdemeanor.  Includes, but is not limited to:   * Fingerprints recorded in accordance with RCW 43.43.735 and transmitted to Washington State Patrol in accordance with RCW 10.98.050 or RCW 43.43.570; * Identifiable descriptions; * Notations of arrests, charges and dispositions; * Mug shots.   Excludes copies of rap sheets printed from state or federal criminal history databases which are covered by *Reference Materials (DAN GS 50013)*. | **Retain** until transmitted to Washington State Patrol  *and*  until no longer needed for University business  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69071  Rev. 0 | ***Criminal History Record Information – Misdemeanors (With Fingerprints)***  Criminal history record information (as defined by RCW 10.97.030(1)) on individuals who are charged with a misdemeanor where fingerprints have been recorded and must be transmitted to Washington State Patrol in accordance with RCW 10.98.050 or RCW 43.43.570.  Includes, but is not limited to:   * Identifiable descriptions; * Notations of arrests, charges and dispositions; * Mug shots.   Excludes copies of rap sheets printed from state or federal criminal history databases which are covered by *Reference Materials (DAN GS 50013)*. | **Retain** until transmitted to Washington State Patrol  *and*  until no longer needed for University business  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69072  Rev. 0 | ***Criminal History Record Information – Misdemeanors (Without Fingerprints)***  Criminal history record information (as defined by RCW 10.97.030(1)) on individuals charged with misdemeanors.  Includes, but is not limited to:   * Identifiable descriptions; * Notations of arrests, charges and dispositions; * Mug shots.   Excludes copies of rap sheets printed from state or federal criminal history databases which are covered by *Reference Materials (DAN GS 50013)*. | **Retain** until offender attains 99 years of age  *or*  until offender is deceased, *whichever occurs sooner,*  *then*  **Destroy**. | NON-ARCHIVAL  **ESSENTIAL**  **(for Disaster Recovery)**  OPR |
| 17-06-69073  Rev. 0 | ***Criminal History Record Information – Non-Conviction Data***  Criminal history record information (as defined by RCW 10.97.030(1)) that consists entirely of non-conviction data (as defined by RCW 10.97.030) for which the subject of the criminal history information has requested deletion of the non-conviction data, and deletion has been granted in accordance with RCW 10.97.060.  Excludes:   * Records covered by *Criminal History Record Information – Felonies and Gross Misdemeanors (DAN 17-06-69070)*; *Criminal History Record Information – Misdemeanors (with Fingerprints) (DAN 17-06-69071)*; or *Criminal History Record Information – Misdemeanors (without Fingerprints) (DAN 17-06-69072);* * Copies of rap sheets printed from state or federal criminal history databases which are covered by *Reference Materials (DAN GS 50013).* | **Retain** until deletion request from subject of non-conviction data is granted  *or*  until subject is deceased, *whichever occurs sooner,*  *then*  **Destroy**. | NON-ARCHIVAL  **ESSENTIAL**  **(for Disaster Recovery)**  OPR |
| 98-09-58758  Rev. 1 | ***Criminal History Validations – A Centralized Computer Enforcement System (ACCESS), Washington Crime Information Center (WACIC), and National Crime Information Center (NCIC)***  Records relating to validation notices received from the Washington State Patrol verifying the receipt and database entry of criminal history information transferred in accordance with RCW 10.98.050. | **Retain** for 3 years after date of validation  *or*  until completion of Washington State Patrol audit, *whichever is sooner*  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 09-06-62056  Rev. 0 | ***Daily Activity Log***  Summary of daily (24 hours) criminal and non-criminal events on campus, used for public disclosure and press release purposes, and for preparation of annual security reports per the Clery Act.  Length of retention is in accordance with *The Handbook for Campus Crime Reporting 2005*, Chapter 10, published by the U.S. Department of Education Office of Post Secondary Education. | **Retain** for 7 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 98-09-58732  Rev. 0 | ***Dignitary Protection***  Record of planning for and action taken during the visit of a dignitary or person of importance. | **Retain** for 2 years after end of visit  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 17-06-69075  Rev. 0 | ***Dockets/Process Logs***  Logs, dockets or lists of civil and criminal processes received by the WSUPD. | **Retain** for 3 years after date of entry  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 06-09-61312  Rev. 1 | ***Drug Test Reports***  Used to document the chain of custody, process, and results of drug testing done for case evidence. | **Retain** until disposition of the applicable case file  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 98-09-58725  Rev. 1 | ***Equipment Issue Files***  Provides a record of all equipment issued to police officers. | **Retain** until return of item  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 98-09-58736  Rev. 1 | ***Evidence Audits***  Records relating to evidence room audits conducted by the WSUPD's own staff to determine compliance with applicable policies, procedures and requirements for the management of evidence.  Includes, but is not limited to:   * Correspondence relating to evidence audits; * Notes, logs, or other documentation created by the auditor relating to the inspection/audit; * Audit reports/results/findings.   Excludes records covered by:   * *Internal Audits/Consultations – Final Reports (DAN GS 04001);* * *External Audits/Reviews of Agency – Final Reports (DAN GS 04006)*, including examinations by State Auditor and Washington Association of Sheriffs and Police Chiefs (WASPC). | **Retain** for 4 years after completion of auditor's report  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69076  Rev. 0 | ***Evidence/Property In Custody – Management***  Records documenting the intake, management, and disposition of property acquired by the WSUPD as evidence or for safekeeping. Does not include the actual evidence which should be managed in accordance with applicable federal, state or local law, court order, and/or University policy.  Includes, but is not limited to:   * Evidence/property in/out logs; * Documentation relating to disposition (destruction, return to owner, return to jurisdictional agency, etc.).   Excludes records covered by:   * *Executions Against Personal Property (DAN 98-09-58745)* or *Property Seizure/Disposition (DAN 17-06-69103);* * *Public Disclosure/Records Requests (DAN GS 05001).* | **Retain** for 6 years after disposition of property  *and*  1 year after disposition of pertinent case file(s)  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 98-09-58745  Rev. 1 | ***Executions Against Personal Property***  Records relating to court-ordered property seizures resulting from judgments against individuals or entities in accordance with chapter 6.17 RCW.  Includes, but is not limited to:   * Civil worksheets; * Writs and granting orders; * Continuation reports; * Descriptions of property involved. | **Retain** for 6 years after date of seizure or stay  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69077  Rev. 0 | ***Expungement/Sealing/Vacation of Records***  Records relating to requests and orders for the expungement, sealing, or vacation of criminal information or records in accordance with WAC 446-16-025. | **Retain** for the current approved retention period for the records being expunged/sealed/vacated  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69078  Rev. 0 | ***Facility Registers***  Facility registers created in accordance with RCW 70.48.100 documenting summary information about inmates as they are admitted into, transferred between, or released from corrections facilities. | **Retain** until no longer needed for University business  *then*  **Transfer** to Archives for permanent retention. | **ARCHIVAL**  **(Permanent Retention)**  **ESSENTIAL**  **(for Disaster Recovery)**  OPR |
| 17-06-69079  Rev. 0 | ***Fingerprint Analysis***  Requests for fingerprint analysis received from external law enforcement agencies for the purpose of identifying an individual and that do not relate to criminal or other investigations undertaken by the WSUPD. Includes latent prints from crime scenes, arrestee or inmate prints, and ten prints or other prints received for identification purposes, correspondence and reports.  Excludes:   * Records covered by *Fingerprint Requests (Public) (DAN 17-06-69080);* * Ten prints or other fingerprints taken or received in regards to a concealed pistol license application or other permit/registration being processed by the WSUPD and covered by a more specific DAN. | **Retain** for 3 years after request fulfilled  *or*  until returned to requesting agency  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69080  Rev. 0 | ***Fingerprint Requests (Public)***  Records, including logs, relating to requests submitted by individuals to obtain their own fingerprints for reasons unrelated to criminal or other investigations of the WSUPD. For example, the requestor may be requesting fingerprints to provide for a potential employer prior to hiring, or to obtain a specific occupational license from a regulatory agency.  Excludes records covered by:   * *Fingerprint Analysis (DAN 17-06-69079);* * *Financial Transactions – General (DAN GS 01001).* | **Retain** for 3 years after request fulfilled  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 98-09-58744  Rev. 1 | ***Gun Checks / Stored Firearms***  Includes owner identification data and description of firearm stored with Public Safety Office in compliance with University policy and procedures and WAC 504-26-213. Verifies temporary custody of firearm by Public Safety Office and date firearm returned to student-owner. | **Retain** for 6 years after firearm is returned to student  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69086  Rev. 0 | ***Impound Logs***  Logs documenting impounds reported to the WSUPD by registered tow truck operators. | **Retain** for 3 years after date of log entry  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69087  Rev. 0 | ***Impounds/Abandoned Vehicles***  Records relating to vehicles impounded by the WSUPD.  Includes, but is not limited to:   * Impound/abatement records; * Tow-away notices; * Requests for information; * Notices to owner(s) and/or lien holder(s); * Affidavits; * Transfers of ownership; * Bills of sale. | **Retain** for 3 years after disposition of vehicle  *or*  until completion of State Auditor’s report, whichever is sooner  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69088  Rev. 0 | ***Internal Review/Investigation – Notorious/Historically Significant Incidents***  Records documenting the **internal review and investigation** of WSUPD actions relating to or arising from incidents within the WSUPD's jurisdiction ***which have gained contemporary public notoriety or significance***.  Includes, but is not limited to, incidents involving:   * Major (subsequent) modification to WSUPD policy/procedure, training, or equipment; * Use of force by or against an officer that results in "great bodily harm" as defined by RCW 9A.04.110 or death **to any person**; * Officer's use of "deadly force" as defined by RCW 9A.16.010 (regardless of whether or not death results); * WSUPD response to a natural disaster, terrorist threat, declared state of emergency, major riot/protest or other incident of similar magnitude and impact.   *Note:* ***For guidance on how to identify notorious/significant incidents****, refer to Washington State Archives’ published advice sheet Internal Investigations of Notorious/Historically Significant Incidents within the Law Enforcement Agency's Jurisdiction or* ***contact*** *the Regional Branch Archives.*  *Note: Includes confidential records, which will retain their confidentiality at University Archives in accordance with RCW 40.14.030(2).* | **Retain** for 6 years after resolution of review/investigation  *then*  **Transfer** to Archives for permanent retention. | **ARCHIVAL**  **(Permanent Retention)**  NON-ESSENTIAL  OFM |
