**This schedule applies to: Eastern Washington University**

**Scope of records retention schedule**

This records retention schedule authorizes the destruction/transfer of the public records of the Eastern Washington University relating to the unique functions of the university. The schedule is to be used in conjunction with the *State Government General Records Retention Schedule (SGGRRS),* which authorizes the destruction/transfer of public records common to all state agencies.

**Disposition of public records**

Public records covered by records series within this records retention schedule (regardless of format) must be retained for the minimum retention period as specified in this schedule. Washington State Archives strongly recommends the disposition of public records at the end of their minimum retention period for the efficient and effective management of state resources.

Public records designated as “Archival (Permanent Retention)” must not be destroyed. Records designated as “Archival (Appraisal Required)” must be appraised by the Washington State Archives before disposition. Public records must not be destroyed if they are subject to ongoing or reasonably anticipated litigation. Such public records must be managed in accordance with the agency’s policies and procedures for legal holds. Public records must not be destroyed if they are subject to an existing public records request in accordance with chapter 42.56 RCW. Such public records must be managed in accordance with the agency’s policies and procedures for public records requests.

**Revocation of previously issued records retention schedules**

All previously issued records retention schedules to the Eastern Washington University are revoked. The Eastern Washington University must ensure that the retention and disposition of public records is in accordance with current, approved records retention schedules.

**Authority**

This records retention schedule was approved by the State Records Committee in accordance with RCW 40.14.050 on October 7, 2020.

|  |  |  |  |
| --- | --- | --- | --- |
| *Signature on File**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_***For the State Auditor:****Al Rose** | *Signature on File**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_***For the Attorney General:****Suzanne Becker** | *Signature on File**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_***For the Office of Financial Management:****Gwen Stamey** | *-**\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_***The State Archivist:** **Steve Excell** |

Revision History

|  |  |  |
| --- | --- | --- |
| Version | Date of Approval | Extent of Revision |
| 1.0 | December 6, 2017 | Major revision and consolidation of all existing disposition authorities. |
| 1.1 | October 7, 2020 | Minor revisions. |

For assistance and advice in applying this records retention schedule,

please contact the Eastern Washington University’s Records Officer

or Washington State Archives at:

recordsmanagement@sos.wa.gov

**TABLE OF CONTENTS**

[1. DEVELOPMENT AND OUTREACH 5](#_Toc50448289)

[1.1 DONATIONS/GIFTS 5](#_Toc50448290)

[1.2 FUNDRAISING 7](#_Toc50448291)

[1.3 STUDENT RECRUITMENT 8](#_Toc50448292)

[2. RESEARCH 9](#_Toc50448293)

[3. STUDENT ADMINISTRATION 12](#_Toc50448294)

[3.1 ADMISSIONS 12](#_Toc50448295)

[3.2 ENROLLMENT AND REGISTRATION 14](#_Toc50448296)

[3.3 GRADUATION 20](#_Toc50448297)

[3.4 MISCONDUCT 22](#_Toc50448298)

[3.5 TRANSCRIPTS 23](#_Toc50448299)

[4. STUDENT AND CAMPUS SERVICES 24](#_Toc50448300)

[4.1 ADVISING 24](#_Toc50448301)

[4.2 ATHLETICS 28](#_Toc50448302)

[4.3 DISABILITY SERVICES 33](#_Toc50448303)

[4.4 FINANCIAL AID 34](#_Toc50448304)

[4.5 FOOD SERVICES 37](#_Toc50448305)

[4.6 HEALTH SERVICES 38](#_Toc50448306)

[4.7 HOUSING SERVICES 40](#_Toc50448307)

[4.8 POLICE AND PARKING SERVICES 41](#_Toc50448308)

[5. TEACHING AND LEARNING 53](#_Toc50448309)

[5.1 ACCREDITATION 53](#_Toc50448310)

[5.2 ASSESSMENT/EVALUATION 54](#_Toc50448311)

[5.3 CLASS SCHEDULING 60](#_Toc50448312)

[5.4 CURRICULUM DEVELOPMENT 61](#_Toc50448313)

[6. LEGACY RECORDS 62](#_Toc50448314)

[glossary 63](#_Toc50448315)

[INDEXES 66](#_Toc50448316)

1. DEVELOPMENT AND OUTREACH

The function of developing the institution and its outreach to its community. Excludes records of the EWU Foundation, a privately governed non-profit corporation.

| * 1. DONATIONS/GIFTS

The activity of receiving gifts and donations. |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 17-12-69157Rev. 1 | ***Donations/Gifts – Assets***Records documenting non-cash donations, gifts, and sponsorships made directly to the University. Includes, but is not limited to:* Asset provenance and acquisition records;
* Correspondence/communications to and from donor;
* Gifts and donations checklist;
* Terms and agreements of the contribution made.

Examples of donated assets include:* Gallery/museum items and collections.

Excludes donations made to the EWU Foundation. | **Retain** for 6 years after disposition of asset *and*6 years after fulfillment of agreement *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)****ESSENTIAL** **(for Disaster Recovery)**OPR |
| 17-12-69158Rev. 0 | ***Donations/Gifts – Monetary***Records documenting monetary donations, gifts, and sponsorships made directly to the University. Includes, but is not limited to:* Correspondence/communications to and from donor;
* Gifts and donations checklist;
* Terms and agreements of the contribution made.

Excludes donations made to the EWU Foundation. | **Retain** for 6 years after end of fiscal year *and*6 years after fulfillment of agreement *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)****ESSENTIAL****(for Disaster Recovery)**OPR |

| * 1. FUNDRAISING

The activities associated with running campaigns to raise funds for various institution development activities. |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 17-12-69160Rev. 0 | ***Fundraising Efforts***Records relating to fundraising efforts by University departments, or by clubs and organizations that have been recognized by the Associated Students of Eastern Washington University (ASEWU) Council, where the University, club, or organization **itself** is the beneficiary. Includes, but is not limited to:* Fundraising activities;
* Advertising;
* Related correspondence/communications.

Excludes:* Records relating to University fundraising efforts for **charity**, covered by *Charity Fundraising (DAN GS 09021)*;
* Records documenting fundraising efforts for **charity** by ASEWU Council-recognized clubs and organizations, which are not University records;
* Records relating to fundraising efforts by clubs and organizations that are **not** recognized by the ASEWU Council, which are not University records;
* Records of fundraising efforts by the EWU Foundation.
 | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| * 1. STUDENT RECRUITMENT

The activities associated with the institution encouraging prospective students to attend the institution. |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 76-07-17399Rev. 1 | ***Recruitment/Visits – General***Records documenting contact with and visits by prospective students, outside of athletic recruitment. Includes, but is not limited to:* Correspondence/communications to/from prospective students;
* Itineraries;
* Copies of high school transcript and test scores, etc.
 | **Retain** for 2 years after end of academic year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 17-12-69180Rev. 0 | ***Recruitment/Visits – Prospective Student Athletes***Records documenting contact with and visits by prospective student-athletes who do **not** enroll at the University within 5 years, used to verify and demonstrate compliance with NCAA recruitment requirements as defined in Article 13 of the Operating Bylaws of the [*NCAA Division I Manual*](http://www.ncaapublications.com/). Includes both official and unofficial visits. Includes, but is not limited to:* Correspondence/communications to/from prospective student-athletes;
* Copies of high school transcripts and test scores;
* Proof of duration of visit, including flight information, itinerary, copies of expenses, etc.

Excludes recruitment/visit records for prospective student athletes who **do** enroll at EWU within 5 years, which are covered by *NCAA Eligibility – Individual Student Athletes (DAN 91-09-48150)*. | **Retain** for 5 years after end of academic year *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OPR |

1. RESEARCH

The function of investigating or inquiring into a subject of interest in order to discover or apply facts and/or principles. Research may be funded by a grant, consultancy or scholarship, or may be an unfunded act of academic scholarship undertaken within the institution. Includes activities associated with managing and administering research.

| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| --- | --- | --- | --- |
| 17-12-69167Rev. 0 | ***Institutional Review Board (IRB) for Human Subjects Research – Administration and Research Oversight***Records documenting the review, monitoring, and oversight of human subject research by Eastern Washington University’s Institutional Review Board (IRB) for Human Subjects Research in accordance with [45 CFR 46.115](http://www.gpo.gov/fdsys/granule/CFR-2007-title45-vol1/CFR-2007-title45-vol1-sec46-115). Includes, but is not limited to:* Federalwide Assurance agreement with the U.S. Department of Health & Human Services’ Office of Human Research Protections;
* Written procedures for the IRB as described in [45 CFR 46.103 (b)(4) and 46.103(b)(5)](http://www.gpo.gov/fdsys/granule/CFR-2003-title45-vol1/CFR-2003-title45-vol1-sec46-103);
* IRB member lists and credentialing, appointment letters;
* Applications received, including title, name of investigators, date received, period of approval, modifications, etc.

Excludes IRB meeting records covered by *Governing/Executive/Policy-Setting Body Records (DAN GS 10004)*.*Note:* [*45 CFR 46.115(a)*](http://www.gpo.gov/fdsys/granule/CFR-2007-title45-vol1/CFR-2007-title45-vol1-sec46-115) *requires the retention of general IRB records for at least 3 years.* | **Retain** for 6 years after date of document *then* **Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)****ESSENTIAL****(for Disaster Recovery)**OPR |
| 17-12-69168Rev. 0 | ***Institutional Review Board (IRB) for Human Subjects Research – Principal Investigator Records (Research Conducted)***IRB records relating to investigator activities in human subject research including approved regulatory, certified exempt, completed, denied and withdrawn protocols. Includes, but is not limited to:* Research protocols, scientific evaluations, approved sample consent documents;
* Correspondence/communications between the IRB and investigators/researchers;
* Progress reports submitted by the investigator;
* Reports of injuries to subjects;
* Reports of unanticipated problems involving risks to subjects or others;
* Reports of continuing review activities;
* Statements of significant new findings provided to subjects.