| 17-06-69089  Rev. 0 | ***Internal Review/Investigation – Recordings (Transcribed Verbatim)***  Digital or analog **recording(s)** of investigational conversations with victim, suspect, witness, or other individual associated with an internal review or investigation ***provided that*** the:   1. The digital/analog **recording is transcribed verbatim** and verified; ***and***, 2. The **transcription is retained** with the associated investigation/review records covered by *Internal Review/Investigation – Notorious/Historically Significant Incidents (DAN 17-06-69088)*; *Internal Review/Investigation (Formal Discipline Imposed) (DAN 17-06-69090)*; or *Internal Review/Investigation – (Formal Discipline Not Imposed) (DAN 98-09-58737)*. | **Retain** recording(s) for 1 year after transcribed and verified  *then*  **Destroy** the recording(s). | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69090  Rev. 0 | ***Internal Review/Investigation (Formal Discipline Imposed)***  Records relating to the internal review and investigation of WSUPD employee conduct and/or allegation of misconduct or violation of WSUPD regulations/orders, federal, state or local statute, **where charges are sustained and formal discipline *is* imposed**.  Formal discipline includes any sanction that penalizes an employee financially through loss of pay or accrued leave (e.g., demotion, suspension, removal, leave without pay, etc.).  Investigative records (regardless of format) include, but are not limited to:   * Statements by complainant, employee, witnesses, etc.; * Recordings (regardless of format or source); * Incident reports (including **Use of Force**, discharge of weapon, fleet accident, etc.); * Other investigative reports and communications (Internal Affairs, etc.); * Final action summary (memo, correspondence, log, report); * Notification of termination provided to the Washington State Criminal Justice Training Commission pursuant to RCW 43.101.135.   Excludes records covered by the following records series:   * *Internal Review/Investigation – Notorious/Historically Significant Incidents (DAN 17-06-69088);* * *Internal Review/Investigation – Recordings (Transcribed Verbatim) (DAN 17-06-69089);* * Criminal investigation case files, which are covered elsewhere in this retention schedule.   *Note: Includes confidential records, which will retain their confidentiality at University Archives in accordance with RCW 40.14.030(2).* | **Retain** for 6 years after completion of disciplinary action  *and*  3 years after minor reaches age 18, ***if minor involved in incident***  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OPR |
| 98-09-58737  Rev. 1 | ***Internal Review/Investigation (Formal Discipline Not Imposed)***  Records relating to internal review/investigation of WSUPD employee conduct and/or allegations of misconduct or violation of WSUPD regulations/orders, or federal, state or local statute, **where formal discipline is *not* imposed**.  Includes incidents where:   * WSUPD response is determined to be within policy/procedure; * Employee is exonerated and/or charges are determined to be unsustained, unfounded, or inconclusive; * Charges are sustained and informal discipline is imposed (written or verbal reprimands, memoranda, counseling, retraining or additional corrective training, etc.).   Investigative records (regardless of format) include, but are not limited to:   * Statements by complainant, employee, witnesses, etc.; * Incident reports (including **Use of Force**, discharge of weapon, fleet accident, etc.); * Recordings (regardless of format or source); * Other investigative reports and communications; * Final action summary (memo, correspondence, log, report).   Excludes records covered by the following records series:   * *Internal Review/Investigation – Notorious/Historically Significant Incidents (DAN 17-06-69088);* * *Internal Review/Investigation (Formal Discipline Imposed) (DAN 17-06-69090);* * *Internal Review/Investigation – Recordings (Transcribed Verbatim) (DAN 17-06-69089);* * Criminal investigation case files, which are covered elsewhere in this retention schedule. | **Retain** for 6 years after final disposition  *and*  6 years after completion of informal disciplinary action, *if imposed*  *and*  ***if minor involved in incident,*** 3 years **after** minor reaches age 18  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69091  Rev. 0 | ***Investigative Funds***  Records relating to University funds expended during criminal investigation evidence buys, investigative expenses, and informant expenses and/or payments.  Includes, but is not limited to:   * Expense vouchers; * Receipt books for funds spent; * Ledgers; * Receipts for purchases. | **Retain** for 10 years after date of last expenditure  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69092  Rev. 0 | ***Juvenile Records – Destruction Eligibility Notification Received from Juvenile Court***  Juvenile records **identified by the Juvenile Court** as eligible to be destroyed in accordance with RCW 13.50.270(1)(b).  Excludes the notification of eligibility ***and*** the records documenting the destruction of the juvenile records, which are covered by *Destruction of Public Records (DAN GS 11005)*. | **Upon receipt** of notification of destruction eligibility from Juvenile Court,  **Destroy** juvenile records within 90 days. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69093  Rev. 0 | ***Juvenile Records – Destruction Ordered by Court***  Juvenile records ordered to be destroyed by the court in accordance with RCW 13.50.270(3) **or any (other) court order**.  Excludes the notification of destruction ***and*** the records documenting the destruction of the juvenile records, which are covered by *Destruction of Public Records (DAN GS 11005)*. | **Upon receipt** of court order,  **Destroy** juvenile records within 30 days. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69094  Rev. 0 | ***Juvenile Records – Juvenile Attains Age 23***  Records relating to juvenile offenses and diversions where the juvenile has attained 23 years of age and where the **University has developed procedures for the routine destruction of *certain* records** pursuant to RCW 13.50.270(4).  Excludes records documenting the destruction of the juvenile records, which are covered by *Destruction of Public Records (DAN GS 11005)*. | **Retain** for until juvenile attains 23 years of age  *then*  **Destroy** according to University policy/procedures. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69095  Rev. 0 | ***Juvenile Records – Pardon Notification Received from Office of the Governor***  Juvenile records relating to an individual who has been granted a full and **unconditional pardon by the Governor**, and where the Office of the Governor has notified the agency in accordance with RCW 13.50.270(2).  Excludes the notification of pardon ***and*** the records documenting the destruction of the juvenile records, which are covered by *Destruction of Public Records (DAN GS 11005)*. | **Upon receipt** of pardon notification received from the Office of the Governor,  **Destroy** juvenile records within 30 days. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69096  Rev. 0 | ***Lock-Outs***  Records relating to the provision of assistance to citizens who have locked themselves out of houses or vehicles.  Excludes waivers of liability covered by *Contracts and Agreements (DAN GS 01050)*. | **Retain** for 3 years after date of lock-out  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 17-06-69097  Rev. 0 | ***Major Accident Response and Reconstruction (MARR)***  Investigations of major traffic accidents resulting in fatalities or serious injury with a high likelihood of civil litigation.  Includes, but is not limited to:   * Accident scene drawings and measurements; * Crash data logs. | **Retain** for 50 years after conclusion of investigation  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OPR |
| 98-09-58739  Rev. 1 | ***National Crime Information Center (NCIC) Inquiry Logs***  Log documenting all NCIC/III inquiries performed by the agency. | **Retain** until completion of Washington State Patrol audit  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 98-09-58740  Rev. 0 | ***Notification of Court Appearance***  Provides a record of subpoena data. | **Retain** for 1 month  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 17-06-69100  Rev. 0 | ***Off-Duty Authorizations and Commissions***  Records relating to authorizations for individuals to perform law enforcement functions.  Includes, but is not limited to:   * Extensions of authority; * Special police commissions.   Excludes University employee records covered by *Personnel Employment History Files (DAN GS 03042)*. | **Retain** for 6 years after termination of authorization or commission  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69101  Rev. 0 | ***Property Forfeited***  Records relating to personal and/or real property forfeited to the WSUPD in accordance with RCW 69.50.505.  Includes, but is not limited to:   * Documentation of auction and/or proceeds realized from disposition; * Property retained for University use; * Forfeiture hearing tapes.   Excludes records relating to property retained for law enforcement use in accordance with chapters 63.32, 63.40, and 63.42 RCW.  *Note: RCW 69.50.505(8)(b) requires agencies to retain records relating to forfeited property for at least 7 years.* | **Retain** for 7 years after date of forfeiture  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69102  Rev. 0 | ***Property Registration***  Records relating to personal property registered with the WSUPD for recovery if lost or stolen. Personal property may include, but is not limited to, computers, bicycles, personal electronic devices, cellular telephones. | **Retain** until no longer needed for University business  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 17-06-69103  Rev. 0 | ***Property Seizure/Disposition***  Records relating to the seizure or disposition of non-University property to satisfy a court judgment or order.  Includes, but is not limited to:   * Auction reports; * Bills, certificates, and notices of sale; * Copies of deeds. | **Retain** for 6 years after disposition of property  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 17-06-69104  Rev. 0 | ***Recordings from Mobile Devices – Incident Identified***  Recordings, created by the WSUPD using mobile recording devices, and whether manually or automatically triggered, that are known to have captured a unique or unusual action from which litigation or criminal prosecution is expected or likely to result.  Includes all mobile recordings, regardless of where recording device is mounted, such as:   * Bodycam (device on officer’s chest, shoulder, head, cap, sunglasses, pole/stick, etc.); * Dashcam (or any other device mounted on the inside or outside of a vehicle – car, motorcycle, boat, all terrain vehicle (ATV), bike, scooter, etc.); * Dogcam (on an animal’s body – canine, equine, etc.); * Drone (unmanned aerial vehicle or any other remote controlled equipment). | **Retain** until matter resolved  *and*  until exhaustion of appeals process  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 17-06-69105  Rev. 0 | ***Recordings from Mobile Devices – Incident Not Identified***  Recordings, created by the WSUPD using mobile recording devices (other than body worn cameras subject to RCW 42.56.240), and whether manually or automatically triggered, that are not known to have captured a unique or unusual incident or action from which litigation or criminal prosecution is expected or likely to result.  Includes, but is not limited to mobile recordings such as:   * Bodycam (device on officer’s chest, shoulder, head, cap, sunglasses, pole/stick, etc.) other than body worn cameras subject to RCW 42.56.240; * Dashcam (or any other device mounted on the inside or outside of the vehicle – car, motorcycle, boat, all-terrain vehicle (ATV), bike, scooter, etc.); * Dogcam (on an animal’s body – canine, equine, etc.); * Drone (unmanned aerial vehicle or any other remote-controlled equipment).   Excludes records covered by:   * *Recordings from Mobile Devices – Incident Identified (DAN 17-06-69104);* * *Recordings from Mobile Devices – Incident Not Identified (Body Worn Cameras Subject to RCW 42.56.240) (DAN 17-06-69106).* | **Retain** for 90 days after date of recording  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 17-06-69106  Rev. 0 | ***Recordings from Mobile Devices – Incident Not Identified (Body Worn Cameras Subject to RCW 42.56.240)***  Recordings, created by the WSUPD using body worn cameras, provided that the recording is:   * Captured using a body worn camera subject to RCW 42.56.240; and, * Not known to have captured a unique or unusual incident or action from which litigation or criminal prosecution is expected or likely to result.   Excludes records covered by:   * *Recordings from Mobile Devices – Incident Identified (DAN 17-06-69104);* * *Recordings from Mobile Devices – Incident Not Identified (DAN 17-06-69105).*   *Note: Retention based on 60-day requirement for certain body worn camera recordings (RCW 42.56.240).* | **Retain** for 60 days after date of recording  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 98-09-58747  Rev. 1 | ***Ride-Along Program***  Record relating to public participation in WSU Police Department (WSUPD) Ride-Along programs. | **Retain** for 3 years after participant's ride-along  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 98-09-58748  Rev. 1 | ***Sex/Kidnapping Offender Registration, Monitoring, and Contacts***  Records documenting the registration and/or monitoring of sex and kidnapping offenders in the WSUPD's jurisdiction, and/or any associated contacts with offenders. Includes, but is not limited to records relating to sex/kidnapping offender community notifications. | **Retain** for 6 years after offender leaves the WSUPD's jurisdiction  *or*  for 6 years after termination of offender’s registration requirement,  *whichever is sooner*  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 98-09-58749  Rev. 0 | ***Shift Logs***  Provides a record of officers' work schedules and of temporary equipment issue. | **Retain** for 2 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 17-06-69107  Rev. 0 | ***Special Weapons and Tactics (SWAT)***  Records relating to special or tactical operations planned and/or carried out by Special Weapons and Tactical (SWAT) units.  Excludes SWAT records that are part of a case file. | **Retain** for 2 years after completion of mission/operation  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |
| 17-06-69110  Rev. 0 | ***Suspension/Trespass/Expulsion Notices***  Records relating to notices issued to suspend the rights of an individual or to prohibit their presence in a specific place or location.  Includes, but is not limited to:   * Transit suspensions; * Trespass notices; * Expulsion notices. | **Retain** for 1 year after cancelled or expired  *and*  until exhaustion of appeals process  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 98-09-58726  Rev. 1 | ***Uniform Crime Reporting (UCR)/National Incident-Based Reporting (NIBR)***  Reports prepared on a monthly basis documenting UCR and/or NIBR information and statistics for submission to the Washington Association of Sheriffs and Police Chiefs (WASPC). Also includes supplemental reports. | **Retain** until no longer needed for University business  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OPR |
| 08-12-61922  Rev. 0 | ***Visitor Log***  Provides a record of a visitors to WSU Police Department, in accordance with U.S. Department of Justice *Criminal Justice Information Security Policy*, v. 4.3, section 6.08. Includes visitor names, dates and times of visit and departure, and purpose of visits. | **Retain** for 5 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 17-06-69111  Rev. 0 | ***Warrant Holds***  Records relating to notifications received from other law enforcement agencies regarding offenders who are wanted and should be held if apprehended.  Includes, but is not limited to:   * Hold warrants; * Documentation relating to transfer of offender. | **Retain** for 6 years after cancelled or inmate transferred  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 17-06-69112  Rev. 0 | ***Weapons (WSU Police Department-Issued)***  Records relating to the issuance, inspection, and maintenance of weapons issued by and/or handled by WSU Police Department personnel.  Includes, but is not limited to:   * Records of issuance, gift, and loss; * Records of inspection, maintenance and certification. | **Retain** for 3 years after disposal of weapon  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 3160: Human Resource Services | | | |
| --- | --- | --- | --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 02-10-60462  Rev. 0 | ***Add or Change WSUORG Information (WSU 1270)***  Form used to report additions or changes to organizational information entered into the WSU organizational database. | **Retain** for 1 year after administrative purpose served  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 78-10-21213  Rev. 2 | ***Cash Withdrawal Certification File***  Evidence of employee withdrawal of funds from Teachers’ Insurance and Annuity Association—College Retirement Equities Fund (TIAA-CREF). | **Retain** for 2 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 07-12-61646  Rev. 0 | ***Corrective Action Documentation – Bargaining Unit 4 Employees***  Provides a record of corrective actions taken against Bargaining Unit 4 employees as a result of upheld personnel complaints. Retention is in accordance with Bargaining Unit 4 Agreement (Agreement between WSU and WSU Police Guild), article 15.9. NOTE: If an incident of a similar nature is documented within the retention period, the records of the original and all of the similar incidents are retained together, and the cutoff is applied to the date of corrective action of the most recent incident.  (This records series does not cover disciplinary actions against Barg. Unit 4 employees. Disciplinary action records are retained under separate records series: Disciplinary Action Documentation-Barg. Unit 4 Employees [DAN #07-12-61647].) | **Retain** for 1 year after date action finalized  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 78-10-21215  Rev. 0 | ***Deceased Employee File***  Analysis of benefits due to employee’s heirs. | **Retain** for 10 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 07-12-61647  Rev. 0 | ***Disciplinary Action Documentation – Bargaining Unit 4 Employees***  Documentation of suspensions or demotions of Bargaining Unit 4 employees as a result of upheld personnel complaints. Retention is in accordance with Bargaining Unit 4 Agreement, article 15.9. NOTE: If an incident of a similar nature is documented within the retention period, the records of the original and all of the similar incidents are retained together, and the cutoff is applied to the date of suspension or demotion documentation of the most recent incident.  (This records series does not cover corrective actions against Barg. Unit 4 employees. Corrective action records are retained under separate records series, DAN #07-12-61646.) | **Retain** for 3 years after date issued  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 08-01-61714  Rev. 0 | ***Drug or Alcohol Test Results – Verified Negative***  Provides a record of verified negative drug or alcohol test results for drivers of heavy equipment or other vehicles, which require a commercial driver's license. (49*CFR*655.71(b)(3)) | **Retain** for 1 year after date of results  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 07-08-61400  Rev. 0 | ***Drug or Alcohol Test Results – Verified Positive***  Provides a record of verified positive drug or alcohol test results for drivers of heavy equipment or other vehicles, which require a commercial driver's license. (49*CFR*655.71(b)(1)) | **Retain** for 5 years after date of results  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 95-07-55598  Rev. 1 | ***Medical Disability Files for Active Employees***  Medical information related to a disability **not** related to worker's comp (non-duty claims); may include long-term disability; retirement; life insurance items; family medical leave; or shared leave. | **Retain** for 3 years after return to work  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 95-07-55600  Rev. 2 | ***Medical Retirement Files***  Provides a record of health-related retirements, life insurance waivers. (Employees retiring for medical reasons may apply for waivers of life insurance premiums.) | **Retain** for 60 years after termination of employment  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 78-10-21217  Rev. 2 | ***Medical, Supplemental Retirement Account (SRA), Life Insurance, and Long-Term Disability (LTD) Enrollment Forms Plus Beneficiary Designations***  Evidence of employee enrollment in medical, dental, supplemental retirement account, life insurance, and long-term disability plans. NOTE: For employees who retire and continue to participate in the medical insurance program, medical insurance plan records are sent to the WA Healthcare Authority in Olympia upon termination of employment. | **Retain** for 3 years after termination of employment  *then*  **Destroy, except as noted**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 17-06-69098  Rev. 0 | ***Medicare Data Match Secondary Payer Program***  Documents WSU submission of Data File to Medicare as required by *U.S. Code* Title 42. Also includes health care enrollment questionnaires which will be used by Medicare to ensure it has not paid health care claims inappropriately. | **Retain** for 6 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 78-10-21214  Rev. 3 | ***Retired Employee File/PERS***  Advise employee on retirement matters. | **Retain** for 60 years after termination of employment  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 78-10-21216  Rev. 2 | ***Retirement Supplementation File/TIAA-CREF***  Analysis of earnings and establishment of payments due to retiree. | **Retain** for 60 years after termination of employment  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 02-10-60467  Rev. 0 | ***Training Report (WSU 1308)***  Record of each employee's training sessions. Used to enter employee training information into the Employee Training History Files database. | **Retain** for 1 year after data entry and verification  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 02-09-60408  Rev. 0 | ***Workers' Compensation Claim Database***  Electronic database used to provide workers' compensation claims data to the office of Risk Management Services and the office of Environmental Health and Safety for completion of various reports, such as year-end reports to WA Risk Management and the Occupational Health and Safety Administration (OSHA). | **Retain** for 5 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 3310: Faculty Senate | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 92-10-51361  Rev. 1 | ***Faculty Case Files***  Provides record of faculty complaint adjudication and investigation.  *Note: Records are held by the Faculty Status Committee.* | **Retain** for 5 years after case resolved  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 75-02-09325  Rev. 1 | ***Faculty Senate Files***  Provides a record of correspondence, reports, and documentation related to Faculty Senate activities and actions for history and planning. Includes official Senate meeting minutes; standing committee materials and actions; agendas, correspondence, memos, and reports; ballots for Senate officers and committee members; rosters of Senators with a constituency and other demographic detail (used for eligibility for Senate). | **Retain** permanently. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 92-10-51363  Rev. 1 | ***Faculty Status Committee Annual Report***  Provides a summary of the activities of the Faculty Status Committee for a given academic year. | **Retain** for 25 years after end of academic year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |
| 85-09-36079  Rev. 0 | ***Tenure and Promotion File***  The criteria for tenure and promotion consideration of the academic unit located in a neutral, central location to be accessible to anyone. | **Retain** permanently. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 3500: Washington Animal Disease Diagnostic Laboratory | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 83-06-32315  Rev. 0 | ***Daily Log Book***  Provides a record of daily activity of the laboratory. Includes summary of details of cases, e.g., veterinarian, owner, tests performed, species, etc. | **Retain** permanently. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 4050: University Recreation | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 98-06-58517  Rev. 1 | ***Climbing Wall Certification Records***  Documentation of user attendance in and satisfactory completion of a climbing gym training session. | **Retain** for 3 years after superseded or obsolete  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 98-06-58507  Rev. 1 | ***Disciplinary Action Reports***  Provides a record of disciplinary actions taken by the University Recreation, the Office of Student Conduct, or the Sport Club Council regarding violations by sport clubs of the WSU Standards of Conduct for Students, or University Recreation or Sport Club Federation standards. | **Retain** for 6 years after action taken  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 98-06-58521  Rev. 1 | ***Non-Credit Class Sign-Up Forms***  Provides a record of participants in Outdoor Recreation Center (ORC)-sponsored non-credit classes. | **Retain** for 3 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 98-06-58519  Rev. 1 | ***Outdoor Recreation Center Group Equipment Responsibility Agreement***  Provides a record of user agreement to accept responsibility for Outdoor Recreation Center (ORC) equipment for the activity in which the user is participating. | **Retain** for 3 years after termination of activity  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 98-05-58485  Rev. 1 | ***Recreation Class Logs***  Lists of people who have signed up for various recreation classes. Includes when they signed up and how much they paid. | **Retain** for 6 years after end of academic year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 98-06-58514  Rev. 2 | ***Sport Club Constitutions and Officer Files***  Documents constitutional agreements and bylaws and records of students chosen to serve as officers for each sport club in the Sport Club Federation. | **Retain** until superseded  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 98-06-58522  Rev. 2 | ***State Parks and Recreation Department Records***  Provides a record of participant registration in State Parks and Recreation Department skiing activities. Includes Idaho State Parks and Rec. Dept. Park N' Ski records and receipts and Washington State Parks and Rec. Dept. Sno-Park records; both of which ORC is an authorized dealer. | **Retain** for 2 years after end of fiscal year  then  **Destroy.** | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 4080: WSU Prosser, Irrigated Agriculture Research and Extension Center (IAREC) | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 76-05-17272  Rev. 1 | ***Weather Data***  Provides a record of daily weather conditions. | **Retain** for 20 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 4110: WSU Mount Vernon NW Washington Research and Extension Center | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 76-05-17237  Rev. 1 | ***Plot Plans***  Documents location, type of product, when planted, etc. | **Retain** for 5 years after last activity  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |

| 4120: WSU Wenatchee Tree Fruit Research and Extension Center | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 76-05-17280  Rev. 0 | ***Annual Report of Equipment and Method of Harvest***  Review of activities. | **Retain** for 10 years after end of calendar year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |
| 76-05-17281  Rev. 0 | ***Annual Report of Fruit Frost***  Review of conditions. | **Retain** for 10 years after end of calendar year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |
| 76-05-17284  Rev. 0 | ***Western Cooperative Spray Project***  Minutes, correspondence, reports on activities. | **Retain** for 10 years after end of calendar year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |

| 4140: Cougar Health Services | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 03-02-60434  Rev. 0 | ***C-II Drug Order Report (DEA [Drug Enforcement Administration] Form 222)***  Form submitted to the Drug Enforcement Administration (DEA) to report the order of Schedule II controlled substances (C-II). Records of controlled substance orders and dispensations are used along with annual inventory reports to keep track of controlled substances, as per DEA regulations. (21*CFR*1304.4)  (Note: State Records Committee has determined federal retention requirements give precedence over state requirements (OPR/6 yr).) | **Retain** for 2 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 03-02-60435  Rev. 0 | ***Explanation of Benefits***  Informational forms sent to Health and Wellness Services from medical insurance companies summarizing what claims were paid, how much was paid, and any reasons for denial or closure of claims. Includes summary information concerning billed and paid claims, as well as Labor and Industries and Department of Social and Human Services claims. NOTE: These are *not* billing documents. | **Retain** for 2 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 03-02-60436  Rev. 0 | ***Invoices for Controlled Substances***  Provides a record of purchases of controlled substances from drug wholesalers. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 03-02-60437  Rev. 0 | ***Narcotic Inventory Annual Report***  Provides a record of the annual inventory of controlled substances held by Health and Wellness Services. (21*CFR*1304.4)  *Note: State Records Committee has determined federal retention requirements give precedence over state requirements (OPR/6 yr).* | **Retain** for 2 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 03-02-60438  Rev. 0 | ***Patient Safety Closure Exemption***  Provides a record of patient request for prescription drugs to be dispensed in a non-child-resistant package (with easy-open lid). (*WAC* 246-869-230) | **Retain** for 6 years after date of request  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 00-08-59833  Rev. 0 | ***Pharmacy Records***  Provides a record of the receipt and disposition of legend drugs. (*RCW* 69.41.042) | **Retain** for 2 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-10-62781  Rev. 0 | ***Prescription Records***  Provides a record of prescribed patient medication. Includes records regarding original prescriptions, refill prescriptions, refill prescription authorizations, prescription refill limitations, prescription copies, prescription labels, and emergency refills.  Requirement to retain records in accordance with Department of Health rules, *WAC* 246-869-100, and Department of Social and Health Services (DSHS) rules, *WAC* 388-530-5000 and *WAC* 388-502-0020. Length of retention chosen to meet the longer retention requirements in DSHS rules regarding Medicaid and Medicare records, *WAC* 388-502- 0020. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |

| 4280: Summer Session | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 04-06-60701  Rev. 0 | ***Course Database***  Provides a record of course information submitted by departments. | **Retain** for 5 years after end of summer session  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 04-06-60702  Rev. 0 | ***Excess Revenue Sharing Report***  Provides a record of excess summer session revenue shared with colleges participating in summer session. | **Retain** for 10 years after end of summer session  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 04-06-60704  Rev. 0 | ***Student Registration and Payment Problem File***  Provides a record of registration errors and payment problems and corrections. | **Retain** for 6 years after end of summer session  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 04-06-60706  Rev. 0 | ***Summer Session Planning Guide***  Provides a record of planning information for the coming summer and statistical information regarding the previous summer. Much of the data is derived from the course database. | **Retain** for 20 years after end of summer session  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |

| 5800: WSU Children’s Center | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 07-12-61640  Rev. 0 | ***Applications for Admission – Cancelled Applications***  Provides a record of applications for children who did not enroll in and attend the Children's Center. | **Retain** until end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 92-01-49653  Rev. 2 | ***Children's Personal File***  Record of the children who attend the Center. Includes information about the child's immunology record, general health, attendance, parents' schedule and location, emergency information, and permission forms. (*WAC* 110-300A-7010(4)) | **Retain** for 5 years after no longer enrolled  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 92-01-49650  Rev. 2 | ***Children's/Staff Schedules***  Provides a record of scheduled attendance for children and staff members. Used to verify staff/child ratios, as per DSHS licensing requirements. (*WAC* 110-300A-7030(3), 110-300A-7032(3)(f), and 110-300A-7080) | **Retain** for 5 years after no longer enrolled  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 92-01-49654  Rev. 1 | ***Department of Social and Health Services License***  Copy of license to operate childcare center. (License is effective for 3 yrs. from date of issue.) | **Retain** until superseded  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 07-12-61644  Rev. 0 | ***Department of Social and Health Services Vouchers***  Copies of vouchers issued by employers to employees to cover a predetermined amount or a percentage of the total cost of child care at a licensed child care facility, in accordance with the DSHS Child Care Advantages program. | **Retain** for 3 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 92-01-49657  Rev. 2 | ***Tuberculosis (TB) Test Results/Hepatitis B (HEP B) Immunization Records***  Provides a record of TB test results for each Children’s Center employee and Hepatitis B immunizations for full-time staff. (*WAC 110-300-0115)* | **Retain** until termination of employment or until superseded  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 92-01-49652  Rev. 1 | ***USDA Child Care Food Program Contracts/Supporting Data***  Record of menus, attendance, records, income statements, claims for reimbursement, daily meal count, copy of the contract, operating expenses, and USDA statements of reimbursements. (7 *CFR* 226.6 and 7 *CFR* 226.11) | **Retain** for 3 years after termination of contract  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 6030: University Publishing/Cougar Copies | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 86-12-39170  Rev. 1 | ***Copyright Certificates***  Provides a record of ownership for published works. | **Retain** for 6 years after termination of copyright  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OPR |
| 04-06-60707  Rev. 0 | ***Copyright Permission Documentation***  Provides a record of signatures from clients declaring that they are in compliance with copyright laws. (17*USC*507) | **Retain** for 5 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 6810: Internal Audit Office | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 04-06-60695  Rev. 2 | ***Advisories***  Working files containing reports, internal memoranda, correspondence, work papers, and other material related to assistance provided by Internal Audit to WSU employees and units to resolve minor internal department or ethics situations. Includes correspondence related to ethics questions received by Internal Audit and internal reviews performed as management requests. These reviews and correspondence do not reach the action level of internal audit reports. | **Retain** for 3 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 6900: Academic Outreach and Innovation – WSU Online Administration | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 00-12-60008  Rev. 1 | ***Complaint and Dispute Letters***  Provides a record of letters from students contesting grades, lodging complaints about program services or tuition payment issues, or other petition requests. | **Retain** for 5 years after issue resolved  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 6910: Academic Outreach and Innovation – Conference Management | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 88-04-41994  Rev. 1 | ***Continuing Education Unit Records***  Provides documentation of CEU credits offered and received by conference participants. | **Retain** permanently. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |

| 6960: Environmental Health and Safety (EH&S) | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 10-12-62312  Rev. 0 | ***Asbestos Air Sampling Records***  Provides a record of samples taken of air from University buildings and work areas to determine if employees have been exposed to asbestos. (*WAC* 296-62-07727(7-8)) | **Retain** for 70 years after sampling date  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 00-08-59865  Rev. 0 | ***Asbestos Bulk Sampling Records***  Provides a record of samples taken of materials from University buildings and work areas to determine the presence of asbestos. (*WAC* 296-62-07727(7-8)) | **Retain** until demolition of building  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 00-08-59869  Rev. 2 | ***Confined Space Form***  Confined space entry form that documents how hazards are controlled to authorize employee ingress, egress, and work in a confined space, as defined in WAC 296-809. | **Retain** for 1 year after permit cancelled  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 00-07-59778  Rev. 2 | ***Hazardous, Chemical, and Mixed Waste Shipment, Treatment, and Disposal Records***  Provides a record of shipments to, and storage, treatment, and disposal of hazardous, chemical, and mixed wastes. Includes records of shipments to and storage of hazardous waste generated by WSU at the MARCO of IOTA (not an acronym) Superfund site (shipments to this site stopped on July 1996); chemical waste type, location, date, treatment and disposal method; underground storage tank installation, tank tightness testing, tank removal, site assessment reports (e.g., analytical, etc.), tank shipment; Uniform Hazardous Waste Manifests; Land Disposal Restriction forms and waste profiles; Chemical Collection Requests (WSU1280) associated with site contamination; and mixed waste shipments to Quadrex (a disposal facility) and other mixed waste treatment/disposal facilities. (Length of retention period per generator liability outlined in *WAC* 173-303-170, *WAC* 173-303-210, 40*CFR*304.12, 40*CFR*35.6015, 40*CFR*35.6705, 42*USC*103.9603, 42*USC*103.9607) | **Retain** for 50 years after date of shipment or treatment  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 00-07-59774  Rev. 1 | ***Hazardous Waste Program Development Records***  Provides a record of the federal Environmental Protection Agency (EPA) and Washington Department of Ecology (Ecology) hazardous waste facility inspections, citations, and WSU responses. These records comply with EPA and Ecology regulations regarding waste program development. (See also record series titled "Safety Infractions, Citations, Violations, and Correspondence" [DAN #78-10-21224].) | **Retain** for 10 years after date of inspection  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 00-08-59872  Rev. 0 | ***Laser Program Survey***  Provides a record of survey of locations and classifications of lasers located on WSU campuses. (21*CFR*1002.31) | **Retain** for 5 years after date of survey  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 10-12-62313  Rev. 1 | ***Lead Sampling Records***  Provides a record of samples taken of air and materials from University buildings, work areas, and equipment to determine if employees have been exposed to lead. (*WAC* 296-155-17609(3)(a), *WAC* 296-155-17629(4)(a)) | **Retain** for 70 years after sampling date  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 00-07-59780  Rev. 2 | ***Model Toxic Control Act (MTCA) Records***  Provides a record of Washington Department of Ecology (Ecology) correspondence, site assessment, and waste management records.  (Length of retention period per generator liability outlined in *WAC* 173-303-170, *WAC* 173-303-210, 40*CFR*304.12, 42*USC*103.9603, 42*USC*103.9607) | **Retain** for 50 years after completion of site remediation  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 10-12-62315  Rev. 1 | ***Noise Exposure Records***  Records pertaining to noise exposure and hearing loss in University work locations. Includes, but is not limited to:  Employee Audiometric Test, which provides a record of employee audiometric tests to determine hearing loss. (*WAC* 296-817-20040, *WAC* 296-802-200, *WAC* 296-802-20005) and noise assessment records. (*WAC* 296-817) | **Retain** for 70 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 78-10-21224  Rev. 2 | ***Safety Infractions, Citations, Violations***  Report and EH&S correspondence with the Washington Department of Labor and Industries (L&I) related to inspections, violations, infractions, and citations. | **Retain** for 10 years after completion of correspondence regarding inspection, violation, infraction, or citation  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |
| 00-07-59782  Rev. 3 | ***WSU Part B Hazardous Waste Permit Records***  Provides a record of closures and visual site inspections (VSI) for Part B permits issued by state and federal agencies to allow storage of hazardous wastes. (Length of retention period per generator liability outlined in *WAC* 173-303-170, *WAC* 173-303-210, 40*CFR*304.12, 42*USC*103.9603, 42*USC*103.9607) (See also the following series: Hazardous, Chemical and Mixed Waste Shipment, Treatment, and Disposal Records [DAN #00-07-59778 Rev. 1], and Model Toxic Control Act (MTCA) Records [DAN #00-07-59780].) | **Retain** for 50 years after date of permit  *then*  **Destroy**. | NON-ARCHIVAL  **ESSENTIAL**  **(for Disaster Recovery)**  OPR |

| 6990: Transportation Services | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 02-10-60451  Rev. 0 | ***Parking License Records***  Provides a record of vehicle license information for service and temporary permits issued and non-permit parking violators. | **Retain** for 2 years after administrative purpose served  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 84-10-34646  Rev. 2 | ***Parking Violations and Appeals Records***  Documents the violations issued and the appeals from fines. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |

| 7210: Government Relations | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 00-10-59931  Rev. 0 | ***Federal Lobbying Activity Quarterly Report (WSU 1366)***  Provides a record of contacts between University personnel and federal officials, such as Members of either House of Congress, high-level executive branch officials, or high-level federal agency officials. (2*USC*1605) | **Retain** for 6 years after end of semiannual period  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |

| 7220: Cougar Card Center | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 17-06-69054  Rev. 0 | ***Biometric Profile Data***  Provides a record of mathematical representation of fingerprints or other unique physical characteristics (also referred to as "biometric profile") used for identity verification to support physical security (e.g., door access) and fiscal transaction (e.g., purchases from campus vendors) systems. The biometric profile data system is ancillary to the card system used for door access and fiscal transactions.  Excludes card system records covered by *Authorization – Building/Facility Access (DAN GS 25001)* and *Authorization – Systems/Telecommunications Access (DAN GS 14012)*. | **Retain** for 3 months after related card account/access is terminated  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| (7840): Admissions and Registration, WSU Tri-Cities | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 96-02-56469  Rev. 0 | ***Loan Deferment Forms***  Provides a record of approved deferments. | **Retain** until end of term  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 96-02-56470  Rev. 0 | ***Registration Forms***  Provides a record of class registration, includes drop/add forms. | **Retain** for 5 years after last activity  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 96-02-56471  Rev. 0 | ***Registration Statistics***  Provides a summary record of registration figures for each term. Summarizes enrollment by program and budget within program. | **Retain** for 20 years after end of term  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 8316: WSU Spokane – Pharmacotherapy (Drug Information Center) | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 10-07-62268  Rev. 0 | ***Drug Information Center Request***  Provides a record of requests for information about various drugs, including sources checked, computer searches, response given, date and method of response, names of researchers and respondents. Requested retention is in accordance with the "Purpose—Findings—Intent—2006" statement at the end of *RCW* 4.16.350, where it states that the legislature recognizes an eight-year statute of repose on medical malpractice suits. | **Retain** for 8 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 8319: Office of Commercialization | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 98-05-58476  Rev. 0 | ***Technology Case Files***  Records documenting each invention disclosure. Each file contains all the paperwork associated with the invention, including patents, license agreements, and miscellaneous agreements. | **Retain** for 6 years after case closed  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |

| 8327: Housing and Residence Life | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 83-06-32429  Rev. 0 | ***Case Summary File***  Provides a record of circumstances relating to medical, psychological, or disciplinary problems encountered by students. Includes Case Summary forms and related correspondence. Confidential--supervised destruction. | **Retain** for 5 years after end of academic year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 83-06-32431  Rev. 0 | ***Permission to Release Grades and Membership Lists***  Provides a record of students' authorization to release grades to specified individuals. | **Retain** for 1 year after end of academic year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 83-06-32427  Rev. 1 | ***Room Inventory Assessment***  Provides a record of students' evaluation of living conditions and services provided by the University. | **Retain** for 5 years after end of academic year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 8346: Integrated Media Services | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 02-01-60335  Rev. 1 | ***Academic Media Service (AMS) Statistics***  Provides a log of video conference and room usage.  (NOTE: Retention length due to functional use of the information by the department.) | **Retain** for 6 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 8373: Washington State Pest Management Resource Service | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 11-02-62361  Rev. 0 | ***Experimental Pesticides – Application and Use Records***  Provides a record of the application and use of experimental pesticides by research personnel from WSU and other state agencies. Includes all resulting collected data and reports regarding the methods used to destroy the treated crops and/or food.  Length of retention is in accordance with *WAC* 16-228-1320(3). | **Retain** for 7 years after date of application or experimental use  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 11-02-62362  Rev. 0 | ***Pesticide Labels***  Provides a record of pesticides registered with the Washington State Department of Agriculture (WSDA). Each label includes material ingredients, specific directions for use, and name of manufacturer which registered the pesticide with the WSDA. Pesticide labels are legal documents, serving much as legally binding contracts between the registrant and the user. | **Retain** for 7 years after date of last use  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |

| 8388: Energy Program | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 93-04-52259  Rev. 2 | ***Bonneville Power Administration, Electric Ideas Clearinghouse, Program Files***  Provides a record of Clearinghouse program files, including: original referral directory application forms that provide client approval to be listed in the referrals directory electronic database; chronological correspondence files; telephone billing and summary reports; Clearinghouse program reports; and Clearinghouse mailing lists and documentation. | **Retain** for 4 years after termination of participation  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 91-12-49504  Rev. 2 | ***Bonneville Power Administration (BPA), Project Design Assistance Working Files***  Provides documentation of contacts with commercial developers and their design teams, phone conversations with building developers, design assistance with contractors and Bonneville Power Administration. Copies of task orders and correspondence, used by Energy Program staff to answer public inquiry and requests for information. | **Retain** for 4 years after termination of project  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 80-05-24863  Rev. 1 | ***Building Energy Consumption Performance Standards***  Records notes on general content of Building Energy Performance Standards critique. | **Retain** for 3 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 91-02-47406  Rev. 2 | ***District Heating Planning and Development***  Documents Energy Program's role in promoting district heating processes to potential users. It includes district heating feasibility studies, publications and reports of implementation of district heating plans. | **Retain** for 15 years after end of calendar year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OPR |
| 92-06-50663  Rev. 2 | ***Energy Edge, Program Activity History Files***  Provides documentation on program activity and data information of Energy Program sponsored buildings under BPA's regional program investigating cost effective energy conservation measures for new commercial buildings. | **Retain** for 8 years after close of program  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |
| 99-08-59239  Rev. 0 | ***Energy Program/Power Washington Settlement Files***  Provides a record of the Power Washington/Washington State Energy Office (WSEO) portion of a settlement with Exxon Corp., as a result of a lawsuit brought against Exxon by the Department of Energy (DOE). Distribution of settlement monies was transferred to the Energy Program from the WSEO, effective July 1998. File includes background information, settlement papers, and budget planning for the portion of monies redistributed to the Energy Program as part of the settlement. | **Retain** for 25 years after completion of settlement  *then*  **Hold** until release permission obtained from DOE (per 10 *CFR* 210.1(5)  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 80-04-24639  Rev. 2 | ***Geothermal Development and Planning***  Provides detailed information on geothermal legislation, research, development and planning. Lists location of geothermal exploration. Records interaction between the Oregon Institute of Technology and the Energy Program. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 91-02-47405  Rev. 2 | ***Geothermal Resources, Research and Development – State, National, and International***  Provides a comprehensive view of northwest, national, and international geothermal resources and development. Includes feasibility studies, reports, and planning studies that identify areas of potentials exploration and development of geothermal resources. | **Retain** for 15 years after end of calendar year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OPR |
| 93-07-52592  Rev. 2 | ***Manufactured Housing Acquisition Program (MAP), In-Plant Checklists and Approval Notices***  These files provide documentation from Washington Mobile Home Manufacturers regarding building specifications, check lists, and building serial numbers. This data is required by contract to ensure MAP certification, and is also used to compile quarterly reports as part of the Bonneville Power Administration's Super Good Cents program. | **Retain** for 7 years after close of program  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 92-01-49649  Rev. 2 | ***Northwest Energy Code Program Files***  These files document the inquiries by builders and building officials regarding questions concerning the Northwest Energy Code. Includes duplicate summary reports of the number of calls received, sent to the International Conference of Building Officials. | **Retain** for 2 years after close of contract  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |
| 19-06-69371  Rev. 0 | ***Renewable Energy System Incentive Program Applications***  Provides a record of new or renewed applications received for participation in the Renewable Energy System Incentive program. | **Retain** until end of calendar year 2032 or for 3 years after close of program  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 19-06-69372  Rev. 0 | ***Renewable Energy System Incentive Program History Files***  Provides documentation on energy production and other data collected relating to the program. | **Retain** until end of calendar year 2032 or for 3 years after close of program  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |
| 90-10-46798  Rev. 1 | ***United States, Western Regional Energy Policy***  Provides a record of technologies for conserving energy in Washington State. | **Retain** until superseded  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |
| 81-02-27269  Rev. 3 | ***Washington State Energy Code Development, Evaluation, and Finalization Materials***  Documents the Energy Program's efforts and role in the creation and evaluation of the Washington State Energy Code and the final adoption of the Code by the Legislature. Includes documentation of: all actions and proceedings involved in Code creation; the Energy Program's role in the distribution of the instruction manual and the Code to all interested parties; efforts to assist in technical evaluation of the Code; and inquiries by builders, building officials, and general public regarding questions concerning the Code on Washington Ventilation and Indoor Air Quality Codes. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 90-10-46797  Rev. 1 | ***Washington State Energy Consumption and Production***  Provides historical statistics of the consumption and production of all fuels by Washington State. | **Retain** until superseded  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM |

| 8401: Business Services – University Receivables/Cashier | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 79-11-23873  Rev. 2 | ***Authority for Guarantees***  Record of correspondence from departments requesting guarantees of fees on student accounts. | **Retain** for 6 years after tuition expiration  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 79-11-23876  Rev. 1 | ***Cancellation of Enrollment***  Support for cancellation without refund. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 79-11-23884  Rev. 2 | ***Guarantee Account Files***  Provides a record of transactions to and from guarantee accounts. Includes working files for guarantee payments. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |

| 8535: Risk Management Services | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 78-10-21220  Rev. 2 | ***Accident Report File/Auto and General Liability***  Copies of claims and actions taken. | **Retain** for 3 years after claim resolved  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 09-09-62106  Rev. 0 | ***Administrative Claims Files***  Provides a record of claims by the University against a federal agency, e.g., FEMA. (Length of retention is in accordance with National Archives and Records Administration General Records Schedule 6, item 10: Administrative Claims files). | **Retain** for 6 years and 3 months after claim resolved  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 00-08-59838  Rev. 1 | ***Insurance Policies – Fire and Property/Casualty***  Copies of insurance policies to insure against fire and other hazards. | **Retain** for 6 years after termination of policy  *or*  3 years after final claim under policy has been resolved,  *whichever is later,*  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 00-08-59839  Rev. 1 | ***Insurance Policies – Nuclear and General Liability***  Copies of nuclear and general liability policies | **Retain** for 10 years after termination of policy  *or*  3 years after final claim under policy has been resolved,  *whichever is later*,  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 00-08-59840  Rev. 0 | ***Vehicle Accident Loss Reserve Fund File***  Provides a record of annual premiums submitted by departments for deposit into the Vehicle Accident Loss Reserve Fund and money allocated to pay for repairs to University vehicles damaged in accidents and the administrative costs of processing vehicle-related claims. | **Retain** for 6 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |

| 8569: WSU Spokane – Mathematics, Engineering, Science Achievement (MESA) Program | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 07-08-61551  Rev. 1 | ***Minor Student Activity Records***  Primary copy of the record of school, grade, and contact information for 7th thru 10th grade students participating in Mathematics, Engineering, Science Achievement (MESA) program. | **Retain** for 5 years after end of academic year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |

| 8605: Office of Research Assurances | | | |
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| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** |
| 00-08-59866  Rev. 0 | ***Biological Safety Cabinet Evaluation and Monitoring***  Provides a record of evaluation, certification, and monitoring of biological safety cabinets. (32*CFR*627.7(g)) | **Retain** for 3 years after end of fiscal year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 00-08-59870  Rev. 1 | ***Federally-Required Presidential Committee Records – Grant or Contract Involved***  Provides a record of protocols and reports generated by federally-mandated University President’s committees, i.e., Institutional Biosafety Committee (IBC), Institutional Review Board (IRB), and Institutional Animal Care and Use Committee (IACUC) regarding research conducted on WSU campuses. May include names, classifications, and locations of biohazardous materials, e.g., infectious agents, oncogenic (tumor-causing) viruses, and recombinant DNA; records regarding the use of human subjects; and records regarding animal use. NOTE: This records series applies to research proposals that involve grants or contracts. (40*CFR*725.65, 40*CFR*725.950) | **Retain** for 3 years after grant or contract ended  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 09-10-62141  Rev. 1 | ***Federally-Required Presidential Committee Records – No Grant or Contract Involved***  Provides a record of protocols and reports generated by federally-mandated University President’s committees, i.e., Institutional Biosafety Committee (IBC), Institutional Review Board (IRB), and Institutional Animal Care and Use Committee (IACUC) regarding research conducted on WSU campuses. May include names, classifications, and locations of biohazardous materials, e.g., infectious agents, oncogenic (tumor-causing) viruses, and recombinant DNA; records regarding the use of human subjects; and records regarding animal use. NOTE: This records series applies to research proposals that do not involve grants or contracts. (40*CFR*725.65, 40*CFR*725.950) | **Retain** for 3 years after research project completed  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |

1. LEGACY RECORDS

This section covers records no longer being created/received by Washington State University, but the existing records have not yet reached their minimum retention period.