*Note:* [*45 CFR 46.115(b)*](http://www.gpo.gov/fdsys/granule/CFR-2007-title45-vol1/CFR-2007-title45-vol1-sec46-115) *requires the retention of IRB records relating to research conducted for at least 3 years after completion of the research.* | **Retain** for 6 years after completion/termination of research *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)****ESSENTIAL****(for Disaster Recovery)**OPR |
| 17-12-69165Rev. 0 | ***Institutional Animal Care and Use Committee (IACUC) – Inspections, Reports, and Evaluations***Records documenting the review, monitoring, and oversight of animal research by the Institutional Animal Care and Use Committee (IACUC), in compliance with [9 CFR 2.35](http://www.gpo.gov/fdsys/granule/CFR-2011-title9-vol1/CFR-2011-title9-vol1-sec2-35). Includes, but is not limited to:* IACUC reviews of research proposals and ongoing review activities;
* Required inspections and reports;
* Scientific evaluations.

Excludes IACUC meeting records, which are covered by *Governing/Executive/Policy-Setting Body Records (DAN GS 10004)*.*Note:* [*9 CFR 2.35*](http://www.gpo.gov/fdsys/granule/CFR-2011-title9-vol1/CFR-2011-title9-vol1-sec2-35) *requires the retention of general IACUC records for at least 3 years.* | **Retain** for 6 years after date of document  *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)****ESSENTIAL****(for Disaster Recovery)**OPR |
| 17-12-69166Rev. 0 | ***Institutional Animal Care and Use Committee (IACUC) – Research Conducted***Records which relate to specific research conducted, or which document decisions pertaining to committee actions on that research. Includes, but is not limited to:* Correspondence/communications between IACUC and investigators/researchers;
* Progress reports provided to IACUC;
* Significant new findings.

*Note:* [*9 CFR 2.35*](http://www.gpo.gov/fdsys/granule/CFR-2011-title9-vol1/CFR-2011-title9-vol1-sec2-35) *requires the retention of IACUC records that relate directly to proposed activities and changes to same for at least 3 years after completion of the research.* | **Retain** for 6 years after completion/termination of research *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)****ESSENTIAL****(for Disaster Recovery)**OPR |

1.
2. STUDENT ADMINISTRATION

The function of administration and management of students from application for admission to enrollment in courses/programs to completion/discontinuation. Includes non-award programs and courses/units/subjects.

| * 1. ADMISSIONS

The activities associated with the admission of applicants into courses/programs. |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 74-07-05577Rev. 1 | ***Admissions Applications – Enrolled***Records documenting the admissions process for all applicants who are accepted and ultimately enroll at the University. Includes, but is not limited to:* Matriculated and non-matriculated students;
* Domestic and international students;
* Undergraduate, post-baccalaureate, and graduate-level programs;
* Other programs, e.g., Summer Session, Running Start, Continuing Education, etc.

Includes, but is not limited to:* Application forms and sign-up sheets;
* Copies of high school, college, and/or military transcripts;
* Test scores submitted as part of the admissions process;
* Financial statements and/or sponsor letter (for international students);
* Transfer credit evaluations;
* Related correspondence/communications.
 | **Retain** for 6 years after graduation *or*6 years after date of last attendance *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 99-05-59099Rev. 1 | ***Admissions Applications – Not Accepted, Not Enrolled, or Application Incomplete***Records documenting the admissions process for all applicants who are denied admission to the University, were accepted but did not enroll, or never completed the application process. Includes, but is not limited to:* Matriculated and non-matriculated students;
* Domestic and international students;
* Undergraduate, post-baccalaureate, and graduate-level programs;
* Other programs, e.g., Summer Session, Running Start, Continuing Education, etc.

Includes, but is not limited to:* Application forms and sign-up sheets;
* Copies of high school, college, and/or military transcripts;
* Test scores submitted as part of the admissions process;
* Transfer credit evaluations;
* Financial statements and/or sponsor letter (for international students);
* Related correspondence/communications.
 | **Retain** for 2 years after beginning of academic quarter for which applied *or*2 years after date of last submission *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| * 1. ENROLLMENT AND REGISTRATION

The activities associated with students’ initial and continuing enrollment in a course/program of study. |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 89-05-44373Rev. 1 | ***Class Registration***Records documenting the process of class registration for individual students. Includes, but is not limited to:* Student name and number;
* Quarter of enrollment;
* Course numbers and class sections;
* Number of credits and grade type (e.g., letter grade, credit/no credit, audit);
* Total credit hours.

Includes, but is not limited to:* Add/drop forms;
* Arranged course permits and independent study and variable credit courses;
* Summer workshop registrations.

Includes this information maintained in systems such as Banner. | **Retain** for 6 years after end of academic year *then* **Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 85-04-35289Rev.2 | ***Enrollment Reports***Compilations of data generated by or distributed to departments and individual instructors regarding student enrollment, courses, grade distribution, scholarship, ethnicity of students, etc. Includes, but is not limited to:* Class enrollment count;
* Class standing report;
* Degrees by quarter report;
* Academic probation list.

Includes this information maintained in systems such as Banner. | **Retain** for 2 years after end of academic quarter *then***Destroy***.* | NON-ARCHIVALNON-ESSENTIALOFM |
| 17-12-69169Rev. 0 | ***Major/Minor Applications***Records documenting a student’s request for major/minor standing within an undergraduate academic program, where the application is approved.  | **Retain** for6 years after end of academic year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 80-08-25345Rev. 2 | ***Major/Minor Applications – Denied or Incomplete***Requests for major/minor standing within an undergraduate academic program where the application has been denied or the student never completed the application process.  | **Retain** for 1 year after quarter of denial *or*1 year after date of last submission  *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 17-12-69182Rev. 0 | ***Release of Education Records – No Prior Consent Required***Records documenting each request for access to – and each disclosure of – personally identifiable information from the education records of each student **where prior consent is not required** in accordance with [*34 CFR § 99.31*](http://www.ecfr.gov/cgi-bin/text-idx?SID=9ab293b9e1467138518362d41c79314b&node=34:1.1.1.1.33.4&rgn=div6) and [*34 CFR §99.32(d)*](http://www.ecfr.gov/cgi-bin/text-idx?SID=9ab293b9e1467138518362d41c79314b&node=34:1.1.1.1.33.4&rgn=div6), **and** where disclosure records are **not** required to be retained longer than 3 years in accordance with federal or state statute. Includes, but is not limited to, requests from and release of records to:* The eligible student;
* A school official under [*34 CFR §99.31(a)(1)*;](http://www.ecfr.gov/cgi-bin/text-idx?SID=9ab293b9e1467138518362d41c79314b&node=34:1.1.1.1.33.4&rgn=div6)
* Certain parties seeking directory information under [*34 CFR § 99.37*](http://www.ecfr.gov/cgi-bin/text-idx?SID=9ab293b9e1467138518362d41c79314b&node=34:1.1.1.1.33.4&rgn=div6);
* A judicial order or subpoena under [*34 CFR §99.31(a)(9)(ii)(A), (B) & (C)*.](http://www.ecfr.gov/cgi-bin/text-idx?SID=9ab293b9e1467138518362d41c79314b&node=34:1.1.1.1.33.4&rgn=div6)

Excludes:* Disclosure to state and local educational authorities and federal officials and agencies listed in [34 CFR § 99.31(a)(3),](http://www.ecfr.gov/cgi-bin/text-idx?SID=9ab293b9e1467138518362d41c79314b&node=34:1.1.1.1.33.4&rgn=div6) covered by *Release of Education Records – Prior Consent or Documentation Required (DAN 17-12-69183).*
* Requests where prior consent is required, covered by *Release of Education Records – Prior Consent or Documentation Required (DAN 17-12-69183).*

*Reference:* [*Family Educational Rights and Privacy Act (FERPA)*](http://www.ecfr.gov/cgi-bin/text-idx?SID=9ab293b9e1467138518362d41c79314b&node=34:1.1.1.1.33.4&rgn=div6)*.* | **Retain** for6 years after date of release *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 17-12-69183Rev. 0 | ***Release of Education Records – Prior Consent or Documentation Required***Records documenting each request for access to – and each disclosure of – personally identifiable information from the education records of each student **where prior consent is required** in accordance with [34 CFR § 99.30](http://www.gpo.gov/fdsys/pkg/CFR-2011-title34-vol1/pdf/CFR-2011-title34-vol1-sec99-30.pdf), **and/or** where disclosure records are required to be retained in accordance with [34 CFR § 99.32](http://www.gpo.gov/fdsys/pkg/CFR-2011-title34-vol1/pdf/CFR-2011-title34-vol1-sec99-32.pdf). Includes, but is not limited to, requests from and release of records to: * Any party with written consent from the parent or eligible student;
* State and local educational authorities and federal officials and agencies listed in [34 CFR § 99.31(a)(3)](http://www.ecfr.gov/cgi-bin/text-idx?SID=9ab293b9e1467138518362d41c79314b&node=34:1.1.1.1.33.4&rgn=div6) pursuant to [34 CFR § 99.32](http://www.ecfr.gov/cgi-bin/text-idx?SID=9ab293b9e1467138518362d41c79314b&node=34:1.1.1.1.33.4&rgn=div6).

*Reference:* [*Family Educational Rights and Privacy Act (FERPA).*](http://www.ecfr.gov/cgi-bin/text-idx?SID=9ab293b9e1467138518362d41c79314b&node=34:1.1.1.1.33.4&rgn=div6) | **Retain** until the education records of the student are no longer retained *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OPR |
| 99-12-59536Rev. 1 | ***Reporting/Filing (Mandatory) – Higher Education Enrollment***Records relating to higher education enrollment that are required to be filed with, or submitted to, an outside agency by federal, state or local law, where not covered by a more specific records series. Includes reports, confirmation of submission, correspondence/communications, inquiries, etc. Includes, but is not limited to:* Enrollment and performance data submitted to the Public Centralized Higher Education Enrollment System (PCHEES);
* Reports submitted annually to the Department of Education through the Integrated Post-Secondary Educational Data System (IPEDS);
* Enrollment Reports submitted to the National Student Clearinghouse;
* Reports submitted to the Professional Educator Standards Board (PESB).

Excludes records that are required to be submitted as part of an accreditation process, which are covered by*Accreditation Documentation**(DAN 92-09-51287)*. | **Retain** for 6 years after end of fiscal year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOPR |
| 75-08-13699Rev. 1 | ***Residency Reclassification***Records documenting individual students’ efforts to change their residency status (in-state or out-of-state) for tuition purposes. Includes, but is not limited to: * Reclassification application;
* Residency questionnaires;
* Supporting documentation;
* Appeal and notification correspondence/communications.
 | **Retain** for 6 years after graduation *or*6 years after date of last attendance  *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 89-05-44389Rev. 1 | ***Student Requests for Nondisclosure of Directory Information***Records documenting student requests to withhold their directory information from disclosure, in accordance with [34 CFR § 99.37](http://www.ecfr.gov/cgi-bin/text-idx?SID=9ab293b9e1467138518362d41c79314b&node=34:1.1.1.1.33.4&rgn=div6). *Reference:* [*Family Educational Rights and Privacy Act (FERPA).*](http://www.ecfr.gov/cgi-bin/text-idx?SID=9ab293b9e1467138518362d41c79314b&node=34:1.1.1.1.33.4&rgn=div6) | **Retain** until the education records of the student are no longer retained *or*until request is terminated by student *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 75-08-13677Rev. 1 | ***Withdrawal from the University***Records documenting individual students’ withdrawal from the University, including withdrawals due to illness, hardship, military exigency, or other extenuating circumstances. Includes, but is not limited to: * Official withdrawal form;
* Petition for hardship withdrawal;
* Related correspondence/communications.
 | **Retain** for 6 years after graduation *or*6 years after date of last attendance *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| * 1. GRADUATION

The activities associated with the conferral of an academic degree in accordance with [RCW 28B.35.205](http://apps.leg.wa.gov/rcw/default.aspx?cite=28B.35.205). Includes the award of honorary degrees to persons external to the institution. |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 17-12-69162Rev. 0 | ***Honorary Degrees***Records documenting the conferral by the University of honorary master’s or doctorate-level degrees, in accordance with [RCW 28B.35.205(2) and/or (3)](http://apps.leg.wa.gov/rcw/default.aspx?cite=28B.35.205) and [EWU Policy 303-04](http://cfweb.ewu.edu/policy/policyfiles/ewu_303_04.pdf). Includes, but is not limited to:* Degrees awarded to persons in recognition of their learning or devotion to education, literature, art, or science;
* Degrees awarded to persons who were students at the university in 1942 and did not graduate because they were ordered into an internment camp.

Excludes Board of Trustees minutes, agendas, and meeting files covered by *Governing/Executive/Policy-Setting Body Records**(DAN GS 10004)*. | **Retain** for6 years after degree is awarded *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOPR |
| 17-12-69178Rev. 0 | ***Petitions for Exception***Requests from students to waive or substitute a graduation or degree requirement. Includes, but is not limited to:* General Education Petition;
* Petition for processing late graduation application.
 | **Retain** for6 years after degree is awarded *or*6 years after date of last attendance  *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 17-12-69190Rev. 0 | ***Verification of Graduation Requirements***Records relating to the submission and review of individual student applications for graduation, to verify that the student has fulfilled all University, general education, master, major, minor and/or certification requirements. Includes, but is not limited to:* Undergraduate degree applications;
* Graduate degree applications;
* Teaching certificate applications;
* Final degree audit report;
* Related correspondence/communications.
 | **Retain** for 6 years after degree is awarded *or*6 years after date of last attendance  *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| * 1. MISCONDUCT

The activities associated with the management of alleged misconduct involving a breach by a student of academic and/or behavioral standards which may involve plagiarism, falsifying results, cheating, etc., and may include the imposition of a penalty. |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 17-12-69156Rev. 0 | ***Disciplinary Investigations for Exonerated Students***Records documenting the investigation into possible violation of Student Conduct Code ([chapter 172-121 WAC](http://apps.leg.wa.gov/WAC/default.aspx?cite=172-121&full=true)) for students who were subsequently exonerated. Includes, but is not limited to:* Case materials;
* Notice of exoneration.
 | **Retain** until completion of exoneration *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 09-05-62013Rev. 1 | ***Student Disciplinary Cases (Sanctions Imposed*)**Records relating to the investigations and disciplinary actions taken pursuant to the Student Conduct Code ([chapter 172-121 WAC](http://apps.leg.wa.gov/WAC/default.aspx?cite=172-121&full=true)).  | **Retain** for 6 years after completion of disciplinary action *and*expiration of appeal period, if any *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OPR |

| * 1. TRANSCRIPTS

The activities associated with the management of the official academic records of the grades achieved by a student in a course/program. |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 89-05-44382Rev. 1 | ***Transcript Requests***Records documenting student requests for transcripts or verification of enrollment.  | **Retain** for 1 year after date submitted *then* **Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 74-07-05578Rev. 1 | ***Transcripts (Permanent Student Records)***Records documenting the official academic performance of individual students at the University. Includes, but is not limited to:* Courses taken;
* Final grades and honors received (including any grade changes);
* Transfer and total credits;
* Major and minor degrees received;
* Official name changes.

Includes this information maintained in systems such as Banner.*Note: In the event of the University ceasing to exist, the permanent student records are to be transferred to Student Achievement Council in accordance with* [*RCW 28B.85.130*](http://apps.leg.wa.gov/rcw/default.aspx?cite=28B.85.130)*.* | **Retain** for life of agency *then***Transfer** to Student Achievement Council for permanent retention. | **ARCHIVAL****(Permanent Retention)****ESSENTIAL****(for Disaster Recovery)**OPR |

1. STUDENT AND CAMPUS SERVICES

The function of providing services to students by either the institution or another entity which has an agreement/contract with the institution. Some services may also be available to staff, faculty, and the general public.

| * 1. ADVISING

The activity of providing guidance to students and other clients to ensure academic progress, promote career planning, and/or ensure compliance with requirements. |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 87-03-39547Rev. 2 | ***Academic and Career Advising – Current Students***Records documenting advice and other services provided to students to assist them in the processes of identifying a major or graduate program, monitoring progress toward graduation, or to assist them in career planning. Includes, but is not limited to:* Intake information, e.g., dates that services were provided;
* Advisor notes;
* Elective approval forms;
* Assessments and other tools administered to identify an individual student’s interests and areas of expertise, e.g., the Strong Interest Inventory;
* Related correspondence/communications.
 | **Retain** for6 years after graduation *or*6 years after date of last attendance *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 17-12-69141Rev. 0 | ***Career Advising – Alumni and General Public***Records documenting advice and other services provided to alumni or other members of the public to assist them in career planning.Includes, but is not limited to:* Intake information, e.g., dates that services were provided;
* Advisor notes;
* Assessments and other tools administered to identify an individual’s interests and areas of expertise, e.g., the Strong Interest Inventory;
* Related correspondence/communications.
 | **Retain** for 2 years after most recent contact *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 17-12-69164Rev. 0 | ***Immigration/Visa Advising – Foreign Students***Records relating to the immigration/visa status of individual foreign students, retained for advising purposes and/or to document compliance with U.S. Citizenship and Immigration Services requirements.Includes, but is not limited to:* Intake information, e.g., dates that services were provided;
* U.S. Citizenship and Immigration Services (USCIS) documentation, e.g., Certificate for Eligibility for Exchange Student Status (IAP 66) and Certificate of Eligibility for Nonimmigrant F-1 Student Status (I-20);
* U.S. Customs and Border Patrol (USCBP) forms, e.g., Arrival/Departure Record (I-94);
* U.S. Department of State documentation, e.g., Certificate of Eligibility for Exchange Visitor (J-1) Status (DS-2019), etc.;
* Student and Exchange Visitor Information System (SEVIS) reports;
* Identification Documentation, e.g., passport pages with biographical information and expiration date;
* Correspondence/communications with student and other schools;
* Copies of financial statements and/or sponsor letter;
* Copies of transfer release documents;
* Copies of employment documents;
* Copies of admission materials.
 | **Retain** for 6 years after graduation *or*6 years after date of last attendance  *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OPR |
| 89-05-44384Rev. 1 | ***Veteran Student Files***Records relating to Veterans and/or their dependents receiving educational benefits. Includes, but is not limited to:* Veteran Tuition Waiver Forms;
* Grade Reports;
* Academic progress reports;
* Change of course forms;
* Transfer credit evaluations;
* Degree audit records;
* Statements of understanding;
* Student account summaries;
* DD214;
* Transfer guide course equivalencies;
* Enrollment certifications;
* Certificates of Eligibility for GI Bill;
* Correspondence/communications regarding VA enrollment.
 | **Retain** for 6 years after graduation *or*6 years after date of last attendance *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| * 1. ATHLETICS