| **DISPOSITION AUTHORITY NUMBER (DAN)** | | **DESCRIPTION OF RECORDS** | **RETENTION AND**  **DISPOSITION ACTION** | **DESIGNATION** | |
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| 07-12-61639  Rev. 1 | | ***Accident Insurance Coverage – Children***  Provides a record of accident insurance coverage held by parents for each child attending the Children's Center.  *Note: The WSU Children's Center (office #5800) requested that the Accident Insurance Coverage – Children record series be discontinued in 2011.* ***WSU Children's Center is responsible for maintaining Accident Insurance Coverage – Children files until the end of the stated retention period.*** *(Series to be removed from the department's schedule after December 31, 2032.)* | | **Retain** for 3 years after age of majority (age 18)  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM |
| 11-02-62417  Rev. 2 | | ***CAFO (Concentrated Animal Feeding Operations) Permit Records***  Provides a record of permits issued by the state Department of Ecology (Ecology) to operate concentrated animal feeding operations. Requirement to retain records and length of retention is in accordance with Ecology's Concentrated Animal Feeding Operation (CAFO) National Pollution Discharge Elimination System (NPDES) and State Waste Discharge General Permit, section S4A.  *Note:* ***Environmental Health and Safety (office #6960) is responsible for maintaining the CAFO (Concentrated Animal Feeding Operations) Permit Report files until the end of the stated retention period.*** *(Series to be removed from the EH&S schedule after December 31, 2021.)* | | **Retain** for 5 years after permit terminated  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 98-09-58734  Rev. 1 | | | ***Field Interview Reports***  Provides a record of police officers' field contacts with individuals suspected of illegal acts.  *Note: This records series is discontinued, effective December 31, 2016.* ***The WSU Police Department (office #3040) is responsible for retaining any existing records until the end of the stated retention period. )*** *Series to be removed from the department's schedule after December 31, 2022.)* | **Retain** for 6 years after end of calendar year  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 84-03-33620  Rev. 2 | | ***General/Educational Development Tests***  Provides a record of high school equivalency test results. Includes equivalency certificates.  *Note: Cougar Health Services (office #4140) is responsible for maintaining existing general/educational development tests files until the end of the retention period (permanent retention).* | **Retain** permanently. | NON-ARCHIVAL  NON-ESSENTIAL  OFM | |
| 98-10-58855  Rev. 2 | | ***Grade Distribution Reports***  Statistical compilation of information in grade books and grade cards, including mean/median/mode, average GPA, pass/fail, and high/low grade statistics.  *Note: This records series was discontinued, effective March 2005. (Discontinuance approved by State Records Mgmt. on 8/22/05.)* ***The office of the Registrar (office #2970) is responsible for maintaining existing records until the end of the retention period, then transferred to Archives.*** *(The series will be removed from the Registrar retention schedule after June 30, 2055.)* | **Retain** for 50 years after end of fiscal year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM | |
| 09-10-62126  Rev. 0 | | ***Individual Scoring Files***  Provides a record of judges' review of participant projects. Used to determine award of cash prizes at the end of the program session. This records series includes the scoring sheet forms, total scores website, and database file with comments.  *Note: This records series was discontinued, effective May 2018. The Voiland College of Engineering and Architecture is responsible for maintaining existing records until the end of the retention period. (The series will be removed from the Conference Mgmt.—Imagine Tomorrow Program after May 31, 2024.)* | | **Retain** for 6 years after date of award  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR |
| 91-04-47850  Rev. 2 | | ***School Nurse Certification***  Existing records of students who completed the certification process through ICNE will be retained. Beginning January, 1995, Olympia will be handling SN certification.  *Note: Responsibility for school nurse certification was moved to Office of the Superintendent of Public Education in Olympia, effective January 1995.* ***The College of Nursing is responsible for maintaining existing school nurse certification files until the end of the retention period.*** *(The series will be removed from the College of Nursing retention schedule after January 2025.)* | **Retain** for 30 years after completion or withdrawal  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OFM | |
| 09-07-62064  Rev. 0 | | ***Student Participant Health Form (18 Years or Over)***  Provides a record of health information related to student participants 18 years or over in the University event/activity. May include disclosures of pertinent health information regarding diseases and/or health conditions that would limit the student's physical ability. (NOTE: All documentation which includes health information must be maintained in a secure and confidential manner.)  *Note: This records series was discontinued, effective May 2018. The Voiland College of Engineering and Architecture is responsible for maintaining existing records until the end of the retention period. (The series will be removed from the Conference Mgmt.—Imagine Tomorrow Program after May 31, 2024.)* | **Retain** for 6 years after termination of participation in activity  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR | |
| 09-07-62065  Rev. 0 | | ***Student Participant Health Form (Under 18 Years)***  Provides a record of health information related to minor student participants in the University event/activity. May include disclosures of pertinent health information regarding diseases and/or health conditions that would limit the student's physical ability. (NOTE: All documentation which includes health information must be maintained in a secure and confidential manner.)  *Note: This records series was discontinued, effective May 2018. The Voiland College of Engineering and Architecture is responsible for maintaining existing records until the end of the retention period. (The series will be removed from the Conference Mgmt.—Imagine Tomorrow Program after December 31, 2028.)* | **Retain** for 6 years after student's 18th birthday  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR | |
| 02-11-60485  Rev. 1 | | ***Washington State University Administration of Scientific Personnel Authorization to Extend Temporary Appointment***  Used by the OGRD's ASP section to authorize the extension of participants to work past their original appointment at INEEL. Participants in this program are employees of WSU and work at the INEEL under a contract with the INRA.  *Note: The INEEL project ended in 2013. The* ***Office of Research, Support, and Operations (ORSO) is responsible for maintaining the Washington State University Administration of Scientific Personnel Authorization to Extend Temporary Appointment records until the end of the retention period. (****The series will be removed from the ORSO retention schedule after December 31, 2019.)* | **Retain** for 6 years after termination of employment  *then*  **Destroy**. | NON-ARCHIVAL  NON-ESSENTIAL  OPR | |
| 75-11-16035  Rev. 3 | | ***WSU, U of A, MSU, U of I (WWAMI), UW, and U of Wyoming Files***  Documents cooperative basic medical education program of the Pacific northwestern states.  *Note: The WWAMI Program was discontinued effective April 2015 and replaced by the Elson S. Floyd College of Medicine.* ***The Elson S. Floyd College of Medicine is responsible for maintaining the WWAMI program files until the end of the retention period, then transferring the records to Archives.*** *(The series will be removed from the College of Medicine retention schedule after June 30, 2020.)* | **Retain** for 5 years after end of fiscal year  *then*  **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL**  **(Appraisal Required)**  NON-ESSENTIAL  OFM | |

glossary

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| ***Appraisal*** |
| **The process of determining the value and disposition of records based on their current administrative, legal and fiscal use; their evidential and informational or research value; and their relationship to other records.** |
| ***Archival (Appraisal Required)*** |
| **Public records which may possess enduring legal and/or historic value and must be appraised by the Washington State Archives on an individual basis.**  *Public records will be evaluated, sampled and weeded according to archival principles by archivists from Washington State Archives (WSA). Records not selected for retention by WSA may be disposed of after appraisal.* |
| ***Archival (Permanent Retention)*** |
| **Public records which possess enduring legal and/or historic value and must not be destroyed. State government agencies must transfer these records to Washington State Archives (WSA) at the end of the minimum retention period.**  *WSA will not sample, weed, or otherwise dispose of records fitting the records series description designated as “Archival (Permanent Retention”) other than the removal of duplicates.* |
| ***Disposition*** |
| **Actions taken with records when they are no longer required to be retained by the agency.**  *Possible disposition actions include transfer to Washington State Archives and destruction.* |
| ***Disposition Authority Number (DAN)*** |
| **Control numbers systematically assigned to records series or records retention schedules when they are approved by the State Records Committee.** |

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| ***Non-Archival*** |
| **Public records which do not possess sufficient historic value to be designated as “Archival.” Agencies must retain these records for the minimum retention period specified by the appropriate, current records retention schedule.**  *Agencies should destroy these records after their minimum retention period expires, provided that the records are not required for litigation, public records requests, or other purposes required by law.* |
| ***Non-Essential Records*** |
| **Public records which are not required in order for an agency to resume its core functions following a disaster, as described in Chapter 40.10 RCW.** |
| ***OFM (Office Files and Memoranda)*** |
| **Public records which have been designated as “Office Files and Memoranda” for the purposes of RCW 40.14.010.**  *RCW 40.14.010 – Definition and classification of public records.*  *(2) “Office files and memoranda include such records as correspondence, exhibits, drawings, maps, completed forms, or documents not above defined and classified as official public records; duplicate copies of official public records filed with any agency of the state of Washington; documents and reports made for the internal administration of the office to which they pertain but not required by law to be filed or kept with such agency; and other documents or records as determined by the records committee to be office files and memoranda.”* |
| ***OPR (Official Public Records)*** |
| **Public records which have been designated as “Official Public Records” for the purposes of RCW 40.14.010.**  *RCW 40.14.010 – Definition and classification of public records.*  *(1) “Official public records shall include all original vouchers, receipts, and other documents necessary to isolate and prove the validity of every transaction relating to the receipt, use, and disposition of all public property and public income from all sources whatsoever; all agreements and contracts to which the state of Washington or any agency thereof may be a party; all fidelity, surety, and performance bonds; all claims filed against the state of Washington or any agency thereof; all records or documents required by law to be filed with or kept by any agency of the state of Washington; … and all other documents or records determined by the records committee… to be official public records.”* |

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| --- |
| ***Public Records*** |
| **RCW** **40.14.010** *–* **Definition and classification of public records.**  *“… The term "public records" shall include any paper, correspondence, completed form, bound record book, photograph, film, sound recording, map drawing, machine-readable material, compact disc meeting current industry ISO specifications, or other document, regardless of physical form or characteristics, and including such copies thereof, that have been made by or received by any agency of the state of Washington in connection with the transaction of public business…”* |
| ***Records Series*** |
| **A group of records, performing a specific function, which is used as a unit, filed as a unit, and may be transferred or destroyed as a unit. A records series may consist of a single type of form or a number of different types of documents that are filed together to document a specific function.** |
| ***State Records Committee*** |
| **The committee established by RCW 40.14.050 to review and approve disposition of state government records.**  *Its four members include the State Archivist and one representative each from the Office of the Attorney General, Office of the State Auditor, and the Office of Financial Management.* |

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