The activities associated with the management of the institution’s athletics program. |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 17-12-69137Rev. 0 | ***Athlete Medical History***Records documenting the medical and treatment history for each student athlete.Includes, but is not limited to:* Medical/Treatment documentation and/or reports;
* Physical form;
* Injury/illness report forms;
* Progress notes;
* Rehabilitation logs;
* Waivers;
* EWU letter informing student athletes of physical and insurance policy requirements;
* Consent forms for medical procedures and acknowledgment of potential injury.
 | **Retain** for 7 years after no longer eligible *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 91-09-48149Rev. 1 | ***Athlete Medical Insurance Claims***Records documenting claims for reimbursement of medical bills for student athletes. Includes, but is not limited to:* Claims through the National Association of Collegiate Directors of Athletics (NACDA) excess insurance programs;
* Claims through the NCAA Catastrophic Insurance Program;
* Medical bills;
* Medical expense logs;
* HIPAA documentation.
 | **Retain** for 6 years after claim closed *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 17-12-69138Rev. 0 | ***Athlete Recognition***Provides a record of athletes whose performance is worthy of note or who have received special recognition. Includes, but is not limited to:* List of recipients lettering in sports;
* Awards;
* Media coverage;
* Related correspondence/communications.
 | **Retain** for 6 years after end of season *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 17-12-69139Rev. 0 | ***Big Sky Conference***Records documenting the university’s relationship with the Big Sky Conference. Includes, but is not limited to:* Reports;
* Copies of meeting minutes;
* Related correspondence/communications.
 | **Retain** for 10 years after end of calendar year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOPR |
| 17-12-69171Rev. 0 | ***National Collegiate Athletic Association (NCAA)***Records documenting the university’s relationship with the NCAA. Includes, but is not limited to:* NCAA self-studies;
* NCAA legislation;
* Compliance certification for staff members;
* Reports;
* Copies of meeting minutes;
* Related correspondence/communications.
 | **Retain** for 10 years after end of calendar year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOPR |
| 91-09-48150Rev. 1 | ***NCAA Eligibility – Individual Student Athletes***Records documenting an individual student athlete's compliance with NCAA eligibility requirements for participation on a sports team. Includes, but is not limited to:* Letters of intent;
* Signed NCAA student athlete statement;
* NCAA Drug testing notification forms, including signed NCAA Drug Testing Consent form;
* NCAA verification of drug test clearance;
* Record of yearly attendance at NCAA rules review and drug meeting;
* Student eligibility reports, including grades, seasons of eligibility completed, and seasons of eligibility remaining;
* Copies of admissions records and transcripts;
* Records documenting financial aid received by the student athlete;
* Related correspondence/communications, including requests for permission to contact a student athlete for the purpose of transferring between NCAA sports programs under the one-time transfer exception.

*Note: The NCAA requires a minimum 6-year retention on the NCAA Student-Athlete statement and drug-testing consent form.* | **Retain** for 10 years after end of eligibility *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OPR |
| 17-12-69172Rev. 0 | ***NCAA Eligibility – Sports Teams***Records documenting an EWU sports team’s compliance with NCAA eligibility requirements. Includes, but is not limited to:* Participation rosters, including squad lists, travel rosters and NCAA championship and tournament rosters;
* Records documenting eligibility certification for the team as a whole, including listings of cumulative grade point average and credits by quarter for student athletes.

Excludes records documenting the activities of the University’s Athletic Compliance Committee, covered by *Governing/Executive/Policy-Setting Body Records (DAN GS 10004)*. | **Retain** for 10 years after end of academic year *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)****ESSENTIAL****(for Disaster Recovery)**OPR |

| * 1. DISABILITY SERVICES

The activity of providing students with disabilities an equal opportunity to fully participate in and benefit from all aspects of the employment and education environment. |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 17-12-69155Rev. 0 | ***Disability Services – Accommodations***Records documenting services requested and/or provided to individual students with disabilities in compliance with [Section 504 of the Rehabilitation Act of 1973](http://www.dol.gov/oasam/regs/statutes/sec504.htm), the [Americans with Disabilities Act (ADA) of 1990](http://www.ada.gov/pubs/adastatute08.pdf) and the [ADA Amendments Act of 2008](http://www.eeoc.gov/laws/statutes/adaaa.cfm). Includes, but is not limited to:* Requests for services and accompanying documentation;
* Agency response, including accommodations identified and provided.
 | **Retain** for6 years after graduation *or*6 years after date of last attendance *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| * 1. FINANCIAL AID

The activity of providing financial aid to students. |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 84-08-34409Rev. 2 | ***Financial Aid Reports – Internal***Aggregate/summary records documenting disbursements and financial aid status, where not covered by a more specific record series. Includes, but is not limited to:* Summary disbursement reports (daily, weekly, monthly, etc.);
* Cancellation journals.

Excludes:* Required reporting covered by *Institutional Eligibility – Financial Aid (DAN 83-10-32929)*;
* Aid packaging reports covered by *Student Aid Eligibility Determination/Tracking (DAN 17-12-69187)*.
 | **Retain** for 6 years after end of award year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 83-10-32929Rev. 1 | ***Institutional Eligibility – Financial Aid***Records documenting the University’s eligibility to participate in financial aid programs, including federal, campus-based programs. Includes, but is not limited to: * Fiscal Operations Report and Application to Participate (FISAP);
* Required reporting, e.g., reports submitted to the Department of Education as part of the Quality Assurance process, etc.
 | **Retain** for 6 years after end of aid year *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OFM |
| 17-12-69184Rev. 0 | ***Scholarship Program History***Records documenting the creation and administration of scholarship programs at EWU. Includes, but is not limited to:* Documentation of the establishment of the fund (including administrative requirements for the scholarship, copies of wills, etc.);
* Annual lists of recipients.

Excludes:* Records documenting eligibility determination for scholarships, covered by *Student Aid Eligibility Determination/Tracking (DAN 17-12-69187)*.
 | **Retain** for 6 years after termination of scholarship program *then***Transfer** to Archives for appraisal and selective retention**.** | **ARCHIVAL****(Appraisal Required)****ESSENTIAL****(for Disaster Recovery)**OPR |
| 17-12-69187Rev. 0 | ***Student Aid Eligibility Determination/Tracking***Records documenting individual student requests for financial assistance, determination of student eligibility for aid, and verification of their compliance with continuing eligibility requirements (e.g., satisfactory progress, etc.). Includes, but is not limited to:* Loans (including short-term loans), work study, grants, scholarships, institutional waivers, etc.;
* Applications for aid, e.g., Free Application for Federal Student Aid (FAFSA), General Scholarship Application (GSA), and other applications to the University and its departments for scholarships and other forms of financial assistance;
* Award letters/summaries and packaging reports;
* Required documentation to verify ongoing eligibility, e.g., to verify financial information reported on the FAFSA as part of the Quality Assurance process.
 | **Retain** for 6 years after end of award year in which student last attended the institution *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OPR |
| 88-12-43519Rev. 1 | ***Student Aid Disbursement – EWU-Administered Gift Aid and Work Study***Records documenting disbursement of individual EWU-administered gift aid, including grants, scholarships, tuition awards, institutional waivers, etc., as well as aid awarded in the form of work study.  | **Retain** for 6 years after conditions of award satisfied *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OPR |
| 17-12-69186Rev. 0 | ***Student Aid Disbursement – Grants, Scholarships, Gift Aid, and Loans Administered by Other Agencies/Entities***Records documenting disbursement of individual loan funds or gift aid where EWU disburses the funds, but is **not** the administrator or lender. Includes, but is not limited to:* Direct Subsidized (Stafford) Loans;
* Direct Unsubsidized (Stafford) Loans;
* Direct PLUS Loans;
* Private Education or Alternative Loans;
* Scholarships and grants from individuals/entities that are separate from EWU.
 | **Retain** for 6 years after end of award year in which student last attended the institution *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OPR |
| 88-12-43516Rev. 1 | ***Student Aid Disbursement – Loans Administered by EWU***Records documenting disbursement of individual loan funds where EWU acts as both administrator/lender **and** disburser of the loan. Includes, but is not limited to:* Perkins Loans;
* Short-term loans.
 | **Retain** for 6 years after loan repayment, reconciliation, or assignment to the Board of Education *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OPR |

| * 1. FOOD SERVICES

The activities associated with providing food for sale and the provision of food to residential students. |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 93-05-52348Rev. 1 | ***Food Service Inspections***Records documenting Food Service inspections that are administered periodically to ensure the facility meets the required standards and regulations. Includes, but is not limited to:* Inspection reports;
* Correction plans;
* Progress reports;
* Related communications.
 | **Retain** for 6 years after completion of inspection *and*violations (if any) corrected/resolved *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

| * 1. HEALTH SERVICES

The activities associated with the provision of medical/health services. Also includes the operation of clinics where students, in the course of their studies, provide services under the supervision of teaching staff and practicing professionals. |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 20-10-69582Rev. 0 | ***Client History***Records documenting the medical and/or counseling history of individuals who have received services from a University health service. Includes, but is not limited to:* Write-ups of counseling sessions;
* Psychological evaluations of clients;
* Treatment or visit summaries;
* X-rays;
* Lab records;
* Immunizations.
 | **Retain** for 8 years after date of last visit *and*3 years after client reaches age 18 *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OPR |
| 17-12-69170Rev. 1 | ***Medical History – Non-Student/Non-Patient***Health-related records received by the Student Health Clinic regarding individuals who do not subsequently become either students of the University or clients of the clinic. Includes, but is not limited to:* Authorizations for release of medical records, copies of immunization records, etc., that are submitted to the University by applicants who ultimately do not attend the University;
* Authorizations for release of medical records, copies of immunization records, etc., submitted to the University by EWU faculty, staff, and/or members of the general public who do not subsequently receive services from the Clinic;
* Copies of emergency room reports provided to the Clinic by other healthcare providers in relation to individuals who are not EWU students or Clinic clients;
* Related correspondence/communications.

*Note: If the individual subsequently becomes a EWU student or client at the clinic, these records are retained accordingly under Client History (DAN XX-XX-XXXXX).* | **Retain** until no longer needed for agency business*then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| * 1. HOUSING SERVICES

The activities associated with the provision of places of residence for students where the residence is managed by the institution. |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 17-12-69173Rev. 0 | ***Occupancy Reports***Records documenting the number of beds and rooms that are occupied in each residence hall at a particular point in time. | **Retain** for 2 years after end of academic quarter *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| * 1. POLICE AND PARKING SERVICES

The activities associated with the provision of law enforcement and security at the institution’s campuses and facilities. |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 17-12-69135Rev. 0 | ***Agency Release and Waiver/Notice of Consent to Exercise Peace Officer Power***Authorization from various state law enforcement agencies giving the EWU Police Department consent to exercise peace officer powers within their jurisdiction. New consent forms are sent when a new chief is hired and are valid throughout their tenure unless otherwise indicated.  | **Retain** for 6 years after superseded or new chief is hired *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OPR |
| 17-12-69136Rev. 0 | ***Annual Crime Report Documentation*** Records documenting the agency’s compliance with the requirements of [20 USC § 1092(f)](https://www.law.cornell.edu/uscode/text/20/1092#f), the Jeanne Clery Disclosure of Campus Security Policy and Campus Crime Statistics Act (also known as the Clery Act). Includes, but is not limited to:* Required reports, e.g., Annual Security Report (ASR) and fire report;
* Public crime log;
* Documentation of timely warnings and emergency notifications.
 | **Retain** for 7 years after end of calendar year  *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 17-12-69140Rev. 0 | ***Bicycle Registration***Records documenting the registration of bicycles with University Police by their owners in order to deter theft and aid investigation in the event that a bicycle is stolen.  | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 17-12-69142Rev. 0 | ***Case Files – All Other Cases***Case reports and files assembled by law enforcement in the course of investigating any incident that is not covered by a more specific record series. Includes, but is not limited to:* Bond and bail information;
* Latent print evidence (Latent Print Cards, photographic negatives, digital or photographic images, etc.).

Excludes:* Cases covered by *Case Files – Notorious/Historically Significant Cases (DAN 17-12-69146)*;
* Criminal history record information.
 | **Retain** for 5 years after conclusion of investigation *and*until exhaustion of appeals process *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 17-12-69143Rev. 0 | ***Case Files – Homicides (Solved)***Case reports and files assembled by law enforcement in the course of investigating homicides that have been solved. Includes, but is not limited to:* Bond and bail information;
* Latent print evidence (Latent Print Cards, photographic negatives, digital or photographic images, etc.).

Excludes:* Cases covered by *Case Files – Notorious/Historically Significant Cases (DAN 17-12-69146)*;
* Criminal history record information.
 | **Retain** for 20 years after conclusion of investigation *and*until exhaustion of appeals process *then***Transfer** to Washington State Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOPR |
| 17-12-69144Rev. 0 | ***Case Files – Homicides (Unsolved), Missing Persons (Not Found), and Unidentified Bodies***Case reports and files assembled by law enforcement in the course of investigating unsolved homicides, unidentified bodies, or unfound missing persons where the case has not been solved. Includes, but is not limited to:* Bond and bail information;
* Latent print evidence (Latent Print Cards, photographic negatives, digital or photographic images, etc.).

Excludes:* Cases covered by *Case Files – Notorious/Historically Significant Cases (DAN 17-12-69146)*;
* Criminal history record information.
 | **Retain** for 75 years after case opened *and*until no longer needed for agency business *then***Transfer** to Washington State Archives for permanent retention**.** | **ARCHIVAL****(Permanent Retention)****ESSENTIAL****(for Disaster Recovery)**OPR |
| 17-12-69145Rev. 1 | ***Case Files – Juvenile Offenders***Case reports and files assembled by law enforcement pertaining to offenses allegedly committed by juveniles. Includes, but is not limited to:* Bond and bail information;
* Latent print evidence (Latent Print Cards, photographic negatives, digital or photographic images, etc.).

Excludes:* Criminal history record information.

*Note: RCW 13.50.270(4)(a) allows for the routine destruction of records relating to juvenile offenses only when the subject has attained 23 years of age or older. Local law enforcement records relating to juvenile offenses may also be destroyed pursuant to RCW 13.50.270(1) upon notification from the juvenile court that such records are eligible for destruction.* | **Retain** until juvenile attains 23 years of age *or*until notification of destruction eligibility is received from the juvenile court, whichever is sooner *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| bul17-12-69146Rev. 0 | ***Case Files – Notorious/Historically Significant Cases***Case reports and files assembled by law enforcement in the course of investigating cases which have gained contemporary public notoriety or significance. Includes, but is not limited to:* Bond and bail information;
* Latent print evidence (Latent Print Cards, photographic negatives, digital or photographic images, etc.).

Excludes:* Cases covered by *Case Files – Juvenile Offenders (DAN 17-12-69145)*;
* Cases covered by *Case Files – Sex Offenders and Sexually Violent Offenses (DAN 17-12-69147)*;
* Criminal history record information.

*Note: For guidance on how to identify notorious or historically significant cases, please refer to Washington State Archives' published advice sheet* **Notorious/Historically Significant Case Files and Inmate Custody Records***.* | **Retain** until no longer needed for agency business *then***Transfer** to Washington State Archives for permanent retention**.** | **ARCHIVAL****(Permanent Retention)**NON-ESSENTIALOPR |
| 17-12-69147Rev. 0 | ***Case Files – Sex Offenders and Sexually Violent Offenses***Case reports and files assembled by law enforcement in the course of investigating criminal sex or kidnapping offenses as defined in [Chapter 9A.44 RCW](http://apps.leg.wa.gov/rcw/default.aspx?cite=9a.44&full=true), sexually violent offenses as defined in [RCW 71.09.020(17)](http://apps.leg.wa.gov/rcw/default.aspx?cite=71.09.020), or pertaining to a sex offender as defined in [Chapter 9A.44 RCW](http://apps.leg.wa.gov/rcw/default.aspx?cite=9a.44&full=true). Includes, but is not limited to: * Bond and bail information;
* Latent print evidence (Latent Print Cards, photographic negatives, digital or photographic images, etc.).

Excludes:* Criminal history record information.

*Note: Records of any investigative reports pertaining to sex offenders as defined in* [*Chapter 9A.44 RCW*](http://apps.leg.wa.gov/rcw/default.aspx?cite=9a.44&full=true) *or sexually violent offenses as defined in* [*RCW 71.09.020*](http://apps.leg.wa.gov/rcw/default.aspx?cite=71.09.020) *that are not required in the current operation of the law enforcement agency or for pending judicial proceedings shall be transferred to the Washington Association of Sheriffs and Police Chiefs in accordance with* [*RCW 40.14.070(2)(b)(i)*](http://apps.leg.wa.gov/rcw/default.aspx?cite=40.14.070)*.* | **Retain** for 5 years after conclusion of investigation *and*until exhaustion of appeals process *then***Transfer** to Washington Association of Sheriffs and Police Chiefs for permanent retention. | NON-ARCHIVALNON-ESSENTIALOPR |
| 17-12-69149Rev. 0 | ***Citations/Notices of Infraction Issued – All Others***Records relating to criminal or non-criminal citations issued to alleged violators in accordance with [RCW 46.64.010(4)](http://apps.leg.wa.gov/rcw/default.aspx?cite=46.64.010). Includes, but is not limited to:* Originals and/or duplicates of issued citations;
* Documentation of disposition of the charge when applicable.

Excludes: * Citations/Notices of Infraction generated using Washington State Patrol's Statewide Electronic Collision and Ticket Online Records (SECTOR) transmitted to Washington State Patrol;
* Records covered by *Citations/Notices of Infraction Issued – Driving Under the Influence (DAN 17-12-69150)*.
 | **Retain** 3 years after date of issuance *or* until completion of state auditor's report, *whichever is sooner* *then* **Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 17-12-69150Rev. 0 | ***Citations/Notices of Infraction Issued – Driving Under the Influence*** Records relating to citations issued to alleged violators for driving while under the influence of intoxicating liquor or drugs as identified in [RCW 46.61.502](http://apps.leg.wa.gov/rcw/default.aspx?cite=46.61.502). Excludes:* Citations/Notices of Infraction generated using Washington State Patrol's Statewide Electronic Collision and Ticket Online Records (SECTOR) transmitted to Washington State Patrol;
* Records covered by *Citations/Notices of Infraction Issued – All Others (DAN 17-12-69149)*.

*Note:* [*RCW 46.61.502*](http://apps.leg.wa.gov/rcw/default.aspx?cite=46.61.502) *allows individuals who have had four or more prior offenses within 10 years to be charged with a gross misdemeanor, necessitating a minimum 10 year retention period.* | **Retain** for 10 years after date of issuance  *then* **Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 17-12-69148Rev. 0 | ***Citations/Notices of Infraction – Issued to Officer***Records documenting the issuance of blank, pre-numbered citations/notices of infraction to officers in accordance with [RCW 46.64.010](http://apps.leg.wa.gov/rcw/default.aspx?cite=46.64.010). Includes, but is not limited to: * Voided citations/tickets;
* Receipts for books and/or devices issued.
 | **Retain** for 3 years after date of issuance *or*until completion of State Auditor’s report, *whichever is sooner* *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 17-12-69154Rev. 0 | ***Criminal History Background Check Logs***Logs documenting criminal history inquiries made through Washington State Patrol’s ACCESS system.  | **Retain** until completion of Washington State Patrol audit *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 17-12-69153Rev. 0 | ***Code Blue Station Test Log***Records documenting regular testing by patrol officers of the various Code Blue Stations on campus to make sure they are in working condition including phone, lights, electrical, and strobe lights work and any comments. Excludes maintenance records covered by:* *Maintenance – Minor Non-Regulated (DAN GS 21002)*;
* *Maintenance – Major and/or Regulated (DAN GS 21008)*.
 | **Retain** for 6 years after of end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 17-12-69174Rev. 0 | ***Officer Patrol Log and Daily Activity***Records documenting the activities of FTO trainee officers while on shift. Includes, but is not limited to:* Date and time of activity;
* Brief description of activity;
* Location of activity.
 | **Retain** for 5 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 17-12-69176Rev. 0 | ***Parking Permits***Records documenting all parking permits purchased by students, faculty, staff, and others who park in campus lots. Includes both new permits and replacements requested due to loss, theft, or destruction. Includes, but is not limited to:* New permit number;
* Previous permit number (if any);
* Reason for replacement (if any);
* Information regarding the vehicle to which permit is registered.
 | **Retain** for 6 years after end of fiscal year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 17-12-69177Rev. 0 | ***Parking Violations***Records documenting the assessment, payment, escalation, appeal, or cancellation of fines levied for parking offenses. Includes, but is not limited to:* Parking permit information;
* Vehicle registration information;
* Customer information.
 | **Retain** for 6 years after matter resolved *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OPR |
| 17-12-69179Rev. 0 | ***Radio Logs***Logs documenting incoming and/or outgoing emergency radio communications.  | **Retain** for 60 days after date of entry *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 20-10-69583Rev. 0 | ***Recordings from Mobile Devices – Incident Identified***Recordings, created by the law enforcement agency using **mobile recording devices*,*** and whether manually or automatically triggered, that are *known* to have captured a unique or unusual action from which litigation or criminal prosecution is expected or likely to result.**Includes all mobile recordings, *regardless of where recording device is mounted***, such as:* Bodycam (device on officer’s chest, shoulder, head, cap, sunglasses, pole/stick, etc.)
 | **Retain** until matter resolved*and*until exhaustion of appeals process*then***Destroy**. | NON-ARCHIVAL NON-ESSENTIAL OFM |
| 20-10-69584Rev. 0 | ***Recordings from Mobile Devices – Incident Not Identified (Body Worn Cameras)***Recordings, created by the law enforcement agency using **body worn cameras**, *provided that*the recording is:* *Not known* to have captured a unique or unusual incident or action from which litigation or criminal prosecution is expected or likely to result.

Excludes records covered by:* *Recordings from Mobile Devices – Incident Identified (DAN XX-XX-XXXXX)*;

*Note: Retention based on 60-day requirement for body worn camera recordings (RCW* [*42.56.240*](http://app.leg.wa.gov/rcw/default.aspx?cite=42.56.240)*).* | **Retain** for 60 days after date of recording*then***Destroy**. | NON-ARCHIVAL NON-ESSENTIAL OFM |
| 17-12-69185Rev. 0 | ***Sex Offender Bulletin***Records documenting registered sex offenders who have become part of the EWU campus population.  | **Retain** for 6 years after offender is no longer affiliated with the EWU campus *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 17-12-69188Rev. 0 | ***Student Weapon Logs and Forms***Records documenting individual students’ storage of their firearms with the University Police Department. Includes, but is not limited to:* Owner identification data;
* Description of firearm;
* Records documenting the checkout and return of firearm.
 | **Retain** for 6 years after end of academic year *then***Destroy**. | NON-ARCHIVAL**ESSENTIAL****(for Disaster Recovery)**OPR |
| 17-12-69189Rev. 0 | ***Uniform Crime Reporting and Tallies***Uniform Crime Reporting statistics and tallies that have been submitted to the Washington Association of Sheriffs and Police Chiefs (WASPC).  | **Retain** for 6 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

1.
2. TEACHING AND LEARNING

The function of conveying knowledge to facilitate learning including curriculum planning and development, delivery, assessment, materials/resources and evaluation.

| * 1. ACCREDITATION

The activity of courses/programs receiving accreditation by external organizations. |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 92-09-51287Rev. 1 | ***Accreditation Documentation***Statistical, descriptive and evaluative materials necessary for a program, college or school to achieve and maintain accreditation with the professional association which sets the standards for that particular discipline (e.g., Professional Educator Standards Board (PESB) and National Council for Accreditation of Teacher Education (NCATE)).  | **Retain** for 6 years after end of accreditation  *or* until superseded by new accreditation *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)****ESSENTIAL****(for Disaster Recovery)**OPR |

| * 1. ASSESSMENT/EVALUATION

The activities associated with processing and forming judgments about the quality and extent of student learning. |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 95-07-55713Rev. 1 | ***Academic Appeals/Grievances***Records documenting a student’s appeal of a grade, or other student grievance mediated within the department, school or college.  | **Retain** for 6 years after graduation  or 6 years after date of last attendance *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 17-12-69134Rev. 0 | ***Academic Forgiveness***Records documenting individual students’ petitions to Records and Registration to use only recent academic performance in calculating grade point average.  | **Retain** for 6 years after graduation  or 6 years after date of last attendance *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 87-10-40997Rev. 1 | ***Coursework Grades/Scores/Comments***Records maintained by instructor documenting individual students’ performance in a course, including scores, grades, and or comments in regard to exams, papers, projects, and/or class participation. Provides the basis for the final grade that is assigned. Excludes student scores for tests, exams, and other assessments that are administered to determine level of subject proficiency and/or to authorize students for course credit or possible exemption from taking a course, which are covered by *Examination Scores – Proficiency/Placement or Credit by Exam (DAN 89-05-44366)*. | **Retain** for 2 years after assignment of final grade *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 89-05-44366Rev. 1 | ***Examination Scores – Proficiency/Placement or Credit by Exam***Records documenting student scores on qualifying exams administered to determine level of subject proficiency, and/or to authorize students for course credit or possible exemption from taking a course. Includes, but is not limited to:* Compass Placement Testing (CPT);
* Mathematics Placement Tests (MPT).

Excludes student scores for tests, exams, and other assessments that are administered as part of a course, which are covered by *Coursework Grades/Scores/Comments**(DAN 87-10-40997)*. | **Retain** for 6 years after graduation  *or* 6 years after date of last attendance *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 17-12-69159Rev. 0 | ***Examinations and Answer Sheets – Proficiency/Placement or Credit by Exam***Records documenting student responses to placement examinations administered by the University or its departments to determine level of subject proficiency, and/or to authorize students for course credit or possible exemption from taking a course. Includes, but is not limited to:* English Placement Tests;
* Mathematics Placement Tests (MPT).

Excludes student responses for tests, exams, and other assessments that are administered as part of a course, which are covered by *Papers, Projects and Other Assignments by Students – Not Retrieved**(DAN 17-12-69175)*. | **Retain** for 1 year after end of academic year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 17-12-69161Rev. 0 | ***Grade Confirmation and Class/Rank Reports***Recordsdocumenting the reporting by Records and Registration of final quarterly student grades, including students’ rank within their class, to academic departments.  | **Retain** for 2 years after end of academic quarter *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 98-07-55813Rev. 1 | ***Grade Input and Grade Changes***Records documenting the entry of new and revised quarterly final coursework grades into students’ academic records. Includes, but is not limited to:* Grades entered by instructor directly into transcript record;
* Grades submitted to Records and Registration by instructor for subsequent entry into transcript record;
* Reports of Incomplete.
 | **Retain** for 6 years after end of academic quarter *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |
| 88-10-43018Rev. 1 | ***Masters’ Theses/Projects***Records documenting the culminating thesis or project completed by individual graduate students to satisfy master’s degree requirements. Includes, but is not limited to:* Bound or electronic copy of thesis;
* Final project (e.g., model, recording, etc.) and accompanying written report.
 | **Retain** for 6 years after graduation  *or* 6 years after date of last attendance *then***Transfer** to Archives for appraisal and selective retention. | **ARCHIVAL****(Appraisal Required)**NON-ESSENTIALOFM |
| 17-12-69175Rev. 0 | ***Papers, Projects and Other Assignments by Students – Not Retrieved***Records documenting work performed and/or submitted by students in fulfillment of course or degree requirements, where the student has not retrieved the records after the end of the academic quarter. Includes, but is not limited to:* Papers, projects, and other assignments;
* Student responses to exams/tests.

Excludes:* Masters’ theses, projects, and comprehensive examinations covered by *Masters’ Theses/Projects**(DAN 15-06-68810)*;
* Placement examinations administered to determine level of subject proficiency and/or to authorize students for course credit or possible exemption from taking a course, covered by *Examinations and Answer Sheets – Proficiency/Placement or Credit by Exam (DAN 15-06-68806)*.
 | **Retain** for 1 quarter after end of academic quarter in which assignment was graded *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 17-12-69181Rev. 0 | ***Reference Letters***Records documenting references/recommendations prepared by EWU faculty or staff regarding individual EWU students or former students.  | **Retain** for 2 years after date of reference *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 94-04-53658Rev. 2 | ***Student Evaluation of Instruction (SEOI)***Records documenting evaluations of the instructor, course and/or overall student learning environment, completed by students.  | **Retain** for 5 years after end of academic year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 97-01-57391Rev. 1 | ***Student Internships – Undergraduate and Graduate***Records documenting individual students’ internship, practicum, and/or cooperative education work experiences, including where mandatory to fulfill a degree/certificate requirement. Includes, but is not limited to:* Application materials;
* Supervision notes, statistics of service provided each quarter, other paper generated during the internship year and copies of references written by supervisors.
 | **Retain** for 6 years aftergraduation *or*6 years after date of last attendance *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| * 1. CLASS SCHEDULING

The activities associated with the organization, control and scheduling of classes and examinations for students. |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 96-03-56538Rev. 1 | ***Scheduling Data***Records documenting the scheduling of courses, examinations, and other events held by/at the University, used as a reference and/or as a basis for scheduling classes in future quarters.  | **Retain** for 3 years after end of academic year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |

| * 1. CURRICULUM DEVELOPMENT

The activities associated with development, approval and review of curriculum. |
| --- |
| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| 92-12-51504Rev. 1 | ***Curriculum/Courses***These files contain all information regarding curriculum/course matters (curriculum changes, course additions, deletions, changes; special topics; course propaganda; catalog information; enrollments; class schedules).  | **Retain** for 6 years after superseded *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOFM |
| 75-02-09159Rev. 1 | ***Curriculum and Course Proposals (Approved/Denied)***Provides documentation of the planning and development of academic courses and programs that have been approved.  | **Retain** for 6 years after end of calendar year *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

1. LEGACY RECORDS

This section covers records no longer being created/received by Eastern Washington University, but the existing records have not yet reached their minimum retention period.

| **DISPOSITION AUTHORITY NUMBER (DAN)** | **DESCRIPTION OF RECORDS** | **RETENTION AND****DISPOSITION ACTION** | **DESIGNATION** |
| --- | --- | --- | --- |
| 17-12-69163Rev. 0 | ***Individual Placement Files***Records compiled by individual Education students/graduates to document their pedagogical training, employment history, and other experiences that have contributed to their preparation as an educator. These records are provided at the student/graduate’s request to prospective employers. Includes, but is not limited to:* Options form designating a confidential or non-confidential file;
* Student teaching/internship evaluations;
* Letters of reference.

*Note: As of August 1, 2013, no new placement files are being opened.* | **Retain** for30 years after graduation *or*30 years after date of last attendance *then***Destroy**. | NON-ARCHIVALNON-ESSENTIALOPR |

glossary

|  |
| --- |
| ***Appraisal***  |
| **The process of determining the value and disposition of records based on their current administrative, legal, and fiscal use; their evidential and informational or research value; and their relationship to other records.** |
| ***Archival (Appraisal Required)***  |
| **Public records which may possess enduring legal and/or historic value and must be appraised by the Washington State Archives on an individual basis.** *Public records will be evaluated, sampled, and weeded according to archival principles by archivists from Washington State Archives (WSA). Records not selected for retention by WSA may be disposed of after appraisal.* |
| ***Archival (Permanent Retention)***  |
| **Public records which possess enduring legal and/or historic value and must not be destroyed. State government agencies must transfer these records to Washington State Archives (WSA) at the end of the minimum retention period.***WSA will not sample, weed, or otherwise dispose of records fitting the records series description designated as “Archival (Permanent Retention”) other than the removal of duplicates.* |
| ***Disposition*** |
| **Actions taken with records when they are no longer required to be retained by the agency.** *Possible disposition actions include transfer to Washington State Archives and destruction.* |
| ***Disposition Authority Number (DAN)***  |
| **Control numbers systematically assigned to records series or records retention schedules when they are approved by the State Records Committee.** |
| ***Essential Records*** |
| **Public records that state government agencies must have in order to maintain or resume business continuity following a disaster. While the retention requirements for essential records may range from very short-term to archival, these records are necessary for an agency to resume its core functions following a disaster.** *Security backups of these public records should be created and may be deposited with Washington State Archives in accordance with Chapter 40.10 RCW.* |
| ***Non-Archival*** |
| **Public records which do not possess sufficient historic value to be designated as “Archival”. Agencies must retain these records for the minimum retention period specified by the appropriate, current records retention schedule.** *Agencies should destroy these records after their minimum retention period expires, provided that the records are not required for litigation, public records requests, or other purposes required by law.* |
| ***Non-Essential Records*** |
| **Public records which are not required in order for an agency to resume its core functions following a disaster, as described in Chapter 40.10 RCW.** |
| ***OFM (Office Files and Memoranda)***  |
| **Public records which have been designated as “Office Files and Memoranda” for the purposes of RCW 40.14.010.***RCW 40.14.010 – Definition and classification of public records.*  *(2) “Office files and memoranda include such records as correspondence, exhibits, drawings, maps, completed forms, or documents not above defined and classified as official public records; duplicate copies of official public records filed with any agency of the state of Washington; documents and reports made for the internal administration of the office to which they pertain but not required by law to be filed or kept with such agency; and other documents or records as determined by the records committee to be office files and memoranda.”* |
| ***OPR (Official Public Records)*** |
| **Public records which have been designated as “Official Public Records” for the purposes of RCW 40.14.010.***RCW 40.14.010 – Definition and classification of public records.* *(1) “Official public records shall include all original vouchers, receipts, and other documents necessary to isolate and prove the validity of every transaction relating to the receipt, use, and disposition of all public property and public income from all sources whatsoever; all agreements and contracts to which the state of Washington or any agency thereof may be a party; all fidelity, surety, and performance bonds; all claims filed against the state of Washington or any agency thereof; all records or documents required by law to be filed with or kept by any agency of the state of Washington; … and all other documents or records determined by the records committee… to be official public records.”* |
| ***Public Records*** |
| **RCW** **40.14.010** *–* **Definition and classification of public records.***“… The term "public records" shall include any paper, correspondence, completed form, bound record book, photograph, film, sound recording, map drawing, machine-readable material, compact disc meeting current industry ISO specifications, or other document, regardless of physical form or characteristics, and including such copies thereof, that have been made by or received by any agency of the state of Washington in connection with the transaction of public business…”* |
| ***Records Series*** |
| **A group of records, performing a specific function, which is used as a unit, filed as a unit, and may be transferred or destroyed as a unit. A records series may consist of a single type of form or a number of different types of documents that are filed together to document a specific function.** |
| ***State Records Committee*** |
| **The committee established by RCW 40.14.050 to review and approve disposition of state government records.** *Its four members include the State Archivist and one representative each from the Office of the Attorney General, Office of the State Auditor, and the Office of Financial Management.* |

INDEXES

ARCHIVAL RECORDS INDEX

*See the State Government General Records Retention Schedule for additional “Archival” records.*

DEVELOPMENT AND OUTREACH

Donations/Gifts

Donations/Gifts – Assets 5

Donations/Gifts – Monetary 6

RESEARCH

Institutional Animal Care and Use Committee (IACUC)

Inspections, Reports, and Evaluations 11

Research Conducted 11

Institutional Review Board (IRB) for Human Subjects Research

Administration and Research Oversight 9

Principal Investigator Records (Research Conducted) 10

STUDENT ADMINISTRATION

Enrollment and Registration

Reporting/Filing (Mandatory) – Higher Education Enrollment 18

Graduation

Honorary Degrees 20

Transcripts

Transcripts (Permanent Student Records) 23

STUDENT AND CAMPUS SERVICES

Athletics

Big Sky Conference 29

National Collegiate Athletic Association (NCAA) 30

NCAA Eligibility – Sports Teams 32

Financial Aid

Scholarship Program History 35

Police and Parking Services

Case Files – Homicides (Solved) 42

Case Files – Homicides (Unsolved), Missing Persons (Not Found), and Unidentified Bodies 43

Case Files – Notorious/Historically Significant Cases 45

TEACHING AND LEARNING

Accreditation

Accreditation Documentation 53

Assessment/Evaluation

Masters’ Theses/Projects 57

ESSENTIAL RECORDS INDEX

*See the State Government General Records Retention Schedule for additional “Essential” records.*

DEVELOPMENT AND OUTREACH

Donations/Gifts

Donations/Gifts – Assets 5

Donations/Gifts – Monetary 6

Student Recruitment

Recruitment/Visits – Prospective Student Athletes 8

RESEARCH

Institutional Animal Care and Use Committee (IACUC)

Inspections, Reports, and Evaluations 11

Research Conducted 11

Institutional Review Board (IRB) for Human Subjects Research

Administration and Research Oversight 9

Principal Investigator Records (Research Conducted) 10

STUDENT ADMINISTRATION

Enrollment and Registration

Release of Education Records – Prior Consent or Documentation Required 17

Misconduct

Student Disciplinary Cases (Sanctions Imposed) 22

Transcripts

Transcripts (Permanent Student Records) 23

STUDENT AND CAMPUS SERVICES

Advising

Immigration/Visa Advising – Foreign Students 26

Athletics

NCAA Eligibility – Individual Student Athletes 31

NCAA Eligibility – Sports Teams 32

Financial Aid

Institutional Eligibility – Financial Aid 34

Scholarship Program History 35

Student Aid Disbursement – EWU-Administered Gift Aid and Work Study 36

Student Aid Disbursement – Grants, Scholarships, Gift Aid, and Loans Administered by Other Agencies/Entities 36

Student Aid Disbursement – Loans Administered by EWU 36

Student Aid Eligibility Determination/Tracking 35

Health Services

Client History 38

Police and Parking Services

Agency Release and Waiver/Notice of Consent to Exercise Peace Officer Power 41

Case Files – Homicides (Unsolved), Missing Persons (Not Found), and Unidentified Bodies 43

Parking Citation Fines 50

Student Weapon Logs and Forms 52

TEACHING AND LEARNING

Accreditation

Accreditation Documentation 53

DISPOSITION AUTHORITY NUMBERS (dan’S) INDEX

09-05-62013 22

17-12-69134 54

17-12-69135 41

17-12-69136 41

17-12-69137 28

17-12-69138 29

17-12-69139 29

17-12-69140 41

17-12-69141 25

17-12-69142 42

17-12-69143 42

17-12-69144 43

17-12-69145 44

17-12-69146 45

17-12-69147 46

17-12-69148 48

17-12-69149 47

17-12-69150 48

17-12-69153 49

17-12-69154 49

17-12-69155 33

17-12-69156 22

17-12-69157 5

17-12-69158 6

17-12-69159 56

17-12-69160 7

17-12-69161 56

17-12-69162 20

17-12-69163 62

17-12-69164 26

17-12-69165 11

17-12-69166 11

17-12-69167 9

17-12-69168 10

17-12-69169 15

17-12-69170 39

17-12-69171 30

17-12-69172 32

17-12-69173 40

17-12-69174 49

17-12-69175 58

17-12-69176 50

17-12-69177 50

17-12-69178 20

17-12-69179 50

17-12-69180 8

17-12-69181 58

17-12-69182 16

17-12-69183 17

17-12-69184 35

17-12-69185 52

17-12-69186 36

17-12-69187 35

17-12-69188 52

17-12-69189 52

17-12-69190 21

20-10-69582 38

20-10-69583 51

20-10-69584 51

74-07-05577 12

74-07-05578 23

75-02-09159 61

75-08-13677 19

75-08-13699 18

76-07-17399 8

80-08-25345 15

83-10-32929 34

84-08-34409 34

85-04-35289 15

87-03-39547 24

87-10-40997 55

88-10-43018 57

88-12-43516 36

88-12-43519 36

89-05-44366 55

89-05-44373 14

89-05-44382 23

89-05-44384 27

89-05-44389 19

91-09-48149 28

91-09-48150 31

92-09-51287 53

92-12-51504 61

93-05-52348 37

94-04-53658 59

95-07-55713 54

96-03-56538 60

97-01-57391 59

98-07-55813 57

99-05-59099 13

99-12-59536 18

Subject INDEX

*Note: The use in this index of SGGRRS refers to the State Government General Records Retention Schedule.*

5

504 accommodations 33

A

academic

advising 24

appeals/grievances/forgiveness 54

academic calendars (publications) *see SGGRRS*

accommodations (section 504) 33

accreditation (programs/colleges/schools) 53

admission applications

accepted 12

not accepted/not enrolled/incomplete 13

advising (academic/career)

alumni/general public 25

current students 24

veteran students 27

advising (immigration/visa) 26

agendas/packets

governing/policy-setting bodies *see SGGRRS*

alumni (career advising) 25

animal research

Institutional Animal Care and Use Committee 11

appeals

academic 54

parking 50

asset management *see SGGRRS*

athletes

awards/recognition 29

medical histories/insurance claims 28

NCAA eligibility 31

recruitment/visits 8

audio recordings

mobile units 51

audio/visual recordings

governing/policy-setting bodies *see SGGRRS*

audits *see SGGRRS*

awards (athletes) 29

B

bicycle registration 41

Big Sky Conference 29

Board of Regents (agendas/minutes) *see SGGRRS*

body worn camera (BWC) recordings 51

C

calendars (academic) (publications) *see SGGRRS*

cameras

mobile recordings 51

campus maps (publications) *see SGGRRS*

campus newspapers (publications) *see SGGRRS*

career advising

alumni/general public 25

current students 24

case files (law enforcement)

homicides (solved) 42

homicides (unsolved)/missing persons/unidentified bodies 43

juvenile offenders 44

notorious/historically significant 45

other cases 42

sex offenders/sexually violent offenses 46

citations/notice of infractions 47, 48

issuing forms to officers 48

parking 50

classes

registration 14

scheduling 60

Clery Act (crime reporting) 41

commencement programs (publications) *see SGGRRS*

committees

governing/policy-setting *see SGGRRS*

complaints *see also SGGRRS*

academic appeals/grievances 54

contracts *see SGGRRS*

course catalogs (publications) *see SGGRRS*

coursework (grades/scores/comments) 55

crime reporting

Clery Act 41

uniform 52

criminal history background checks 49

curriculum/courses 61

D

degrees

honorary 20

requirements (petitions for exception) 20

directories (faculty/staff/student) (publications) *see SGGRRS*

directory information (withholding/nondisclosure) 19

disabilities (accommodations) 33

disbursement (student aid) 36

disciplinary investigations 22

donations (assets/monetary) 5, 6

donations (gallery/museum) 5

driving under the influence (citations/notice of infractions) 48

E

emergency blue lights (testing) 49

enrollment

reporting/filing 18

reports/data 15

equipment testing *see also SGGRRS*

emergency blue lights 49

examinations

answer sheets 56

scores 55

F

facility management *see SGGRRS*

Faculty Senate (agendas/minutes) *see SGGRRS*

Family Educational Rights and Privacy Act (FERPA)

nondisclosure of directory information 19

release of educational records

no prior consent required 16

prior consent required 17

financial aid

institutional eligibility 34

reports 34

financial records *see also SGGRRS*

firearms (students) 52

food service (inspections) 37

foreign students (immigration/visa advising) 26

fundraising

benefiting charities *see SGGRRS*

benefiting University 7

G

gift aid (student aid)

disbursement 36

gifts (assets/monetary) 5, 6

grade confirmation 56

grade input/changes 57

graduation requirements

petitions for exception 20

verification 21

grants (student aid)

eligibility determination/tracking 35

grants management *see SGGRRS*

grievances *see also SGGRRS*

students 54

H

health records

clients 38

non-students/non-patients 39

higher education enrollment reporting 18

history of institution *see SGGRRS*

honorary degrees 20

human resources management *see SGGRRS*

Human Subjects Review Council (HSRC)

administration/oversight 9

principal investigator records 10

I

immigration advising (foreign students) 26

information systems *see SGGRRS*

inspections (food service) 37

Institutional Animal Care and Use Committee (IACUC) 11

instruction (student evaluations) 59

insurance claims (athletes) 28

internships (students) 59

L

leave *see SGGRRS*

legal files *see SGGRRS*

library records *see SGGRRS*

loans (student aid)

disbursement 36

eligibility determination/tracking 35

M

mail services *see SGGRRS*

major/minor applications 15

masters theses/projects 57

medical histories/insurance claims (athletes) 28

meetings

governing/policy-setting bodies *see SGGRRS*

minutes

governing/policy-setting bodies *see SGGRRS*

mobile recording devices 51

motor vehicles *see SGGRRS*

N

National Collegiate Athletic Association (NCAA) 30

eligibility

individual student athletes 31

sports teams 32

newspapers (publications) *see SGGRRS*

notices of infractions 47, 48

issuing forms to officers 48

O

occupancy reports (residence halls) 40

officer patrol logs 49

P

papers/projects/assignments (not retrieved) 58

parking (permits/citations) 50

violation appeals 50

payroll *see SGGRRS*

peace officer powers 41

pedagogical training 62

Perkins loans (student aid) 36

petitions for exception (graduation/degree requirements) 20

policies/procedures *see SGGRRS*

public disclosure *see SGGRRS*

public records requests *see SGGRRS*

publications *see SGGRRS*

R

radio logs 50

recognition (athletes) 29

recordings

body worn camera (BWC) 51

mobile units 51

records management *see SGGRRS*

recruitment (prospective students/athletes) 8

reference letters 58

registered sex offenders (bulletins) 52

release of education records

no prior consent required 16

prior consent required 17

reporting (higher education enrollment) 18

research

Human Subjects Review Council (HSRC)

administration/oversight 9

principal investigator records 10

Institutional Animal Care and Use Committee (IACUC) 11

residence halls (occupancy reports) 40

residency status (reclassification) 18

risk management *see SGGRRS*

S

scheduling (classes) 60

scholarships (student aid)

disbursement 36

eligibility determination/tracking 35

program history 35

section 504 accommodations 33

security *see SGGRRS*

sex offender bulletins 52

sponsorships (assets/monetary) 5, 6

sporting teams (NCAA eligibility) 32

student aid

disbursement 36

eligibility determination/tracking 35

Student Health Clinic 38

students

admission applications

accepted 12

not accepted/not enrolled/incomplete 13

disabilities (accommodations) 33

evaluation of instruction 59

internships 59

recruitment/visits 8

teaching/internships 62

withdrawal 19

T

telecommunications *see SGGRRS*

theses/projects (masters) 57

timesheets *see SGGRRS*

training *see SGGRRS*

transcripts 23

transitory records *see SGGRRS*

travel *see SGGRRS*

U

uniform crime reporting 52

V

vehicle management *see SGGRRS*

veteran students (advising) 27

video recordings

governing/policy-setting bodies *see SGGRRS*

video recordings (mobile devices) 51

visa advising (foreign students) 26

visits (prospective students/athletes) 8

W

waivers (graduation/degree requirements) 20

weapons (students) 52

withdrawal (students) 19

work study (student aid)

disbursement 36

eligibility determination/tracking 35